



CAZENOVIA CREEK INVESTMENT MANAGEMENT, LLC

**Form ADV Part 2B**  
**Brochure Supplement**  
*As of March 28, 2012*

**William J. Cohane**  
**Cazenovia Creek Investment Management, LLC**  
**101 S. Tryon St., Suite 2560**  
**Charlotte, NC 28280**  
**(704)-654-3900**  
**[www.cazcreek.com](http://www.cazcreek.com)**

**This Brochure Supplement provides information about William Cohane that supplements the Cazenovia Creek Investment Management, LLC (“Caz Creek”) Brochure. You should have received a copy of that Brochure. Please contact William Cohane, Chief Compliance Officer, at the contact information above if you did not receive Caz Creek’s Brochure or if you have any questions about the contents of this supplement.**

**Additional information about William Cohane is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2- Educational Background and Business Experience

William J. Cohane

Educational Background:

- DOB: 3/11/1961
- Juris Doctor, Georgetown University Law, 1990
- BA, Boston College, 1986

Business Experience:

William J. Cohane has 16 years of commercial real estate experience and 22 years of real estate finance and securitization experience. Mr. Cohane is a co-founder and Managing Member of Cazenovia Creek Investment Management, LLC. Prior to cofounding Tannery Brook Partners, LLC and Cazenovia Creek Investment Management, LLC, Mr. Cohane was a Managing Director and Co-Head of Wachovia Securities' Real Estate Capital Markets platform for Europe, Africa and the Middle East from 2005 to 2008. During his tenure in Europe, Mr. Cohane supervised the structuring and execution of all real estate investment banking products and services throughout Europe and assisted in the creation of Wachovia's Irish Bank. Before his tenure in Europe, Mr. Cohane's U.S. responsibilities included the management and oversight of the CMBS Securitization Group, Contract Finance Group and the Commercial Warehouse Finance/REPO Finance business. As head of the CMBS Securitization Group, Mr. Cohane securitized over \$70 Billion of CMBS. In addition, Mr. Cohane also established Wachovia's U.S. REPO business, where he built and managed a \$5 Billion portfolio.

Prior to joining Wachovia Securities and its predecessors, Mr. Cohane spent seven years as an attorney. He practiced real estate and corporate law at Clifford Chance in New York, and before that he was a real estate associate at Goodwin Proctor & Hoar in Boston. Mr. Cohane also worked as an assistant clerk to Chief Justice Warren Burger at the United States Supreme Court.

Mr. Cohane received a Juris Doctor from Georgetown University and graduated Magna Cum Laude with a Bachelor of Arts degree from Boston College. He currently holds NASD Series 7, Series 79 and NASAA Series 63 licenses and is licensed with the FSA in the United Kingdom. Mr. Cohane is also a member of the Massachusetts and New York Bar Associations. He has been a regular speaker at U.S. and European CMBS and Securitization Conferences, US Tax Lien Conferences, Fitch Conferences, American Securitization Forum, MIT's Sloan MBA Program and New York and North Carolina Bar Association legal education seminars.

Disciplinary Information: N/A

Arbitration Claims: None

Self-Regulatory Organization or Administrative Proceeding: None

Bankruptcy Petition: None

## Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## **Item 4- Other Business Activities**

Mr. Cohane has nothing to report under this item.

## **Item 5- Additional Compensation**

Mr. Cohane has nothing to report under this item.

## **Item 6 - Supervision**

The Firm has adopted policies and procedures to effectively supervise the activities of the Firm and Mr. Cohane. Currently, Mr. William Cohane acts as the Firm's Chief Compliance Officer ("CCO") and can be reached at (704) 654-3900. In his capacity as CCO, Mr. Cohane conducts the following supervisory functions:

- Monitoring performance and advice provided to clients
- Review of employee trading
- Compliance with the Firm's Code of Ethics
- Preparation and filing of applicable regulatory documents

Mr. Cohane conducts periodic reviews of the aforementioned activities to ensure compliance with federal, state and applicable laws and regulations.

## **Item 7- Requirements for State-Registered Advisers**

Mr. Cohane has nothing to report under this item as the Firm is registered with the Securities and Exchange Commission.