

MICHAEL K. HARTMANN

March 5, 2012

ADV Part 2B – Supplemental Brochure

***Plan to Invest Capital Management, Inc.
8400 E. Crescent Parkway, Suite 320
Greenwood Village, CO 80111
Phone: (303) 221-0949
Fax: (303) 721-1480
www.pticm.com***

This Brochure Supplement provides information about Michael K. Hartmann that supplements the Plan to Investment Capital Management, Inc.'s Brochure. You should have received a copy of that Brochure. Please contact Mr. Hartmann at (303) 221-0949 if you did not receive Plan to Investment Capital Management, Inc.'s Brochure or if you have any questions about the contents of this supplement.

Additional information about Michael K. Hartmann is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number. The CRD number for the Mr. Hartmann is 2511554.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Michael K. Hartmann

Born: 1963

Education: *University of Denver* – Bachelors of Science in Accounting
University of Denver – Masters Degree in Accounting

Business Background:

Plan to Invest Capital Management, Inc. – April 2010 – Present
– Owner and Managing Member
– Investment Adviser Representative

CIM Securities, Inc. – May 2010 – Present
– Registered Representative

NFP Securities, Inc. – April 2008 – April 2010
– Registered Representative
– Investment Adviser Representative

Independent Insurance Agent – April 2008 – Present
– Life, Accident, Health, Disability, Variable Products

Michael K. Hartmann, CPA – February 2004 to Present
– Certified Public Accountant – Income Tax Preparation

ITEM 3 – DISCIPLINARY HISTORY

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

ITEM 4 – OTHER BUSINESS ACTIVITIES

A. Investment Related Other Business Activities: Mr. Hartmann is registered representatives of CIM Securities, Inc., a registered broker-dealer. Through this registration he can sell securities to Clients separately from his services as an investment adviser representative of the Firm. All sales through CIM Securities, Inc. pay commissions that are separate from the fees outlined above. This creates a conflict of interest because it creates a financial incentive to recommend investment products based on compensation rather than on a Client's need. Mr. Hartmann addresses the conflict of interest by telling Clients when he is acting as a representative of CIM Securities, Inc. or as their investment adviser representative of the Firm. When receiving a recommendation to purchase other investment products, Clients always have the option to purchase the investment products through other brokers or agents that are not affiliated with the Firm. Additionally, Mr. Hartmann and the Firm attempt to mitigate any conflicts of interest to the best of their ability by placing the Clients interests ahead of their own, through their fiduciary

duty and through the implementation of policies and procedures that address the conflict.

Mr. Hartmann is also independent insurance agents. He spends less than 5 hours a week on this activity, which is investment related. He may recommend this service to the Firm's Clients. All sales as an independent insurance agent pay commissions that are separate from the fees outlined above. This creates a conflict of interest because it creates a financial incentive to recommend insurance sales based on compensation rather than on a Client's need. Mr. Hartmann addresses the conflict of interest by telling Clients when he is acting as the Clients' insurance agent or as their investment adviser representative of Plan to Invest Capital Management, Inc. When receiving a recommendation to purchase other investment products, Clients always have the option to purchase the insurance products through other agents that are not affiliated with the Firm. Additionally, the Firm and Mr. Hartmann attempt to mitigate any conflicts of interest to the best of their ability by placing the Clients interests ahead of their own, through their fiduciary duty and through the implementation of policies and procedures that address the conflict. In addition to their fiduciary duty, the Firm created a code of ethics, policies and procedures to mitigate the conflict of interest.

B. Non-Investment Related Other Business Activities: Mr. Hartman is a certified public accountant and does income tax preparation. He spends less than 10% of his time per month on this activity, which is not considered investment related. Mr. Hartmann may recommend these services to the firm's clients. With the ability to work as a client's certified public accountant and investment adviser representative, this could be viewed as a conflict of interest because each service pays a separate fee or commission. However, Mr. Hartmann attempts to mitigate any conflicts of interest to the best of his ability by placing the client's interests ahead of his own and through the implementation of policies and procedures that address the conflict.

ITEM 5 – ADDITIONAL COMPENSATION

Mr. Hartmann does not receive any additional compensation other than what is disclosed above and in Plan to Invest Capital Management, LLC's brochure.

ITEM 6 – SUPERVISION

Mr. Hartmann is supervised by John C. Panter, who monitors and reviews Mr. Hartmann's investment advisory activities. Mr. Panter is the Chief Executive Officer of the Firm and he can be reached at (303) 221-0949.

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISERS

A. Arbitration or Civil, Self-Regulatory Organization or Administrative Proceedings

Mr. Hartmann has not been the subject of any arbitration, civil, self-regulatory organization or administrative finding.

B. Bankruptcy History

Mr. Hartmann has not been the subject of a bankruptcy petition.

JOHN C. PANTER

March 5, 2012

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This Brochure Supplement provides information about John C. Panter that supplements the Plan to Investment Capital Management, Inc.'s Brochure. You should have received a copy of that Brochure. Please contact Mr. Panter at (303) 221-0949 if you did not receive Plan to Investment Capital Management, Inc.'s Brochure or if you have any questions about the contents of this supplement.

Additional information about John C. Panter is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number. The CRD number for the Mr. Panter is 2857712.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

John C. Panter

Born: 1953

Education: *University of Missouri* – Bachelors of Science in Social Work

University of Missouri – Masters Degree in Social Work

Business Background:

Plan to Invest Capital Management, Inc. – June 2010 – Present

– Owner and Managing Member

– Investment Adviser Representative

CIM Securities, Inc. – June 2010 – Present

– Registered Representative

Independent Insurance Agent –2000 – Present

– Life, Variable Products, Health (2006 to Present)

NFP Securities, Inc. – July 2004 – June 2010

– Registered Representative

– Investment Adviser Representative

ITEM 3 – DISCIPLINARY HISTORY

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

ITEM 4 – OTHER BUSINESS ACTIVITIES

A. Investment Related Other Business Activities: Mr. Panter is registered representatives of CIM Securities, Inc., a registered broker-dealer. Through this registration he can sell securities to Clients separately from his services as an investment adviser representative of the Firm. All sales through CIM Securities, Inc. pay commissions that are separate from the fees outlined above. This creates a conflict of interest because it creates a financial incentive to recommend investment products based on compensation rather than on a Client's need. Mr. Panter addresses the conflict of interest by telling Clients when he is acting as a representative of CIM Securities, Inc. or as their investment adviser representative of the Firm. When receiving a recommendation to purchase other investment products, Clients always have the option to purchase the investment products through other brokers or agents that are not affiliated with the Firm. Furthermore, Mr. Panter and the Firm attempt to mitigate any conflicts of interest to the best of their ability by placing the Clients interests ahead of their own, through their fiduciary duty and through the implementation of policies and procedures that address the conflict. In addition to their fiduciary duty, the Firm created a code of ethics, policies and procedures to mitigate the conflict of

interest.

Mr. Panter is also independent insurance agents. He spends less than 5 hours a week on this activity, which is investment related. He may recommend this service to the Firm's Clients. All sales as an independent insurance agent pay commissions that are separate from the fees outlined above. This creates a conflict of interest because it creates a financial incentive to recommend insurance sales based on compensation rather than on a Client's need. Mr. Panter addresses the conflict of interest by telling Clients when he is acting as the Clients' insurance agent or as their investment adviser representative of Plan to Invest Capital Management, Inc. When receiving a recommendation to purchase other investment products, Clients always have the option to purchase the investment products through other brokers or agents that are not affiliated with the Firm. Furthermore, the Firm and Mr. Panter attempt to mitigate any conflicts of interest to the best of their ability by placing the Clients interests ahead of their own, through their fiduciary duty and through the implementation of policies and procedures that address the conflict. In addition to their fiduciary duty, the Firm created a code of ethics, policies and procedures to mitigate the conflict of interest.

B. Non-Investment Related Other Business Activities: Mr. Panter does not have any non-investment related business activities.

ITEM 5 – ADDITIONAL COMPENSATION

Mr. Panter does not receive any additional compensation other than what is disclosed above and in ERISA Adviser, LLC's brochure.

ITEM 6 – SUPERVISION

Mr. Panter is supervised by Michael K. Hartmann, the Firm's Chief Investment Officer. Mr. Hartman monitors and reviews Mr. Panter's investment advisory activities. Mr. Hartmann can be reached at (303) 221-0949.

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISERS

A. Arbitration or Civil, Self-Regulatory Organization or Administrative Proceedings

Mr. Panter has not been the subject of any arbitration, civil, self-regulatory organization or administrative finding.

B. Bankruptcy History

Mr. Panter has not been the subject of a bankruptcy petition.