

**John P. Middleton, CFA, CAIA**

**Brighton Financial Planning**

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908-730-7000

March 2, 2012

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about John P. Middleton that supplements the Brighton Financial Planning brochure. You should have received a copy of that brochure. Please contact John P. Middleton at 908-730-7000 if you did not receive Brighton Financial Planning's brochure or if you have any questions about the contents of this supplement.

Additional information about John P. Middleton is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## ***Educational Background and Business Experience***

Form ADV Part 2B, Item 2

### **JOHN P. MIDDLETON, CFA, CAIA**

*Year of Birth:* 1966

#### *Formal Education after High School:*

- University of Tampa, MBA, Finance, 1993
- Rutgers University, BA, Political Science, 1989

#### *Business Background for the Previous Five Years:*

- Middleton Financial Management, LLC d/b/a Brighton Financial Planning, Owner, 02/2010-Present
- Brighton Financial Planning, Inc., Investment Advisor, 8/2008-1/2010
- Invesco, Senior Vice President, 08/2001-08/2008

#### *Certifications:*

- **Chartered Financial Analyst,(CFA):** This designation is issued by the CFA Institute and is granted to individuals who meet one of the following prerequisites: possess an undergraduate degree and four years of professional experience investment decision making; or four years qualified work experience (full time, but not necessarily investment related). The candidate is required to follow a self study program involving 250 hours of study for each of the following three disciplines: Level One: Ethics & Professional Standards; Level Two: Investment Tools & Asset Classes; and Level Three: Portfolio Management & Wealth Planning. Once the designation is issued, no further Continuing Education is required.
- **Chartered Alternative Investment Analyst (CAIA):** This designation is issued by the CAIA Association and is granted to individuals who meet one the following prerequisites: possess an undergraduate degree and more than one year of experience in the financial industry; or four years of experience in the financial industry. The candidate is also required to successfully pass two exams.

### ***Disciplinary Information***

Form ADV Part 2B, Item 3

John P. Middleton has not had any criminal and/or civil actions initiated against him by a domestic, military or foreign court, any administrative proceedings initiated by the SEC, any other federal regulatory agency, state regulatory agency, or any foreign financial regulatory authority or any proceedings initiated by a self-regulatory organization.

### ***Other Business Activities***

Form ADV Part 2B, Item 4

John P. Middleton is not engaged in any other investment related business or occupation. John P. Middleton is co-owner of Southgate Cellars, LLC d/b/a Midpoint Winecellars, a boutique micro-wine company. John's activities comprise working with the winemakers and marketing the firm's wines to consumers.

***Additional Compensation***

Form ADV Part 2B, Item 5

John P. Middleton does not receive any economic benefit for providing advisory services to anyone who is not a client of Brighton Financial Planning.

### ***Supervision***

Form ADV Part 2B, Item 6

John P. Middleton is the owner of Brighton Financial Planning and is the Chief Compliance Officer. As such he is responsible for supervising all employees including himself. He reviews on a regular basis, but no less frequently than on an annual basis, compliance and supervisory issues of the firm. He also reviews the results of an annual review of the firm's compliance program. John P. Middleton is designated as the firm's Chief Compliance Officer ("CCO") and is responsible for day-to-day compliance matters of the firm.

All client communications are approved by John prior to dissemination to clients. This includes marketing materials as well as client presentation and discussion materials. John Middleton, owner, can be reach at 908-730-7000.

***Requirements for State-Registered Advisers***

Form ADV Part 2B, Item 7

John P. Middleton has not been involved in any arbitration or in any civil, self-regulatory or administrative proceedings. John P. Middleton has not been the subject of a bankruptcy petition.