

**ITEM 1: COVER PAGE FOR  
PART 2B OF FORM ADV:  
BROCHURE SUPPLEMENT  
DATED FEBRUARY 2012**

**MARTHA LOIS MALEY**

**LINDBROOK CAPITAL, LLC  
10877 WILSHIRE BOULEVARD, SUITE 2000  
LOS ANGELES, CA 90024**

**TELEPHONE: (424) 208-8000**

**FIRM CONTACT: TYLER DRITZ, CHIEF COMPLIANCE OFFICER**

**FIRM WEBSITE ADDRESS: [WWW.LINDBROOKCAPITAL.COM](http://WWW.LINDBROOKCAPITAL.COM)**

**This brochure supplement provides information about Ms. Maley that supplements our brochure. You should have received a copy of that brochure. Please contact Tyler Dritz if you did not receive Lindbrook Capital, LLC's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Ms. Maley is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Educational Background and Business Experience**

We are required to disclose the following information about Ms. Maley:

### **Martha Lois Maley**

**Year of Birth:** 1967

#### **Formal Education after high school:**

- 1991; University of Wisconsin; BA Communications

#### **Business Background (for the past 5 years):**

- Lindbrook Capital, LLC; May 2011-Present; Client Service and Trading
- Oppenheimer & Company Inc., May 1999-May 2011, Associate

#### **Licenses and Other Professional Designations:**

- Series 7, 63

## **Item 3 Disciplinary Information**

If there are legal or disciplinary events material to your evaluation of Ms. Maley, we are required to disclose all material facts regarding those events.<sup>1</sup>

We have nothing to disclose in this regard.

## **Item 4 Other Business Activities**

A. If Ms. Maley is actively engaged in any investment-related business or occupation, including if Ms. Maley is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

1. If a relationship between the advisory business and Ms. Maley's other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

We have nothing to disclose in this regard.

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<sup>1</sup> **Note:** Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Ms. Maley to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Ms. Maley to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

2. If Ms. Maley receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service (“trail”) fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Ms. Maley receives. We must explain that this practice gives Ms. Maley an incentive to recommend investment products based on the compensation received, rather than on your needs.

We have nothing to disclose in this regard.

- B. If Ms. Maley is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Ms. Maley’s income or involve a substantial amount of Ms. Maley’s time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Ms. Maley’s time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

## **Item 5            Additional Compensation**

If someone who is not a client provides an economic benefit to Ms. Maley for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Ms. Maley’s regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

## **Item 6            Supervision**

We are required to explain how we supervise Ms. Maley, including how we monitor the advice Ms. Maley provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Ms. Maley’s advisory activities on behalf of our firm.

Mr. Dritz, Managing Member and Chief Compliance Officer of Lindbrook Capital, LLC, supervises and monitors Ms. Maley’s activities on a regular basis. Mr. Dritz reviews all outgoing correspondence for written financial advice that Ms. Maley provides to her clients. Please contact Mr. Dritz if you have any questions about Ms. Maley’s brochure supplement at (424) 208-8000 or Tyler@Lindbrookcapital.com.