

Edward T. Heaphy, Jr.

Heaphy Investments, LLC

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This brochure supplement provides clients with information about Edward T. Heaphy, Jr. that supplements the Heaphy Investments, LLC disclosure brochure. You should have received a copy of the Heaphy Investments, LLC disclosure brochure. Please contact Heaphy Investments, LLC at 413-736-8805 if you did not receive a copy of Heaphy Investments, LLC disclosure brochure or if you have any questions about the contents of this brochure supplement. Additional information about Edward T. Heaphy, Jr. is available on the SEC's website at www.adviserinfo.sec.gov.

EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

Heaphy Investments, LLC (“Heaphy Investments”) is composed of experienced investment professionals possessing a broad range of knowledge within the securities industry. Advisory persons associated with Heaphy Investments must possess, minimally, a degree from an accredited college and a minimum of ten years relevant professional experience.

Edward T. Heaphy, Jr. (Born: 1938)

Education

Columbia College, BA (1963)

Columbia Graduate School of Business, Masters in Business Administration (1965)

Employment History

Managing Member, Heaphy Investments, LLC (2010 to Present)

President, Heaphy Trust Group (1989 to Present)

Shawmut First Bank & Trust Company, Vice President & Senior Trust Officer (1969 to 1975)

Shawmut First Bank & Trust Company, Vice President & Senior Investment Officer (1975 to 1980)

Shawmut First Bank & Trust Company Regional Senior Vice President, Senior Trust Officer (Western Region) (1980 to 1989)

DISCIPLINARY INFORMATION

Mr. Heaphy has no legal or disciplinary events to report.

OTHER BUSINESS ACTIVITIES

Mr. Heaphy also provides private trustee services through a d/b/a called Heaphy Trust Group ("HTG"). HTG provides the following Trust Management Services:

Professional Administration of the Trust

- Collection and distribution of interest and dividends
- Safeguarding of trust assets
- Processing of corporate actions
- Maintenance of tax records

Investment Management of Trust Assets

- Customized asset management strategy
- Strategic asset allocation
- Ongoing monitoring and rebalancing as necessary

As Mr. Heaphy provides trust management services to clients of Heaphy Investments, a potential conflict of interest may exist. Heaphy Investments has enacted policies and procedures to mitigate any potential conflict of interest. In addition, Heaphy Investments is subject to a surprise examination by a public accounting firm subject to the rules of the Public Company Accounting Oversight Board (“PCAOB”). The results of such surprise examination are publicly available via the Investment Adviser Registration Depository.

ADDITIONAL COMPENSATION

Mr. Heaphy does not receive any additional compensation for providing advisory services. Please see the disclosures in the “Other Business Activities” section directly above for information regarding other businesses or occupations engaged in by Mr. Heaphy that provides a substantial source of his income and involves a substantial amount of his time.

SUPERVISION

As a single-member investment advisory firm, Edward Heaphy is responsible for his own supervision. The guiding principals are set forth in the Heaphy Investments Compliance Manual and Code of Ethics. In addition, Heaphy Investments has retained the services of U.S. Compliance Consultants, LLC, a nationally recognized compliance consulting firm.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

There have been no arbitration claims against Mr. Heaphy.

There have been no Self-Regulatory Organization or Administrative Proceedings against Mr. Heaphy.

Mr. Heaphy has not been the subject of a Bankruptcy Petitions.