

*This brochure supplement provides information about Brian Joseph Kapp that supplements the Kapp/Scanlon Financial Group, LLC brochure. You should have received a copy of that brochure. Please contact Brian Joseph Kapp, Managing Member if you did not receive Kapp/Scanlon Financial Group, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Brian Joseph Kapp is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Kapp/Scanlon Financial Group, LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Brian Joseph Kapp**

Personal CRD Number: 2640707

Investment Adviser Representative

Kapp/Scanlon Financial Group, LLC  
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Pittsburgh, Pennsylvania 15221  
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UPDATED: 5/21/2012

## Item 2: Educational Background and Business Experience

**Name:** Brian Joseph Kapp

**Born:** 1973

### **Education Background and Professional Designations:**

#### **Education:**

BS Industrial Management and Economics  
Carnegie Mellon University - 1995

#### **Designations:**

##### **CFA - Chartered Financial Analyst**

###### **CFA MINIMUM QUALIFICATIONS:**

- Bachelor's degree (or equivalent, as assessed by CFA institute)
- Must pass three six-hour exams
- Must have 48 months of qualified, professional work experience.
- CFA charter holders are also obligated to follow the CFA's Code of Ethics and Standards governing professional conduct.

#### **Business Background:**

2008 - Present	Principal, Portfolio Manager Kapp/Scanlon Financial Group, LLC
2005 - 2008	Branch Manager UBS Financial Services Inc.
2002 - 2008	Account Vice President UBS Financial Services Inc.

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Brian Joseph Kapp is not engaged in any investment-related business or occupation (other than this advisory firm).

### **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Brian Joseph Kapp does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Kapp/Scanlon Financial Group, LLC.

### **Item 6: Supervision**

As a co-owner/ representative of Kapp/Scanlon Financial Group, LLC, Brian Joseph Kapp works closely with James B. Scanlon, and all advice provided to clients is reviewed by James B Scanlon prior to implementation. Brian Joseph Kapp's contact information is on the cover page of this disclosure document.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by Pennsylvania securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Brian Joseph Kapp has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Brian Joseph Kapp has **NOT** been the subject of a bankruptcy petition at any time.