

*This brochure supplement provides information about Lee Alfred Tripodi that supplements the Laurel Wealth Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact Lee Alfred Tripodi, President if you did not receive Laurel Wealth Advisors, Inc.'s brochure or if you have any questions about the contents of this supplement.*

*Additional information about Lee Alfred Tripodi is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Laurel Wealth Advisors, Inc.**

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

### **Lee Alfred Tripodi**

Personal CRD Number: 2149812

Investment Adviser Representative

Laurel Wealth Advisors, Inc.  
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## **Item 2: Educational Background and Business Experience**

**Name:** Lee Alfred Tripodi

**Born:** 1963

### **Education Background and Professional Designations:**

#### **Education:**

BS Sociology, Cal Poly San Luis Obispo – 1986

#### **Business Background:**

2011 – Present	President Laurel Wealth Advisors, Inc.
2009 – Present	Director Tripodi Advisory Group, LLC
2010 – 2011	President Torrey Pines Securities
2005 – 2009	Executive Director UBS Financial Services

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Lee Alfred Tripodi is the director of Tripodi Advisory Group, LLC a consulting company regarding financial business issues including expert witness. LWA always acts in the best interest of the client.

Lee Alfred Tripodi is a licensed insurance agent. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. LWA always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of LWA in their capacity as an insurance agent.

#### **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Lee Alfred Tripodi does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Laurel Wealth Advisors, Inc..

#### **Item 6: Supervision**

As the only owner and representative of Laurel Wealth Advisors, Inc., Lee Alfred Tripodi supervises all duties and activities. Lee Alfred Tripodi's contact information is on the cover page of this disclosure document.