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Nicholas S. Laverghetta, CFP®

President
Chief Compliance Officer

Form ADV Part 2B
Advisory Personnel
Brochure Supplement
June 1, 2012

This brochure supplement provides information about Nicholas Laverghetta that supplements the NCM Capital Management, LLC Form ADV 2A advisory brochure. You should have received a copy of that brochure. Please contact Mr. Laverghetta at (201) 529-1429 if you did not receive the firm's ADV Part 2A or if you have any questions about the contents of this supplement.

Additional information about Nicholas Laverghetta is available on the Securities and Exchange Commission's (SEC) website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Regulatory guidance requires the firm to disclose relevant post-secondary education and professional training for each principal executive and associate of the firm through its advisory brochure or brochure supplement, as well as their business experience for at least the previous five years.

Year of Birth CRD #

1970 2296013

Formal Education after High School

Bachelor of Arts in Accounting & Finance, Gettysburg College; Gettysburg, PA
CERTIFIED FINANCIAL PLANNER™ Professional, CFP®¹

Business Background

NCM Capital Management, LLC - President [2009-Present]
Brinton Eaton Wealth Advisers - Investment Adviser Representative [2008-2010]
Fidelity Investments - Financial Representative [2000-2008]

Item 3: Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this section. There are no criminal or civil actions, administrative enforcement proceedings, self regulatory organization enforcement proceedings or any other proceedings applicable to the firm or Mr. Laverghetta.

Item 4: Other Business Activities

Mr. Laverghetta is not actively engaged in another *investment-related* business or occupation; he is not registered nor has an application pending to register as a registered representative of a broker/dealer or associated person of a futures commission merchant, commodity pool operator, or commodity trading adviser. Therefore, he does not receive commissions, bonuses or other compensation based on the sale of securities or other investment products, including that as a registered representative of a broker/dealer, and including distribution or service ("trail") fees from the sale of mutual funds.

There are no other outside business activities to report.

Item 5: Additional Compensation

Mr. Laverghetta is not compensated for advisory services involving performance-based fees.

He is not a senior executive of or insider to an issuer of a security.

Our firm also prohibits employees from accepting or receiving additional economic benefit, such as sales awards or other prizes, for providing advisory services to its clients.

Item 6: Supervision

Mr. Laverghetta serves in multiple capacities with the firm; such as Managing Member, President, Chief Compliance Officer and Investment Adviser Representative. The firm recognizes that not having all organizational duties segregated may potentially create a conflict of interest; however, the firm employs policies and procedures to ensure appropriate recordkeeping and supervision. Certain functions may be outsourced to assist in these efforts when necessary. Questions relative to the firm, its services, or this ADV Part 2A may be made to the attention of Mr. Laverghetta at (201) 529-1429.

Additional information about the firm, other advisory firms, or associated investment adviser representatives is available on the Internet at www.adviserinfo.sec.gov. A search of this site for firms or their associated personnel can be accomplished by name or a unique firm identifier, known as an IARD number. The IARD number for NCM Capital Management, LLC is 151109; Mr. Laverghetta's CRD # is 2296013.

The business and disciplinary history, if any, of an investment advisory firm and its representatives may also be obtained by calling the New Jersey Bureau of Securities at (866) 446-8378.

Item 7: Requirements for State-Registered Advisers

There have been neither arbitration awards nor any sanctions or other matters where Mr. Laverghetta or his firm has been found liable in any civil, self-regulatory or administrative proceeding. Further, neither has the firm nor Mr. Laverghetta been the subject of a bankruptcy petition.

¹The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.