

# **Volt Wealth Management, LLC**

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Paul Tracey

## **Brochure Supplement**

March 2, 2012

This brochure provides information about the qualifications and business practices of Volt Wealth Management, LLC. If you have any questions about the contents of this brochure, please contact us at: (619) 850-5952, or by email at: [info@voltwealth.com](mailto:info@voltwealth.com). The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission, or by any state securities authority.

Additional information about Volt Wealth Management, LLC. is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2. Educational Background and Business Experience**

**Paul Tracey, CFP®**

Born 1971

### **Business Experience**

01/2010 – Present	Volt Wealth Management – CEO, San Diego, CA Money Management/Sales/Client Services
01/2005 to 12/2009	Horan Capital Management – President, Baltimore, MD Money Management, Sales and Client Services
01/2000 to 01/2005	Churchill Management – Senior Vice President, Los Angeles CA Money Management/Client Services

### **Education**

1992 – University of California, Irvine- BA Psychology

### **Professional Licensing**

1999 – Series 7 Exam

1999 – Series 63 Exam

2001– Certified Financial Planner, (CFP®)

## **Item 3. Disciplinary Information**

If there are legal or disciplinary events material to your evaluation of Paul Tracey, we are required to disclose all material facts regarding those events.

We have nothing to disclose in this regard.

## **Item 4. Other Business Activities**

A. If Paul Tracey is actively engaged in any investment-related business or occupation, including if Paul Tracey is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant (“FCM”), commodity pool operator (“CPO”), commodity trading advisor (“CTA”), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

1. If a relationship between the advisory business and Paul Tracey’s other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

We have nothing to disclose in this regard.

2. If Paul Tracey receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service (“trail”) fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Paul Tracey receives. We must explain that this practice gives Paul Tracey an incentive to recommend investment products based on the compensation received, rather than on your needs.

We have nothing to disclose in this regard.

- B. If Paul Tracey is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Paul Tracey’s income or involve a substantial amount of Paul Tracey’s time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Paul Tracey’s time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

#### **Item 5. Additional Compensation**

If someone who is not a client provides an economic benefit to Paul Tracey for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Paul Tracey’s regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

#### **Item 6. Supervision**

We are required to explain how we supervise Paul Tracey, including how we monitor the advice Paul Tracey provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Paul Tracey’s advisory activities on behalf of our firm.

Griffin Meyers is a principal of Volt Wealth Management, LLC and as such supervises and monitors Paul Tracey’s activities on a regular basis to ensure compliance with our firm’s Code of Ethics. Please contact Griffin Meyers if you have any questions about Paul Tracey’s brochure supplement at (619) 850-5952.