

# DANIEL A. KOSTOMAY

This brochure provides supplemental information about Daniel A. Kostomay (“Investment Advisor Representative”, “IAR”, “Employee”) that supplements the NWAM, LLC dba Northwest Asset Management brochure, which should have also been provided to you. Please contact Daniel A. Kostomay at Northwest Asset Management if you need the Northwest Asset Management brochure or if you have any questions.

Additional information about Northwest Asset Management is also available at the SEC’s website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (under “investment adviser firm” and type in our firm name).

JULY 13, 2012

NORTHWEST ASSET MANAGEMENT  
7900 SE 28<sup>TH</sup> STREET, SUITE 412, MERCER ISLAND, WASHINGTON 98040  
DAN@NWASSET.COM  
(206) 838-3680



## TABLE OF CONTENTS

Item 2 - Educational Background and Business Experience.....	3
Item 3 - Disciplinary Information .....	3
Item 4 - Other Business Activities.....	3
Item 5 - Additional Compensation.....	4
Item 6 – Supervision.....	4

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Daniel A. Kostomay**

Year Born: 1964

### **Educational Background:**

B.S.C., Organizational Communication – Ohio University (1986)

### **Business Background:**

07/2012 – Present: *Relationship Management*, NWAM, LLC dba Northwest Asset Management

01/2008 – 04/2012: *Investment Consultant*, TD Ameritrade

05/2007 – 12/2007: *Loan Officer*, TILA Mortgage

03/1999 – 05/2007: *Investment Consultant*, Fidelity Investments

### **Professional Qualifications:**

Series 7, General Securities Representative Examination (1992)

Series 63, Uniform Securities Agent Laws (1989)

Series 65, Uniform Investment Adviser Law Examination (1999)

## ITEM 3 - DISCIPLINARY INFORMATION

Daniel A. Kostomay has no legal or disciplinary events that are material to you or a prospective client's evaluation of this advisory business.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

The principal business of Northwest Asset Management is that of a registered investment advisor and provider of financial planning services. Daniel A. Kostomay is also an insurance agent. Employees who are insurance agents may be paid for these services. In cases where we receive payment, there may be a conflict of interest. At all times, you are free to choose outside agents to avoid the possibility of there being a conflict of interest.



## **ITEM 5 - ADDITIONAL COMPENSATION**

Other than work with Northwest Asset Management and disclosures made in Item 4 above, Daniel A. Kostomay receives no additional compensation related to outside business activities.

## **ITEM 6 – SUPERVISION**

Daniel A. Kostomay is not a supervising authority at Northwest Asset Management and works closely with and is supervised by Gregory A. Headrick, Managing Member and Chief Compliance Officer. Mr. Headrick may be contacted at the address or phone number on the cover page of this document.