

**Item # 1 – Cover Page**

**SEC FORM ADV**

**PART 2B**

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**This brochure supplement provides information about Samuel J. Taylor and Kelly L. Adams that supplements the Wealthview Capital, LLC brochure. You should have received a copy of that brochure.**

**Please contact Samuel J. Taylor at 601-981-4040 or [staylor@wealthviewcapital.com](mailto:staylor@wealthviewcapital.com) if you did not receive Wealthview Capital's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Samuel J. Taylor and Kelly L. Adams is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item # 2 – Educational Background and Business Experience**

Samuel J. Taylor (54) established Wealthview Capital, LLC in 05/2009 as its Managing Member/Chief Compliance Officer and concurrently became an Investment Advisor Representative. Previously he served as Managing Member of Taylor Capital Management, LLC an independent contractor registered with Wachovia Securities Financial Network (WSFN) from 03/05 – 05/09. While affiliated with WSFN he was a registered associate from 03/2005-05/2009. He holds a master's degree in Human Relations and Supervision and a bachelor's degree in Psychology from Louisiana Tech University.

He is a Certified Investment Management Analyst<sup>SM</sup> (CIMA®), a designation he received in 1996 from the Investment Management Consultants Association<sup>SM</sup> (IMCA®) after completing coursework at the Wharton Business School. The CIMA certification program is the only credential designed specifically for financial professionals who want to attain a level of competency as an advanced investment consultant. The CIMA professional integrates a complex body of investment knowledge to provide objective investment advice and guidance to individuals and institutions. CIMA designees must successfully complete IMCA's rigorous education program and meet other certification requirements including adherence to IMCA's *Code of Professional Responsibility and Standards of Practice* and obtaining 40 hours of qualifying continuing professional education every two years.

He also holds the designation of Accredited Investment Fiduciary® (AIF®) awarded in 2010 by Fi360™. The AIF designation represents a thorough knowledge of and ability to apply the fiduciary *Practices*. AIF designees learn the *Practices* and the legal and best practice framework upon which they are built and how to apply them within existing fiduciary policies and procedures. AIF designees must complete an in-depth training curriculum, adhere to the *AIF/AIFA Code of Ethics* and obtain 6 hours of continuing professional education annually.

Additionally he was awarded the Chartered Retirement Planning Counselor<sup>SM</sup> designation in 1999 from the College for Financial Planning®. The CRPC Program focuses on the pre- and post-retirement needs of individuals including estate planning and asset management. The College for Financial Planning® awards the CRPC® designation to students who have successfully completed the program, comply with the *Code of Ethics* and *Standards of Professional Conduct* and obtain 16 hours continuing professional education every two years.

**Item # 3 – Disciplinary Information** – Samuel J. Taylor has no disciplinary history that is required to be disclosed by the U.S. Securities and Exchange Commission or state regulatory authorities.

**Item #4 – Other Business Activities** – Samuel J. Taylor has no other business activities besides providing advisory services.

**Item #5 – Additional Compensation** – Samuel J. Taylor does not receive any additional compensation other than from advisory fees received by Wealthview Capital, LLC.

**Item #6 - Supervision** – All employees of Wealthview Capital, LLC are deemed to be Access Persons. The firm has adopted a Code of Ethics and Written Supervisory Procedures to ensure appropriate and ethical behavior by all employees. Samuel J. Taylor is the Chief Compliance Office responsible for educating, monitoring and enforcing compliance with all policies, procedures and codes, including himself. He can be contacted at 601-981-4040.

## **Item # 2 – Educational Background and Business Experience**

Kelly Adams (36) joined Wealthview Capital, LLC at its formation in 05/2009 and concurrently became an Investment Advisor Representative. From 05/2008 to 05/2009 he served as research associate/portfolio manager with Taylor Capital Management, LLC, an independent contractor registered with Wachovia Securities Financial Network (WSFN). While affiliated with WSFN he was a registered associate from 07/2008-05/2009. Previously he worked as a staff accountant from 10/2006 to 05/2008 with Breazeale, Saunders and O'Neil and from 12/2005 – 10/2006 as Vice President for Market Mosaics, an investment newsletter publisher. He holds a master's degree in Business Administration from Millsaps College and a bachelor's degree in English from the University of Mississippi.

He received the Chartered Retirement Planning Counselor<sup>SM</sup> designation in 2008 from the College for Financial Planning®. The CRPC Program focuses on the pre- and post-retirement needs of individuals including estate planning and asset management. The College for Financial Planning® awards the CRPC® designation to students who have successfully completed the program, comply with the *Code of Ethics* and *Standards of Professional Conduct* and obtain requisite hours of continuing professional education.

He also received the Chartered Mutual Fund Counselor<sup>SM</sup> (CMFC®) designation in 2008 from the College for Financial Planning®. The CMFC Program is the only industry-recognized mutual fund designation. It is the result of collaboration between the College for Financial Planning® and the Investment Company Institute (ICI), the primary trade association for the mutual fund industry. The program's quality and thoroughness reflect the combined experience and expertise of the College and the ICI.

The CMFC<sup>SM</sup> program provides designees with a thorough knowledge of mutual funds and their various uses as investment vehicles. The College for Financial Planning® awards the Chartered Mutual Fund Counselor<sup>SM</sup> and CMFC® designation to students who successfully complete the program, comply with the *Code of Ethics*, which includes agreeing to abide by the *Standards of Professional Conduct* and *Terms and Conditions* and obtain requisite hours of continuing professional education.

**Item # 3 – Disciplinary Information** – Kelly L. Adams has no disciplinary history that is required to be disclosed by the U.S. Securities and Exchange Commission or state regulatory authorities.

**Item #4 – Other Business Activities** – Kelly L. Adams has no other business activities besides providing advisory services.

**Item #5 – Additional Compensation** – Kelly L. Adams does not receive any additional compensation other than from advisory fees received by Wealthview Capital, LLC.

**Item #6 - Supervision** – All employees of Wealthview Capital, LLC are deemed to be Access Persons. The firm has adopted a Code of Ethics and Written Supervisory Procedures to ensure appropriate and ethical behavior by all employees. Samuel J. Taylor is the Chief Compliance Office responsible for educating, monitoring and enforcing compliance with all policies, procedures and codes, including Mr. Adams. Mr. Taylor can be contacted at 601-981-4040.