

NAPLES GLOBAL ADVISORS

SEC Form ADV Part 2B Brochure Supplement

Supervised Persons:

Michael H. Morris, CTFA

John M. Suddeth, CFA

Sarah Geroy, CTFA

Nicole Felts, CFP

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Disclaimer: This brochure supplement provides information about supervised persons of Naples Global Advisors and it supplements the Naples Global Advisors' SEC Form ADV Part 2.

NAPLES GLOBAL ADVISORS

Form ADV Part 2B Brochure Supplement

Name: Michael H. Morris, CTFA

Year of Birth: 1959

Education: BS in Finance from Millsaps College in 1981
MBA Millsaps College 1983

Business Experience: He relocated to Florida in 1984 and to Naples in 1994, serving in senior leadership roles in wealth management for Florida National Bank and SunTrust until 2000. He earned the Certified Trust and Financial Advisor (CTFA) certification 1991, which is designed to recognize a standard of knowledge and competence for the trust and wealth advisory field. To qualify for the CTFA, candidates must have 10 years experience in wealth management, a professional reference attesting to the qualifications, sign a Code of Ethics statement and pass a certification exam. In 2000, Michael became president and CEO of First National Trust Company, a wholly owned subsidiary of FNB Corporation. In 2004, the Florida operations of FNB Corporation were spun out to shareholders and Michael became a member of the new banking company, First National Bankshares of Florida, executive committee, as well as continuing to be responsible for trust, investment services and private banking. First National was acquired by Fifth Third Bancorp in January of 2005, with Michael continuing to lead the investment advisors business until January 2006. Michael co-founded Naples Capital Advisors in 2007 and served as the CEO for the firm. The firm was acquired by TIB Financial Corp. in January of 2008. He also served as an executive officer and member of the board of directors of TIB Bank.

Michael serves as the President and CEO of Naples Global Advisors.

Disciplinary Information: No disciplinary actions

Other Business Activities: None

Additional Compensation: No additional outside compensation received

Supervision: The executive management team of NGA is responsible for the overall supervision of firm employees and adherence to firm policies and procedures. Initially the executive management team consists of the firm's founding principals, John M. Suddeth, Jr., and Michael H. Morris, although this group will be expanded as the firm adds employees

NAPLES GLOBAL ADVISORS

Form ADV Part 2B Brochure Supplement

Name: John M. Suddeth, Jr., CFA

Year of Birth: 1963

Education: BS in Finance from Auburn University in 1986
MBA from Georgia State University in 1993

Business Experience: John began his financial services career with Drexel Burnham Lambert in Orlando, Florida in 1986. After earning a Masters of Business Administration degree from Georgia State University, John moved to Southwest Florida and spent the next nine years working for SunTrust Bank and Northern Trust Bank as a portfolio manager. During this period he earned the Chartered Financial Analyst designation and later served as a director of the Naples Financial Analyst Society. In 2001, John accepted an executive level position with the A.I.duPont Trust in Jacksonville. As Director of Investments for the multi-billion dollar charitable trust, he was responsible for the public market component of the portfolio along with providing input on broader strategic investment issues. During his five-year tenure with the duPont Trust, John had direct oversight responsibility for the publicly-traded equity and debt securities, including domestic and foreign markets, as well as active and passive mandates. John co-founded Naples Capital Advisors in 2007 and served as the Chief Investment Officer for the firm. The firm was acquired by TIB Financial Corp. in January of 2008, and John maintained his role there until co-founding Naples Global Advisors in September of 2011.

John serves as the Chief Investment Officer.

Disciplinary Information: No disciplinary actions

Other Business Activities: None

Additional Compensation: No additional outside compensation received

Supervision: The executive management team of NGA is responsible for the overall supervision of firm employees and adherence to firm policies and procedures. Initially the executive management team consists of the firm's founding principals, John M. Suddeth, Jr. and Michael H. Morris, although this group will be expanded as the firm adds employees.

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Name: Sarah M. Geroy (also known as Kerry M. Geroy)

Year of Birth: 1966

Education: BS in Sociology from University of Florida – 1988

Business Experience: Kerry began her career in financial services with SunTrust (formerly SunBank/Naples, N.A.) in 1990 as a Trust Administrative Assistant and subsequently moved into the roles of Trust Administrator and Trust Officer. After three years, she spent the next 15 years with NationsBank, Comerica Wealth and Institutional Management and National City Bank as Vice President/Trust Officer where she managed client relationships. In 2001, she earned the Certified Trust and Financial Advisor (CTFA) designation. The CTFA is designed to recognize a standard of knowledge and competence for the trust and wealth advisory field. To qualify for the CTFA, candidates must have 10 years of experience in wealth management, a professional reference attesting to the qualifications, sign a Code of Ethics statement and pass a certification exam. In 2008, Kerry joined TIB Bank and Naples Capital Advisors. Her primary role was to develop and implement the fiduciary platform for the trust services offering in the wealth management division of TIB Bank and to serve as the Compliance Officer for Naples Capital Advisors.

Kerry serves as the Chief Compliance Officer of Naples Global Advisors and is a relationship officer.

Disciplinary Information: No disciplinary actions

Other Business Activities: None

Additional Compensation: No additional outside compensation received

Supervision: The executive management team of NGA is responsible for the overall supervision of firm employees and adherence to firm principals and procedures. The executive management team consists of the Managing Partners, Michael H. Morris and John M. Suddeth, Jr.

NAPLES GLOBAL ADVISORS

Form ADV Part 2B Brochure Supplement

Name: Nicole L. Felts, CFP®

Year of Birth: 1977

Education: BS in Finance from University of Florida in 1998

Business Experience: Nicole began her career in financial services in 1998 and has since gained an extensive background in banking, financial planning and investment management. In addition to her undergraduate Finance degree, Nicole earned the Certified Financial Planner™ designation in 2005. This distinguishes Nicole among her peers as being one who has met rigorous requirements of education, examination and experience regarding financial planning and her commitment to abide by the fiduciary standard of care that places clients' interests first. Before joining Naples Global Advisors, Nicole held key positions with Merrill Lynch, First National Bank of Florida and Naples Capital Advisors.

Nicole serves as the firm's client banking liaison and retirement planning specialist.

Disciplinary Information: No disciplinary actions

Other Business Activities: None

Additional Compensation: No additional outside compensation received

Supervision: The executive management team of NGA is responsible for the overall supervision of firm employees and adherence to firm principals and procedures. The executive management team consists of the Managing Partners, Michael H. Morris and John M. Suddeth, Jr.