

## **Brochure Supplement**

June 13, 2012

### **Fusion Capital, LLC**

Firm CRD No. 154726

### **Jason Mengel**

### **Managing Member**

Individual CRD No. 5099803

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This brochure supplement provides information about Jason Mengel that supplements the Fusion Capital, LLC, brochure. You should have received a copy of that brochure. If you did not receive a brochure or if you have any questions about the contents of this supplement, please contact us at [jmengel@fusioncapital.net](mailto:jmengel@fusioncapital.net).

Additional information about Jason Mengel is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background and Business Experience

Jason Mengel (b. 1981) is a Managing Member of Fusion Capital, LLC.

### A. Educational Background

BA in Finance, Wofford College Spartanburg, SC	2004
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### B. Professional Designations and Licenses

CERTIFIED FINANCIAL PLANNER™ (CFP®) Professional	2008
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### C. Business Background

Owner, Fusion Capital, LLC	08/2010–Present
Owner, Mengel Financial Group, LLC	04/2010–Present
Registered Representative, MidAmerica Financial Services, Inc.	10/2009–07/2011
Advisor, American Investment Services	01/2007–07/2010
Advisor, Smith Financial & Tax Advisory Group	03/2006–04/2010
Insurance Agent, Mengel Insurance and Administrative Services	09/2005–01/2006

### D. Professional Designations - Qualifications and Related Criteria

#### D.1. Qualifications for CERTIFIED FINANCIAL PLANNER™ (CFP®)

The CERTIFIED FINANCIAL PLANNER™ (CFP®) certification process, administered by CFP Board, identifies that those individuals who have been authorized to use the CFP certification marks in the U.S. have met rigorous professional standards and have agreed to adhere to the principles of integrity, objectivity, competence, fairness, confidentiality, professionalism, and diligence when dealing with clients.

CFP certificants must pass the comprehensive CFP Certification Examination; pass CFP Board's Candidate Fitness Standards; agree to abide by CFP Board's Code of Ethics and Professional Responsibility, which puts clients' interests first; and comply with the Financial Planning Practice Standards, which spell out what clients should be able to reasonably expect from the financial planning engagement. These are just some of the reasons why the CFP certification is becoming increasingly recognized.

To become certified, candidates are required to meet the following initial certification requirements:

**Education** Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning.

**Examination** Pass the comprehensive CFP Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances.

**Experience** Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year).

**Ethics** Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP marks:

**Continuing Education** Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field.

**Ethics** Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP professionals provide financial planning services at a fiduciary standard of care. This means CFP professionals must provide financial planning services in the best interests of their clients.

CFP professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP certification.

### Item 3: Disciplinary Information

Jason Mengel does not have any disciplinary action to report. Public information concerning Mr. Mengel's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4: Other Business Activities

Mr. Mengel is the sole member of Mengel Financial Group, LLC ("MFG"), an affiliate of Fusion Capital, LLC, which conducts an insurance business and earns a commission for doing so. Mr. Mengel is licensed as an insurance agent with South Carolina and Georgia. Please be advised there is a potential conflict of interest in that there is an economic incentive to recommend insurance and other investment products offered through MFG. Also be advised that Mr. Mengel strives to put his clients' interests first and foremost.

### Item 5: Additional Compensation

Mr. Mengel receives compensation through the provision of insurance. Such compensation is in addition to and separate and distinct from the fees earned from advisory clients.

## **Item 6: Supervision**

Jason Mengel is the co-Managing Member of Fusion Capital, LLC. Supervision of Mr. Mengel is performed by Gery Sadzewicz, Chief Compliance Officer, through reviews of internal transaction and security holdings reports, electronic and physical correspondence, and other internal reports as mandated by the firm and its regulatory authorities. Mr. Sadzewicz can be reached at 815-782-1250.

## **Item 7: Requirements for State-Registered Advisors**

### **A. Additional Disciplinary Disclosures**

#### **A.1. An Award or Otherwise Being Found Liable in an Arbitration Claim Alleging Damages in Excess of \$2,500**

There is nothing to report for this item.

#### **A.2. An Award or Otherwise Being Found Liable in a Civil, Self-Regulatory Organization or Administrative Proceeding**

There is nothing to report for this item.

### **B. Bankruptcy**

There is nothing to report for this item.