

This brochure supplement provides information about James B Scanlon that supplements the Kapp/Scanlon Financial Group, LLC brochure. You should have received a copy of that brochure. Please contact James B Scanlon, Principal/CCO if you did not receive Kapp/Scanlon Financial Group, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about James B Scanlon is also available on the SEC's website at www.adviserinfo.sec.gov.

Kapp/Scanlon Financial Group, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

James B Scanlon

Personal CRD Number: 2764560

Investment Adviser Representative

Kapp/Scanlon Financial Group, LLC
6119 Callery Street
Pittsburgh, Pennsylvania, 15206
(412) 428-9546
www.kappscanlon.com
james.scanlon@kappscanlon.com

UPDATED: 5/21/2012

Item 2: Educational Background and Business Experience

Name: James B Scanlon

Born: 1966

Education Background and Professional Designations:

Education:

BA Political Science, Mathematics
Indiana University of Pennsylvania – 1989

Designations:

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Business Background:

2008 – Present	Principal/CCO Kapp/Scanlon Financial Group, LLC
2002 – 2008	Account Vice President UBS Financial Services Inc.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

James B Scanlon is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5: Additional Compensation

Other than salary, annual bonuses, regular bonuses, James B Scanlon does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Kapp/Scanlon Financial Group, LLC.

Item 6: Supervision

As a co-owner/ representative of Kapp/Scanlon Financial Group, LLC, James B. Scanlon reviews all advice provided to clients prior to implementation. James B Scanlon's contact information is on the cover page of this disclosure document.

Item 7: Requirements For State Registered Advisers

This disclosure is required by Pennsylvania securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. James B Scanlon has **NOT** been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

B. James B Scanlon has **NOT** been the subject of a bankruptcy petition at any time.