

**Korving & Company LLC  
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**Investment Adviser Firm Brochure Supplement  
(ADV Part 2B of Form ADV)**

**March 2012**

**This brochure supplement provides you with information about Arie J. Korving and Stephen J. Korving that supplements the Korving & Co. brochure. You should have received a copy of that brochure. Please contact Arie J. Korving, Chairman, if you did not receive the Korving & Co. brochure or if you have any questions about the contents of this supplement.**

**Additional information about Arie J. Korving and Stephen J. Korving is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)**

**The information contained in this supplement provides you with information about the individuals at Korving & Co. who formulate investment policy, provide advisory services, and with whom you have direct contact:**

**Arie J. Korving, Chairman, Korving & Co.**

Educational Background & Business Experience

Education:

- Michigan Technological University (August 1961 to May 1965)
  - Bachelor of Science (with Honors) - Chemistry
  - Member Phi Lambda Upsilon (Honorary Chemical Society) and Phi Kappa Phi (Michigan Tech Honor Society)

Business Background:

- Korving & Company LLC (October 2009 to Present)
  - Chairman & Co-Founder
- UBS Financial Services, Inc. (2000 to 2009) (Successor to Paine Webber via acquisition)
  - First Vice President - Investments, Advisory & Brokerage Services;
  - Senior Portfolio Manager, Portfolio Management Program
- Paine Webber (1994 to 2000) (Successor to Kidder Peabody via acquisition)
  - Vice President
- Kidder Peabody & Co. (1986 to 1995)
  - Assistant Vice President
- General Electric Company (1978 to 1986)
  - Marketing Management

Professional Designations:

- Certified Financial Planner®, Certified Financial Planner Board of Standards, Inc., Washington, D.C. (See footnote \*)

#### Disciplinary Information

There is no disciplinary information to report regarding Arie J. Korving.

#### Other Business Activities

Mr. Korving is not currently engaged in any other business activities, although as previously disclosed in Form ADV Part 2A, Item 5: Fees & Compensation and Item 10: Other Financial Industry Activities & Affiliations, Mr. Korving is licensed to sell insurance products, but presently clients needing insurance are referred to other insurance advisers and he receives no compensation for such referrals.

#### Additional Compensation

Mr. Korving receives no additional compensation from any other source.

#### Supervision

Mr. Korving's activity is monitored by Stephen J. Korving.

### **Stephen J. Korving, President, Korving & Co.**

#### Educational Background & Business Experience

##### Education:

- Virginia Polytechnic Institute and State University (August 1996 to May 2000)
  - Bachelor of Science - Finance
  - Concentration in Risk Management
  - Member Pi Kappa Alpha

##### Business Background:

- Korving & Company LLC (October 2009 to Present)
  - President & Co-Founder
- UBS Financial Services, Inc. (2004 to 2009)
  - Financial Advisor, Advisory & Brokerage Services

- Cambridge Associates LLC (2000 to 2004)
  - Investment Associate, Senior Investment Associate & Investment Analyst (2000-2002)
  - Hedge Fund Analyst (2002-2004)

Professional Designations:

- Certified Financial Planner®, Certified Financial Planner Board of Standards, Inc., Washington, D.C. (See Footnote\*)

Disciplinary Information

There is no disciplinary information to report regarding Stephen J. Korving.

Other Business Activities

Mr. Korving is not currently engaged in any other business activities, although as previously disclosed in Form ADV Part 2A, Item 5: Fees & Compensation and Item 10: Other Financial Industry Activities & Affiliations, Mr. Korving is licensed to sell insurance products, but presently clients needing insurance are referred to other insurance advisers and he receives no compensation for such referrals.

Additional Compensation

Mr. Korving receives no additional compensation from any other source.

Supervision

Mr. Korving's activity is monitored by Arie J. Korving.

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(Footnote \*) - CFP Certification:

To earn a CFP certification, issued by the Certified Financial Planner Board of Standards, Inc., an individual must:

- have a bachelor's degree (or higher), or its equivalent, in any discipline, from an accredited college or university;
- have three years full-time relevant financial planning employment experience;
- successfully complete the CFP Certification Examination;
- agree and adhere to the CFP Board's Code of Ethics and Professional Responsibility, Rules of Conduct and Financial Planning Practice Standards; and
- acknowledge the CFP Board's right to enforce these practice standards through the Board's Disciplinary Rules and Procedures.

To maintain a CFP certification, a person must complete a 30 hour continuing education program every two years, which consists of 28 hours of instruction in accepted financial planning topics and 2 hours from a pre-approved program on the CFP Board's Standards of Professional Conduct.