



Aqua Capital Advisors LLC
1901 Avenue of the Stars
Suite 1900
Los Angeles, CA 90067

(310) 461-1601
www.aquacapitaladvisors.com

Jeff C. Fang, CFP®
Chief Compliance Officer
Investment Advisor Representative

**Form ADV Part 2B
Brochure Supplement
May 30, 2012**

This brochure supplement provides information about Jeff C. Fang, CFP® that supplements the Aqua Capital Advisors, LLC ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Mr. Fang at (310) 461-1601 if you did not receive the firm's advisory brochure or if you have any questions about the contents of this supplement.

Additional information about Jeff Fang is available on the Securities and Exchange Commission's (SEC) website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

<u>Year of Birth</u>	<u>CRD #</u>
1965	4546975

Educational Background and Business Experience

Regulatory guidance requires the firm to disclose relevant post-secondary education and professional training for each principal executive and associate of the firm through the ADV Part 2A and/or ADV Part 2B (Brochure Supplement), as well as their business experience for at least the previous five years.

Formal Education after High School

B.S. Electrical Engineering – University of Alabama; Tuscaloosa, AL
CERTIFIED FINANCIAL PLANNERTM, CFP[®]
Completed Certified Investment Management AnalystSM Certification Courses

Business Background

Managing Member/Chief Compliance Officer
Aqua Capital Advisors LLC (2009-Present)

Assistant Vice President/Wealth Management Advisor
Bank of America/Merrill Lynch & Co. (2002-2009)

Item 3: Disciplinary Information

There are no material criminal or civil actions, administrative enforcement proceedings, self regulatory organization enforcement proceedings or any other proceedings applicable to Mr. Fang or his firm.

Item 4: Other Business Activities

There are no other reportable business activities.

Item 5: Additional Compensation

Mr. Fang is not compensated for advisory services involving performance-based fees nor does he accept or receive additional economic benefit, such as sales awards or other prizes, for providing advisory services to clients. He is not a senior executive of or insider to an issuer of a security.

Mr. Fang is not registered, nor has an application pending to register, as a registered representative of a broker/dealer or associated person of a futures commission merchant, commodity pool operator, or commodity trading advisor. Therefore, he does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products, including that as a registered representative of a broker/dealer, and including distribution or service ("trail") fees from the sale of mutual funds.

Item 6: Supervision

Mr. Fang serves in multiple capacities with the firm, including Managing Member, Chief Compliance Officer, Financial Planner and Investment Advisor Representative.

The firm recognizes that not having all organizational duties segregated may potentially create a conflict of interest; firm policies and procedures ensure timely, accurate recordkeeping and supervision. Certain functions may be outsourced to assist in these efforts when deemed necessary.

Questions relative to the firm, staff, its services, or this ADV Part 2B may be made to the attention of Mr. Fang at (310) 461-1601. Additional information about the firm or an individual advisor is available on the SEC's website at www.adviserinfo.sec.gov. A search of this site for firms or their associated personnel can be accomplished by name or a unique firm identifier, known as an IARD number. The IARD number for Aqua Capital Advisors LLC is 151887; Mr. Fang's CRD number is 4546975.

The business and disciplinary history of an investment advisory firm and its representatives may also be obtained by calling the California Securities Regulation Division at (866) 275-2677.

Item 7: Requirements for State-Registered Advisors

There have been neither arbitration awards nor any sanctions or other matters where Mr. Fang or Aqua Capital Advisors has been found liable in any civil, self-regulatory or administrative proceeding. Further, neither has the firm nor Mr. Fang been the subject of a bankruptcy petition.

¹The **CERTIFIED FINANCIAL PLANNER™**, CFP®, and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.