

**ITEM 1: Cover Page for  
PART 2B OF FORM ADV:  
BROCHURE SUPPLEMENT  
DATED JANUARY 4, 2012**

**DW ADVISORS LLC DBA. DANIEL WEALTH ADVISORS  
1959 PORT CARDIFF  
NEWPORT, CA 92660**

**FIRM CONTACT: CHARLES BRADLEY DANIEL, CHIEF COMPLIANCE OFFICER**

**FIRM WEBSITE ADDRESS: [WWW.DANIELWA.COM](http://WWW.DANIELWA.COM)**

**This brochure supplement provides information about Mr. Daniel that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Daniel if you did not receive Daniel Wealth Advisors' brochure or if you have any questions about the contents of this supplement.**

**Additional information about Mr. Daniel is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Educational Background and Business Experience

We are required to disclose the following information about Mr. Daniel:

***Charles Bradley Daniel***

*Born 1969*

### **Business Experience**

- 2010-Present, DWR Wealth Management, LLC, Investment Adviser Representative
- 2009 – Present, DW Advisors LLC, D.B.A. Daniel Wealth Advisors, Managing Member and Chief Compliance Officer
- 2009 – Present, Purshe Kaplan Sterling Investments, Inc., Registered Representative
- 2007 – 2009, Morgan Stanley DW Inc., Financial Advisor
- 2003 – 2006, Wachovia Securities LLC, Financial Advisor
- 1995 – 2003, Prudential Securities Incorporated, Financial Advisor

### **Educational Background**

- 1992, Santa Clara University, BS in Economics

### **Exams**

- 1992 – Series 7 & 63
- 1995 – Series 65
- 2005 – Series 31

## Item 3 Disciplinary Information

If there are legal or disciplinary events material to your evaluation of Mr. Daniel, we are required to disclose all material facts regarding those events.<sup>1</sup>

We have nothing to disclose in this regard.

## Item 4 Other Business Activities

- A. If Mr. Daniel is actively engaged in any *investment-related* business or occupation, including if Mr. Daniel is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant (“FCM”),

---

<sup>1</sup> **Note:** Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Mr. Daniel to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Mr. Daniel to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

commodity pool operator (“CPO”), commodity trading advisor (“CTA”), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

1. If a relationship between the advisory business and Mr. Daniel’s other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

Mr. Daniel is also an Investment Adviser Representative, (“IAR”), with DWR Wealth Management LLC. Mr. Daniel will act in his clients’ best interest should any conflicts arise.

2. If Mr. Daniel receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service (“trail”) fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Mr. Daniel receives. We must explain that this practice gives Mr. Daniel an incentive to recommend investment products based on the compensation received, rather than on your needs.

Mr. Daniel is a registered representative with Purshe Kaplan Sterling Investments, Inc. (“PKS”), a registered broker-dealer and Member FINRA/SIPC. In order to comply with FINRA Conduct Rule 3040, PKS, as an unaffiliated broker-dealer, may periodically review the investment advisory transactions of our firm. This information will be viewed by PKS’ compliance department personnel for supervisory purposes only. No information viewed will be utilized for purposes of solicitation or shared with any affiliation outside the scope of regulatory compliance.

Mr. Daniel is licensed to sell life and health insurance. He may receive the normal commissions for securities or insurance sales in his separate role(s) as a registered representative or insurance agent/broker.

Our firm may offer certain qualified clients trading services which gives us the ability to execute trades through PKS of client assets custodied at Schwab.

Certain affiliated persons for our firm (Investment Adviser Representatives or IARs) may, in our capacity as Registered Representatives of PKS, receive commissions or other compensation for these transactions.

A conflict of interest may exist when, as a PKS Registered Representative, an IAR receives commissions or additional compensation when the RIA recommends these transactions to clients.

- B. If Mr. Daniel is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Mr. Daniel’s income or involve a substantial amount of Mr. Daniel’s time, we are required to disclose this fact and must describe the nature of that

business. If the other business activities represent less than 10 percent of Mr. Daniel's time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

### **Item 5 Additional Compensation**

If someone who is not a *client* provides an economic benefit to Mr. Daniel for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Mr. Daniel's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, *client* referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

### **Item 6 Supervision**

We are required to explain how we *supervise* Mr. Daniel, including how we monitor the advice Mr. Daniel provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Mr. Daniel's advisory activities on behalf of our firm.

Mr. Daniel is the Chief Compliance Officer and as such has no internal supervision placed over him. He is however bound by our firm's Code of Ethics.

### **Item 7 Requirements for State-Registered Advisers**

A. In addition to the events listed in Item 3 of Part 2B, if Mr. Daniel has been *involved* in one of the events listed below, we disclose all material facts regarding the event.

1. An award or otherwise being *found* liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

2. An award or otherwise being *found* liable in a civil, *self-regulatory organization*, or administrative proceeding involving any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;

- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

- B. If Mr. Daniel has been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status.

We have nothing to disclose in this regard.