

Volomite Management, LLC

Firm Brochure

This brochure provides information about the qualifications and business practices of Volomite Management, LLC. If you have any questions about the contents of this brochure, please contact us at (859) 255-0860 or by email at: jsautter@volomite.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Volomite Management, LLC is also available on the SEC's website at www.adviserinfo.sec.gov. Volomite Management, LLC's CRD number is: 149574

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Item 2: Material Changes

There are no material changes in this brochure from Volomite Management, LLC's last annual update filing on March 16, 2011. Material changes relate to Volomite Management, LLC's policies, practices or conflicts of interests only.

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Item 4: Advisory Business

A. Description of the Advisory Firm

This firm has been in business since 5/13/2009, and the principal owner is Justin George Sautter.

B. Types of Advisory Services

Volomite Management, LLC (hereinafter “VM”) offers the following services to advisory clients:

Investment Supervisory Services

VM offers ongoing portfolio management services based on the individual goals, objectives, time horizon, and risk tolerance of each client. VM creates an Investment Policy Statement for each client, which outlines the client’s current situation (income, tax levels, and risk tolerance levels) and then constructs a plan (the Investment Policy Statement) to aid in the selection of a portfolio that matches each client’s specific situation. Investment Supervisory Services include, but are not limited to, the following:

- Investment strategy
- Asset allocation
- Risk tolerance
- Personal investment policy
- Asset selection
- Regular portfolio monitoring

VM evaluates the current investments of each client with respect to their risk tolerance levels and time horizon. Risk tolerance levels are documented in the Investment Policy Statement, which is given to each client.

Selection of Other Advisors

VM may direct clients to third party sub advisors.

Financial Planning

Financial plans and financial planning may include, but are not limited to: investment planning; life insurance; tax concerns; retirement planning; college planning; and debt/credit planning. VM will work with clients to manage their wealth and effectively plan for the future. VM understands that the world of trusts and estates can be confusing and at times overwhelming, and they want to be a first line resource to clients in these areas. These services are based on fixed fees and the final fee structure is documented in Exhibit II of the Financial Planning Agreement.

Services Limited to Specific Types of Investments

VM does not limit its investment advice and/or money management to specific types of investments or securities. VM may use other securities as well to help diversify a portfolio when applicable.

C. Client Tailored Services and Client Imposed Restrictions

VM offers the same suite of services to all of its clients. However, specific client financial plans and their implementation are dependent upon the client Investment Policy Statement which outlines each client's current situation (income, tax levels, and risk tolerance levels) and is used to construct a client specific plan to aid in the selection of a portfolio that matches restrictions, needs, and targets.

Clients may impose restrictions in investing in certain securities or types of securities in accordance with their values or beliefs. However, if the restrictions prevent VM from properly servicing the client account, or if the restrictions would require VM to deviate from its standard suite of services, VM reserves the right to end the relationship.

D. Wrap Fee Programs

VM does not participate in any wrap fee programs.

E. Amounts Under Management

VM has the following assets under management:

Discretionary Amounts:	Non-discretionary Amounts:	Date Calculated:
\$0.00	\$131,820,057.55	12/31/2011

Item 5: Fees and Compensation

A. Fee Schedule

Investment Supervisory Services Fees

Total Assets Under Management	Annual Fee
First \$500,000	2.00%
Next \$500,000	1.75%
Next \$1,000,000	1.50%
Exceeding \$2,000,000	1.25%

These fees are negotiable and the final fee schedule is attached as Exhibit II of the Investment Advisory Contract. Fees are paid quarterly in arrears, and clients may terminate their contracts with thirty days' written notice. Because fees are charged in arrears, no refund policy is necessary. Clients may terminate their accounts without penalty within 5 business days of signing the advisory contract. Advisory fees are withdrawn directly from the client's accounts with client written authorization at the initial contract/account opening.

Financial Planning Fees

FIXED FEES

Depending upon the complexity of the situation and the needs of the client, the rate for creating client financial plans is between \$50 and \$10,000. Fees are paid in arrears upon completion. Because fees are charged in arrears, no refund is necessary. The fees are negotiable and the final fee schedule will be attached as Exhibit II of the Financial Planning Agreement. Clients may terminate their contracts without penalty within five business days of signing the advisory contract.

Selection of Other Advisors Fees

This relationship will be disclosed in each contract between VM and each third party advisor. The fees shared will not exceed any limit imposed by any regulatory agency.

B. Payment of Fees

Payment of Investment Supervisory Fees

Advisory fees are withdrawn directly from the client's accounts with client written authorization. Fees are paid quarterly in arrears.

Payment of Financial Planning Fees

Fixed Financial Planning fees are paid via check or credit card. Fees are paid in arrears upon completion. Because fees are charged in arrears, no refund is necessary.

C. Clients Are Responsible For Third Party Fees

Clients are responsible for the payment of all third party fees (i.e. mutual funds, outside managed accounts, and transaction fees etc.). Those fees are separate and distinct from the fees and expenses charged by VM. Please see Item 12 of this brochure regarding broker/custodian.

D. Prepayment of Fees

VM collects its fees in arrears. It does not collect fees in advance.

E. Outside Compensation For the Sale of Securities to Clients

Neither VM nor its supervised persons accept any compensation for the sale of securities or other investment products, including asset-based sales charges or services fees from the sale of mutual funds.

Item 6: Performance-Based Fees and Side-By-Side Management

VM does not accept performance-based fees or other fees based on a share of capital gains on or capital appreciation of the assets of a client.

Item 7: Types of Clients

VM generally provides investment advice to the following Types of Clients:

- ❖ High-Net-Worth Individuals

Minimum Account Size

There is no account minimum.

Item 8: Methods of Analysis, Investment Strategies and Risk of Investment Loss

A. Methods of Analysis and Investment Strategies

VM's methods of analysis include charting analysis, fundamental analysis, and technical analysis.

Charting analysis involves the use of patterns in performance charts. VM uses this charting technique to search for patterns used to help predict favorable conditions for buying and/or selling a security.

Fundamental analysis involves the analysis of financial statements, the general financial health of companies, and/or the analysis of management or competitive advantages.

Technical analysis involves the analysis of past market data; primarily price and volume.

Investing in securities involves a risk of loss that you, as a client, should be prepared to bear.

B. Material Risks Involved

VM uses Long Term, Short Term, Trading, Short Sales, Margin Transactions, and Option Writing and does not feel that there are any material risks with these methods. Frequent trading, when done, can affect investment performance, particularly through increased brokerage and other transaction costs and taxes.

C. Risks of Specific Securities Utilized

VM utilizes a number of different securities, none of which include a significant or unusual risk.

Item 9: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business or the integrity of our management.

Item 10: Other Financial Industry Activities and Affiliations

A. Registration as a Broker/Dealer or Broker/Dealer Representative

Neither VM nor its representatives are registered as a broker/dealer or as representatives of a broker/dealer.

B. Registration as a Futures Commission Merchant, Commodity Pool Operator, or a Commodity Trading Advisor

Neither VM nor its representatives are registered as a FCM, CPO, or CTA.

C. Registration Relationships Material to this Advisory Business and Possible Conflicts of Interests

Neither VM nor its representatives have any material relationships to this advisory business that would present a possible conflict of interest.

D. Selection of Other Advisors or Managers and How This Adviser is Compensated for Those Selections

VM does not receive compensation from the sub-advisors he uses.

Item 11: Code of Ethics, Participation in Transactions, Personal Trading

A. Code of Ethics

We have a written Code of Ethics that covers the following areas: Prohibited Purchases and Sales, Insider Trading, Personal Securities Transactions, Exempted Transactions, Prohibited Activities, Conflicts of Interest, Gifts and Entertainment, Confidentiality, Service on a Board of Directors, Compliance Procedures, Compliance with Laws and Regulations, Procedures and Reporting, Certification of Compliance, Reporting Violations, Compliance Officer Duties, Training and Education, Recordkeeping, Annual Review, and Sanctions. Clients may request a copy of our Code of Ethics from management.

B. Recommendations Involving Material Financial Interests

VM does not recommend that clients buy or sell any security in which a related person to VM has a financial interest.

C. Investing Personal Money in the Same Securities as Clients

From time to time, representatives of VM may buy or sell securities for themselves that they also recommend to clients. VM will always document any transactions that could be construed as conflicts of interest and will always transact client business before their own when similar securities are being bought or sold.

D. Trading Securities At/Around the Same Time as Clients' Securities

From time to time, representatives of VM may buy or sell securities for themselves at or around the same time as clients. However VM will always transact client business before their own when similar securities are being bought or sold, unless block trading is implemented and both parties are on the same block trade.

Item 12: Brokerage Practices

A. Factors Used to Select Custodians and/or Broker/Dealers

The Custodian was chosen based on their relatively low transaction fees and access to mutual funds and ETFs. VM will never charge a premium or commission on transactions, beyond the actual cost imposed by Custodian.

1. Research and Other Soft-Dollar Benefits

VM receives no research, product, or service other than execution from a broker-dealer or third-party in connection with client securities transactions ("soft dollar benefits").

2. Brokerage for Client Referrals

VM receives no referrals from a broker-dealer or third party in exchange for using that broker-dealer or third party.

3. Clients Directing Which Broker/Dealer/Custodian to Use

VM recommends clients to use a specific broker-dealer to execute transactions. By recommending clients to use specific custodian, VM may be unable to achieve most favorable execution of client transaction and that this may cost clients money over using a lower-cost custodian.

B. Aggregating (Block) Trading for Multiple Client Accounts

VM maintains the ability to block trades purchases across accounts but will rarely do so. While block trading may benefit clients by purchasing larger blocks in groups, VM does not feel that the clients are at a disadvantage due to the best execution practices of our custodian. The shares are purchased through VM's master account and then will allocate the shares at the average price to the clients. Clients' funds, however, are never pooled during this process.

Item 13: Reviews of Accounts

A. Frequency and Nature of Periodic Reviews and Who Makes Those Reviews

Client accounts will typically be reviewed quarterly on an ongoing basis. Accounts will be reviewed by Justin Sautter.

B. Factors That Will Trigger a Non-Periodic Review of Client Accounts

Reviews may be triggered by material market, economic or political events, or by changes in client's financial situations.

C. Content and Frequency of Regular Reports Provided to Clients

Each client will receive a written monthly report detailing the clients account performance, which will come from the custodian.

Item 14: Client Referrals and Other Compensation

A. Economic Benefits Provided by Third Parties for Advice Rendered to Clients (Includes Sales Awards or Other Prizes)

VM does not receive any economic benefit, directly or indirectly from any third party for advice rendered to VM clients.

B. Compensation to Non –Advisory Personnel for Client Referrals

VM does not directly or indirectly compensate any person who is not advisory personnel for client referrals.

Item 15: Custody

VM does not take custody of client accounts at any time. Custody is held primarily at Schwab Institutional, a division of Charles Schwab & Co., Inc. for accounts. Clients will receive account statements from the custodian and should carefully review those statements.

Item 16: Investment Discretion

VM does not have discretion over client accounts at any time.

Item 17: Voting Client Securities (Proxy Voting)

VM will not ask for, nor accept voting authority for client securities. Clients will receive proxies directly from the issuer of the security or the custodian. Clients should direct all proxy questions to the issuer of the security.

Item 18: Financial Information

A. Balance Sheet

VM does not require nor solicit prepayment of more than \$1,200 in fees per client, six months or more in advance and therefore does not need to include a balance sheet with this brochure.

B. Financial Conditions Reasonably Likely to Impair Ability to Meet Contractual Commitments to Clients

VM nor its management have any financial conditions that are likely to reasonably impair our ability to meet contractual commitments to clients.

C. Bankruptcy Petitions in Previous Ten Years

VM nor its management have been the subject of a bankruptcy petition in the last ten years.