

*This brochure supplement provides information about Bryan Mark Rigg, PhD that supplements the Rigg Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Bryan Mark Rigg, PhD, Managing Member if you did not receive Rigg Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Bryan Mark Rigg, PhD is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Rigg Wealth Management, LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Bryan Mark Rigg, PhD**  
Personal CRD Number: 5117040  
Investment Adviser Representative

Rigg Wealth Management, LLC  
2711 North Haskell Avenue, Suite 550  
Dallas, Texas, 75204  
(972) 998-1085  
[Brigg@RiggWealthManagement.com](mailto:Brigg@RiggWealthManagement.com)

UPDATED: 1/5/2012

## Item 2: Educational Background and Business Experience

**Name:** Bryan Mark Rigg, PhD

**Born:** 1971

### Education Background and Professional Designations:

#### Education:

BA History, English, German Studies, Yale University - 1996

MA History, Cambridge University - 1997

PhD History, Cambridge University - 2002

#### Business Background:

08/2011 - Present	Managing Member Rigg Wealth Management, LLC
01/2002 - Present	Writer/ Author
01/2009 - 08/2011	Wealth Advisor Williams Financial Group Investments Inc.
03/2006 - 12/2008	Wealth Advisor Credit Suisse Securities (USA) LLC
10/2000 - 04/2006	Professor American Military University
08/2003 - 01/2006	Professor Southern Methodist University
10/1999 - 01/2002	Marine Corps Officer Marine Corps War Fighting Lab

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Bryan Mark Rigg, PhD is not engaged in any investment-related business or occupation (other than this advisory firm).

### **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Bryan Mark Rigg, PhD does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Rigg Wealth Management, LLC.

### **Item 6: Supervision**

As the only owner and representative of Rigg Wealth Management, LLC, Bryan Mark Rigg, PhD supervises all duties and activities of the firm. Bryan Mark Rigg, PhD's contact information is on the cover page of this disclosure document. Bryan Mark Rigg, PhD adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by Texas securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Bryan Mark Rigg, PhD has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Bryan Mark Rigg, PhD has NOT been the subject of a bankruptcy petition at any time.