

*This brochure supplement provides information about Emma S Kapp that supplements the Kapp/Scanlon Financial Group, LLC brochure. You should have received a copy of that brochure. Please contact Emma S Kapp, Managing Member if you did not receive Kapp/Scanlon Financial Group, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Emma S Kapp is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Kapp/Scanlon Financial Group, LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Emma S Kapp**  
Investment Adviser Representative

Kapp/Scanlon Financial Group, LLC  
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UPDATED: 5/21/2012

## **Item 2: Educational Background and Business Experience**

**Name:** Emma S Kapp  
**Born:** 1969

### **Education Background and Professional Designations:**

#### **Education:**

BA French, University of Pittsburgh - 1991

MBA Business Administration, Point Park University - 1996

#### **Business Background:**

2011 - Present                      Chief Marketing Officer  
Kapp/Scanlon Financial Group, LLC

2006 - 2009                      Sales Manager, Publisher  
Technology Publishing Company

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Emma S Kapp is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Emma S Kapp does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Kapp/Scanlon Financial Group, LLC.

## Item 6: Supervision

As a representative of Kapp/Scanlon Financial Group, LLC, Emma S Kapp works closely with James B. Scanlon, and all advice provided to clients is reviewed by James B Scanlon prior to implementation. Emma S Kapp's contact information is on the cover page of this disclosure document.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by Pennsylvania securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Emma S Kapp has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Emma S Kapp has NOT been the subject of a bankruptcy petition at any time.