



Form ADV Part 2B – Brochure Supplement

For

Michael Coccozza

Co-President, and Chief Compliance Officer

Laurence Kagan

Co-President

Dated April 26, 2011

This brochure supplement provides information about Laurence Kagan and Michael Coccozza that supplements the Kagan Coccozza Asset Management brochure. A copy of that brochure precedes this supplement. Please contact Michael Coccozza if the Kagan Coccozza Asset Management brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Michael Coccozza and Laurence Kagan is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Michael Coccozza

Educational Background

- 2006 – MBA with concentrations in Investments and Corporate Finance, Virginia Tech
- 2001 – B.S. Information Systems, University of Maryland

Business Experience

- 2010 – Present, Kagan Coccozza Asset Management, Co-President and Chief Compliance Officer
- 2010 – Present, Purshe Kaplan Sterling Investments, Financial Advisor
- 2009 – 2010, Bank of America, NA., Financial Advisor
- 2007 – 2010, Merrill Lynch, Pierce, Fenner & Smith, Inc., Financial Advisor
- 2000 – 2007, Sprint Nextel, Telecommunications Engineer

Laurence Kagan

Educational Background

- 1998 – J.D. Benjamin N. Cardozo School of Law, Yeshiva University
- 1995 – B.A. Political Science, Temple University

Business Experience

- 2010 – Present, Kagan Coccozza Asset Management, Co-President
- 2010 – Present, Purshe Kaplan Sterling Investments, Financial Advisor
- 2010 – Present, Kagan Law Firm, LLC, Owner and Attorney
- 2009 – 2010, Bank of America, NA., Financial Advisor

- 2007 – 2010, Merrill Lynch, Pierce, Fenner & Smith, Inc., Financial Advisor
- 1999 – 2006, Attorney

Item 3: Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4: Other Business Activities

As discussed in Item 10 of the Kagan Coccozza Asset Management brochure, Mr. Kagan and Mr. Coccozza are licensed to sell life, health and fixed insurance, which may engage in commissionable insurance or fixed annuities product sales with our clients, for which they may receive additional compensation. This does create an incentive to recommend these products based on compensation and not client need, however careful review is done to ensure that recommendations are made in the best interests of the client.

Additionally as discussed in Item 10 of the Kagan Coccozza Asset Management brochure, Mr. Kagan and Mr. Coccozza are Registered Representatives of Purshe Kaplan Sterling Investments.

Furthermore, Mr. Kagan also owns and runs the law firm, Kagan Law Firm, LLC, focusing on trusts and estates. It comprises approximately 10% of Mr. Kagan's time and income.

Item 5: Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Mr. Kagan and Mr. Coccozza do not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Kagan Coccozza Asset Management.

Item 6: Supervision

Michael Coccozza is Co-President and Chief Compliance Officer of Kagan Coccozza Asset Management. Laurence Kagan is Co-President of Kagan Coccozza Asset Management. Both are Portfolio Managers and serve on the investment committee. Investment decisions and portfolio activity is reviewed as a team by Mr. Kagan and Mr. Coccozza.

Mr. Coccozza as Chief Compliance Officer is responsible to provide supervisory oversight to the team; however, he also participates as a team member in the investment and trading processes. Mr. Coccozza may be contacted at the phone number as shown on the cover page.