
**ITEM 1: COVER PAGE FOR PART 2B OF FORM ADV:
BROCHURE SUPPLEMENT**

DATED: AUGUST 2012

GREGORY WAMBOLT

WAMBOLT & ASSOCIATES, LLC ("W&A")

13976 W. BOWLES AVENUE, STE. 200

LITTLETON, CO 80127

FIRM CONTACT: GREG WAMBOLT, CHIEF COMPLIANCE OFFICER

FIRM'S WEBSITE ADDRESS: WWW.WAMBOLTANDASSOC.COM

This brochure supplement provides information about Gregory Wambolt that supplements our ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Greg Wambolt, Chief Compliance Officer of W&A, if you did not receive W&A's brochure or if you have any questions about the contents of this supplement.

Additional information about Gregory Wambolt is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Gregory Alan Wambolt

Year of Birth: 1957

Education: WSC, Gunnison CO, Bachelor's in Business Management and Business Administration

Professional Designations: CLU - October 1996, ChFC - October 1996, CFP – May, 1996

Business Background:

- June/2010-Present, Wambolt & Associates, LLC, Managing Member & Chief Compliance Officer
- March/1986-May/2010, Northwestern Mutual, DBA Wambolt & Associates, Littleton CO, Associate Wealth Management Advisor
- 1983-1986, Frontier Oil & Gas Supply, President

CLU® - Chartered Life Underwriter:

This professional designation is for individuals who wish to specialize in life insurance and estate planning. This designation is obtained by completing 5 core courses, 3 elective courses and a final exam for each course. As a prerequisite the IAR must have 3 years of full time business experience within the 5 years preceding the awarding of the designation. This designation requires 30 hours of continuing education every 2 years.

ChFC® - Chartered Financial Consultant:

The ChFC designation represents completion of a comprehensive course consisting of financial education, examinations and practical experience. Chartered Financial Consultant designations are granted by The American College upon completion of seven required courses and two elective courses. Those who earn the designation are understood to be knowledgeable in financial matters and to have the ability to provide sound advice. In order to be considered for the program, the applicant must already have a minimum of three years working in the financial industry.

CFP® - Certified Financial Planner™:

Certified Financial Planner (CFP®) – Candidates must pass a CFP-board registered program or hold one of seven advanced degrees, designations or professional licenses and pass the CFP exam. Current prerequisites include a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. Thirty hours of continuing education must be completed every two years. Areas of study include insurance and estate planning, employee benefit and tax planning, as well as investment and retirement planning. CFP practitioners are also subject to strict adherence to the CFP Board's Financial Planning Practice Standards and Professional Code of Ethics and Responsibility.

Item 3 Disciplinary Information¹

If there are legal or disciplinary events material to your evaluation of Mr. Wambolt, we are required to disclose all material facts regarding those events.

Mr. Wambolt has no disciplinary information to disclose.

Item 4 Other Business Activities

- A. If Mr. Wambolt is actively engaged in any investment-related business or occupation, including if Mr. Wambolt is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

We have nothing to disclose in this regard.

- B. If Mr. Wambolt is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Mr. Wambolt's income or involve a substantial amount of Mr. Wambolt's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Mr. Wambolt's time and income, we may presume that they are not substantial.

As a licensed insurance agent, Mr. Wambolt may recommend to advisory clients a variety of insurance products, and may offer insurance products to Advisor's clients for which he may receive compensation. When such recommendations or sales are made, a conflict of interest exists as Mr. Wambolt may earn insurance commissions for the sale of those products, which may create an incentive to recommend such products. Clients are under no obligation to purchase insurance products from Mr. Wambolt.

¹ **Note:** Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Mr. Wambolt to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Mr. Wambolt to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Item 5 Additional Compensation

If someone who is not a client provides an economic benefit to Mr. Wambolt for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Mr. Wambolt's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

Mr. Wambolt does not receive any additional economic benefits for the advisory services he provides.

Item 6 Supervision

We are required to explain how we supervise Mr. Wambolt, including how we monitor the advice Mr. Wambolt provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Mr. Wambolt's advisory activities on behalf of our firm.

Jack W. Moore III, Wealth Management Advisor, supervises and monitors Mr. Wambolt's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Jack W. Moore III, if you have any questions about Mr. Wambolt's brochure supplement at 720-962-6700.

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DATED: AUGUST 2012

**JACKIE WILLIAM MOORE, III
("JACK MOORE")**

**WAMBOLT & ASSOCIATES, LLC ("W&A")
13976 W. BOWLES AVENUE, STE. 200
LITTLETON, CO 80127**

FIRM CONTACT: GREG WAMBOLT, CHIEF COMPLIANCE OFFICER

FIRM'S WEBSITE ADDRESS: WWW.WAMBOLTANDASSOC.COM

This brochure supplement provides information about Jack Moore that supplements our ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Greg Wambolt, Chief Compliance Officer, if you did not receive W&A's brochure or if you have any questions about the contents of this supplement.

Additional information about Jack Moore is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Jackie William Moore, III (“Jack Moore”)

Year of Birth: 1970

Education: University of Nebraska, Omaha NE, BA 1993

Business Background:

- June, 2010-Present, Wambolt & Associates, LLC, Investment Adviser Representative
- January 2006- June, 2010, Wambolt & Associates, Associate Wealth Management Advisor
- June 2004- January 2006, Allstate Insurance, Insurance Agent
- June 2002-June 2004, Pacer International, Project Manager/Executive Assistant
- March 1998- June 2002, Janus Capital, Investor Communications Manager

Item 3 Disciplinary Information¹

If there are legal or disciplinary events material to your evaluation of Mr. Moore, we are required to disclose all material facts regarding those events.

Jack Moore has no disciplinary information to disclose.

¹ **Note:** Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Mr. Moore to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Mr. Moore to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Item 4 Other Business Activities

- A. If Mr. Moore is actively engaged in any investment-related business or occupation, including if Mr. Moore is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

We have nothing to disclose in this regard.

- B. If Mr. Moore is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Mr. Moore's income or involve a substantial amount of Mr. Moore's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Mr. Moore's time and income, we may presume that they are not substantial.

As a licensed insurance agent, Mr. Moore may recommend to advisory clients a variety of insurance products, and may offer insurance products to Advisor's clients for which he may receive compensation. When such recommendations or sales are made, a conflict of interest exists as Mr. Moore may earn insurance commissions for the sale of those products, which may create an incentive to recommend such products. Clients are under no obligation to purchase insurance products from Mr. Moore.

Item 5 Additional Compensation

If someone who is not a client provides an economic benefit to Mr. Moore for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Mr. Moore's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

Mr. Moore does not receive any additional economic benefits for the advisory services he provides.

Item 6 Supervision

We are required to explain how we supervise Mr. Moore, including how we monitor the advice Mr. Moore provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Mr. Moore's advisory activities on behalf of our firm.

Mr. Gregory Wambolt, Chief Compliance Officer of W&A, supervises and monitors Mr. Moore's activities on a regular basis. Mr. Wambolt reviews all outgoing correspondence for financial advice that Mr. Moore provides to his clients. Please contact Mr. Wambolt if you have any questions about Mr. Moore's brochure supplement at 720-962-6700.

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DATED: AUGUST 2012

RYAN C. STOUT

WAMBOLT & ASSOCIATES, LLC ("W&A")

13976 W. BOWLES AVENUE, STE. 200

LITTLETON, CO 80127

FIRM CONTACT: GREG WAMBOLT, CHIEF COMPLIANCE OFFICER

FIRM'S WEBSITE ADDRESS: WWW.WAMBOLTANDASSOC.COM

This brochure supplement provides information about Ryan Stout that supplements our ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Greg Wambolt, Chief Compliance Officer of W&A, if you did not receive W&A's brochure or if you have any questions about the contents of this supplement.

Additional information about Ryan Stout is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Ryan Charles Stout

Year of Birth: 1982

Education: University of Wyoming, Laramie, WY, Bachelor's in Finance, Bachelor's in Economics

Professional Designations: CFP® - November 2009

Business Background:

- October 2010 – Present, Wambolt & Associates, LLC, Investment Adviser Representative
- August 2006 - October 2010, TIAA-CREF, Client Relationship Specialist

CFP® - Certified Financial Planner™:

Certified Financial Planner (CFP®) – Candidates must pass a CFP-board registered program or hold one of seven advanced degrees, designations or professional licenses and pass the CFP exam. Current prerequisites include a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. Thirty hours of continuing education must be completed every two years. Areas of study include insurance and estate planning, employee benefit and tax planning, as well as investment and retirement planning. CFP practitioners are also subject to strict adherence to the CFP Board's Financial Planning Practice Standards and Professional Code of Ethics and Responsibility.

Item 3 Disciplinary Information¹

If there are legal or disciplinary events material to your evaluation of Mr. Stout, we are required to disclose all material facts regarding those events.

Mr. Stout has no disciplinary information to disclose.

¹ **Note:** Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Mr. Stout to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Mr. Stout to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Item 4 Other Business Activities

- A. If Mr. Stout is actively engaged in any investment-related business or occupation, including if Mr. Stout is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

We have nothing to disclose in this regard.

- B. If Mr. Stout is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Mr. Stout's income or involve a substantial amount of Mr. Stout's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Mr. Stout's time and income, we may presume that they are not substantial.

As a licensed insurance agent, Mr. Stout may recommend to advisory clients a variety of insurance products, and may offer insurance products to Advisor's clients for which he may receive compensation. When such recommendations or sales are made, a conflict of interest exists as Mr. Stout may earn insurance commissions for the sale of those products, which may create an incentive to recommend such products. Clients are under no obligation to purchase insurance products from Mr. Stout.

Item 5 Additional Compensation

If someone who is not a client provides an economic benefit to Mr. Stout for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Mr. Stout's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

Mr. Stout does not receive any additional economic benefits for the advisory services he provides.

Item 6 Supervision

We are required to explain how we supervise Mr. Stout, including how we monitor the advice Mr. Stout provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Mr. Stout's advisory activities on behalf of our firm.

Mr. Gregory Wambolt, Chief Compliance Officer of W&A, supervises and monitors Mr. Stout's activities on a regular basis. Mr. Wambolt reviews all outgoing correspondence for financial advice that Mr. Stout provides to his clients. Please contact Mr. Wambolt if you have any questions about Mr. Stout's brochure supplement at 720-962-6700.