



**Global Wealth Management**

*A Registered Investment Advisor*

David C. Jones

DCJ Global Wealth Management

January 23, 2012

3011 Centre Oak Way, Suite 101  
Germantown, TN 38138

901.755.9797 / office  
888.702.9797 / toll-free  
901.755.1994 / fax

[www.dcjglobal.net](http://www.dcjglobal.net)  
[david@dcjglobal.net](mailto:david@dcjglobal.net)

This Brochure Supplement provides information about David C. Jones that supplements the DCJ Global Wealth Management Brochure. You should have received a copy of that Brochure. Please contact DCJ Global Wealth Management if you did not receive it or have any questions about the contents of this supplement. Additional information about David C. Jones is available on the SEC's website at [www.adverinfo.sec.gov](http://www.adverinfo.sec.gov).

## Item 2: Educational Background and Business Experience

David C. Jones

Born: 1961

### Formal Education

- University of Oklahoma, B.A., 1987
- Western Oklahoma State, A.A., 1894

### Business Background

- David C. Jones Wealth Management, Managing Director, Chief Compliance Officer, 10/2008 – Present
- LPL Financial Services, Registered Representative/Principal, 10/2008 – Present
- Wachovia Securities Financial Network, LLC, Registered Representative, 9/2005 – 10/2008
- Wachovia Securities, LLC, Registered Representative, 12/2000 – 9/2005
- LPL Financial Corporation, Registered Representative, 11/1998 – 12/2000
- Edward Jones, Registered Representative, 11/1992 – 12/1998

## Item 3: Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

David C. Jones has not been involved in any legal or disciplinary event and has no information applicable to this Item.

## Item 4: Other Business Activities

David C. Jones is licensed as a registered representative and investment adviser representative of the broker-dealer firm LPL Financial, which is a separate entity from DCJ Global Wealth Management. This relationship can be perceived as a conflict of interest. Investment advisor representatives and registered representatives are legally held to different standards of care – investment advisors are fiduciaries, while registered

representatives are held to the suitability standard. This means that investment advisor representatives are required to act at all times for the sole benefit and interest of their clients, while registered representatives are only required to make recommendations reasonably suitable for the client, allowing for a lower standard of responsibility and liability.

Despite these legal distinctions, however, all employees of DCJ Global Wealth Management are committed to treating all clients with the highest standard of care. We have an undivided duty of loyalty to our clients and make all of our recommendations and decisions, both brokerage and advisory, with our client's best interests in mind.

In addition, as a registered representative, David has the potential for compensation as a result of transactions in a client's portfolio. This presents a conflict of interest to the extent that it could give David a financial incentive to recommend purchases and sales in a client's account based on the commissions he receives, rather than based solely on the client's best interests. We are careful to monitor client accounts in an effort to identify trading that is inconsistent with the client's investment objectives, risk tolerance or account restrictions, or is otherwise not in the client's best interest. However, clients are under no obligation to act upon any recommendations of ours, nor to effect any transaction through DCJ Global Wealth Management, if they decide to follow any recommendations. Also, clients are urged to monitor trading in their own accounts by reviewing trade confirmations and account statements delivered to them directly from the custodian.

## Item 5: Additional Compensation

If someone who is not a client provides an economic benefit (bonuses, sales awards, other prizes) to the supervised person for providing advisory services, this must be disclosed. David does not receive any additional compensation, thus there is no information to disclose in this Item.

## Item 6: Supervision

David is the firm's highest level of supervision, the Managing Director, and is required to conduct himself and his advisory decisions with the utmost in openness, integrity, honesty, and trust. He can be reached at (901) 755-9797.

## Item 7: Requirements for State-Registered Advisers

David has not been involved in any arbitration claims, civil, self-regulatory organization, or administrative proceedings, or bankruptcy petitions, thus there is no information to disclose in these regards.