

Form ADV Part 2B
Disclosure Brochure Supplement

for

James H. O'Connor

O'Connor Capital Advisory, LLC

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This brochure supplement provides information about James H. O'Connor that supplements the O'Connor Capital Advisory, LLC (O'Connor Capital) disclosure brochure. You should have received a copy of that brochure. Please contact James O'Connor if you did not receive O'Connor Capital's disclosure brochure or if you have any questions about the contents of this supplement.

Item 2. Educational Background and Business Experience

Education and Business Background

James H. O'Connor

Born: 1957

Education

MIM,MBA Thunderbird, American Graduate School of International Management

BA San Diego State University

Experience

O'Connor Capital Advisory, LLC, Managing Member, 01/10 to Present

United Planners Financial Services of America, Registered Representative and Principal, 01/11 - 11/11

Zion's Direct, Inc., Registered Representative, 08/06 – 01/09

Contango Capital Advisors, Sr. Wealth Advisor, Arizona 05/06-1/09

BG Associates, Inc.- Wealth Advisory, President, 8/98- 05/06

BG Associates, Inc.- Wealth Advisory, Associate, 01/90-8/98

Item 3. Disciplinary Information

James H. O'Connor has not been involved in any legal or disciplinary events that are material to a client's evaluation of him or his integrity.

Item 4. Other Business Activities

Mr. O'Connor is an independent licensed insurance agent appointed with a number of insurance companies and may occasionally offer clients insurance products. When a client purchases insurance products from Mr. O'Connor through these affiliations, he will receive compensation in the form of commissions and insurance trail fees. This is a conflict of interest, as this receipt of additional compensation can conflict with the fiduciary duties owed to clients by an investment advisor. Investment advisory clients are under no obligation to purchase from Mr. O'Connor any commissionable insurance products that he recommends and may purchase such securities from the broker-dealer or insurance company of their choice.

While O'Connor Capital has procedures in place that endeavors to at all time to put the interest of the clients first as a part of the O'Connor Capital's fiduciary duty, clients should be aware that the receipt of commissions and additional compensation itself creates a conflict of interest, and may affect the judgment of Mr. O'Connor when making recommendations.

Item 5. Additional Compensation

Other than commission and related compensation from insurance sales, as disclosed above in Item 4, James H. O'Connor does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through O'Connor Capital.

Item 6. Supervision

As owner and designated principal of O'Connor Capital Financial Services, LLC, James H. O'Connor has ultimate and direct supervisory responsibility over all personnel and functional areas of O'Connor Capital. Mr. O'Connor regularly reviews required reports and activities of O'Connor Capital personnel for compliance with applicable requirements. You may reach Mr. O'Connor using the contact information on the front of this brochure.

Item 7. Requirements for State-Registered Advisors

The following information is required by the State of Arizona. In addition to any events that may be listed in Item 3 of this brochure, we must disclose if Mr. O'Connor has been involved certain arbitration claims, been found liable in a certain civil, self-regulatory organization, or administrative proceedings, or has been the subject of a bankruptcy petition. James H. O'Connor has nothing to disclose in these areas.