

**ITEM 1: COVER PAGE FOR  
PART 2B OF FORM ADV:  
BROCHURE SUPPLEMENT  
DATED MAY 30, 2012**

**ANDREW KOTYUK**

**ALPHA WEALTH MANAGEMENT, LLC  
220 N SAN JACINTO AVE  
HEMET, CA 92543**

**WEBSITE: WWW.ALPHA-WEALTH.COM**

**This brochure supplement provides information about Andrew Kotyuk that supplements our brochure. You should have received a copy of that brochure. Please contact James Estes, Managing Member and Chief Compliance Officer, if you did not receive our firm's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Mr. Kotyuk is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Educational Background and Business Experience**

We are required to disclose the following information about Mr. Kotyuk:

**Andrew Kotyuk**

Year of Birth: 1975

### **Formal Education after high school:**

2008- BA, Finance, Cal State University, San Bernardino

### **Business Background:**

07/11-Present - Alpha Wealth Management, LLC - Managing Member, Investment Adviser Rep

09/10-07/11 – Bank of America NA - Financial Advisor

10/07-07/11- Merrill Lynch, Pierce, Fenner & Smith - Financial Advisor

02/04-10/07- A.G Edwards & Sons - Financial Consultant

04/02-02/04- International Rectifier - Failure Analyst

01/02-03/02- West Coast Motorsports – Part Sales

02/01-01/02- PRI Automation – Field Application Engineer

### **Licenses and Other Professional Designations:**

04/04- Series 7

05/04- Series 66

## **Item 3 Disciplinary Information**

If there are legal or disciplinary events material to your evaluation of Mr. Kotyuk, we are required to disclose all material facts regarding those events.<sup>1</sup>

A verbal complaint was made against Mr. Kotyuk that arose out of the sale of an Auction Rate Security (ARS). The sale of the ARS was made prior to the widespread illiquidity in the ARS market during February 2008. The complaint was received on 03/24/2008 during Mr. Kotyuk's employment with A.G. Edwards & Sons, Inc. Mr. Kotyuk did not cause, contribute, or have any control over the market events. The complaint was settled and the alleged damages amounted to \$12,000.

## **Item 4 Other Business Activities**

A. If Mr. Kotyuk is actively engaged in any investment-related business or occupation, including if he is registered, or has an application pending to register, as a broker-dealer,

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<sup>1</sup> **Note:** Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Mr. Kotyuk to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Mr. Kotyuk to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

registered representative of a broker-dealer, futures commission merchant (“FCM”), commodity pool operator (“CPO”), commodity trading advisor (“CTA”), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

1. If a relationship between the advisory business and Mr. Kotyuk’s other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

Mr. Kotyuk is a licensed insurance agent with various insurance companies/agencies. He may receive the normal commissions for insurance sales in his separate role as an insurance agent. When such recommendations or sales are made, a conflict of interest exists as Mr. Kotyuk may earn insurance commissions for the sale of those products, which may create an incentive to recommend such products. Clients are under no obligation to purchase insurance products from Mr. Kotyuk.

2. If Mr. Kotyuk receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service (“trail”) fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation he receives. We must explain that this practice gives Mr. Kotyuk an incentive to recommend investment products based on the compensation received, rather than on your needs.

We have nothing to disclose in this regard.

- B. If Mr. Kotyuk is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of his income or involve a substantial amount of Mr. Kotyuk’s time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Mr. Kotyuk’s time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

### **Item 5 Additional Compensation**

If someone who is not a client provides an economic benefit to Mr. Kotyuk for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Mr. Kotyuk’s regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

## **Item 6 Supervision**

We are required to explain how we supervise Mr. Kotyuk, including how we monitor the advice he provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Mr. Kotyuk's advisory activities on behalf of our firm.

Mr. Estes, Managing Member and Chief Compliance Officer of Alpha Wealth Management, LLC, supervises Mr. Kotyuk's activities to ensure compliance with our firm's Code of Ethics. Please contact Mr. Estes if you have any questions about Mr. Kotyuk's brochure supplement at (951) 765-1200.

## **Item 7. Requirements for State-Registered Advisers**

A. In addition to the events listed in Item 3 of Part 2B, if Mr. Kotyuk has been involved in one of the events listed below, we disclose all material facts regarding the event.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

B. If Mr. Kotyuk has been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status.

Mr. Kotyuk filed for Chapter 7 bankruptcy on 05/28/2002 with the U.S. Bankruptcy Court, Central District of California, Riverside Division. The case was discharged on 06/19/2002.