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Robert Todd Banks  
Main Line Group Wealth Management, LLC

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Suite 400  
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610.828.8288  
March 31, 2011

*This Brochure provides information about the qualifications and business practices of Main Line Group Wealth Management, LLC. If you have any questions about the contents of this Brochure, please contact us at 610.828.8288 and/or [info@mlgwm.com](mailto:info@mlgwm.com). The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.*

*Main Line Group Wealth Management, LLC is a registered investment adviser. Registration of an Investment Adviser does not imply any level of skill or training. The oral and written communications of an Adviser provide you with information about which you determine to hire or retain an Adviser.*

*Additional information about Main Line Group Wealth Management, LLC also is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

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## **Item 2- Educational Background and Business Experience**

R. Todd Banks was born in 1980 and attended Bloomsburg University in Bloomsburg, Pennsylvania where he received a Bachelor of Science degree in Business Administration with a concentration in Finance in 2001. Upon graduation, Todd worked in a family engineering and survey business that serviced several Fortune 500 companies across the 48 contiguous states. In 2009, Todd became Registered Investment Adviser and applied his knowledge of small privately held business administration in his advisory roles with clients.

Todd has been an advisor with Main Line Group Wealth Management since 2010.

## **Item 3- Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Todd has no material legal or disciplinary events to disclose.

## **Item 4- Other Business Activities**

Todd is licensed to sell securities through Coastal Equities, Inc. ("CEI"), a securities broker/dealer registered with the Financial Industry Regulatory Authority ("FINRA"). In this capacity, Todd is involved in the sale of investment products such as securities, mutual funds and variable products. If an advisory client implements recommendations made by Todd by purchasing securities or other products through CEI, Todd will receive additional compensation in the form of commissions, including 12b-1 fees for the sale of such products. Todd spends approximately 5% of his professional time in his capacities at CEI.

Todd is a licensed insurance agent and can effect transactions in insurance products for his clients and earn commissions for these activities. Main Line Group Wealth Management, LLC expects that clients to whom it offers advisory services may also be clients for whom Todd acts as an insurance agent. Clients are instructed that the fees paid to Main Line Group Wealth Management, LLC for advisory services are separate and distinct from the commissions earned by Todd for placing clients in insurance products. Clients to whom Todd offers advisory services are informed that they are under no obligation to use Todd's insurance services and may use the insurance brokerage firm and agent of their choice. Todd spends approximately 30% of his professional time in his capacities as an insurance agent.

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### **Item 5- Additional Compensation**

Aside from the activities discussed in Item 4 above, Todd receives no economic benefit from any non-client third party.

### **Item 6 - Supervision**

Douglas Kobak, Chief Compliance Officer, monitors the investment advisory activities, personal investing activities, and adherence to the Advisor's compliance program and code of ethics of Main Line Group Wealth Management's supervised persons on a continuous basis using various methods, including periodic inspection and review of client securities positions and transaction activity, obtaining certifications of compliance with company policies and procedures from those supervised, and obtaining and reviewing brokerage statements or transactions and holdings reports of the supervised persons. Mr. Kobak can be reached at 610-828-8288.