

# KIMBERLY M. TAURMAN

This brochure provides supplemental information about Kimberly M. Taurman (“Investment Advisor Representative”, “IAR”, “Employee”) that supplements the NWAM, LLC dba Northwest Asset Management brochure, which should have also been provided to you. Please contact Kimberly M. Taurman at Northwest Asset Management if you need the Northwest Asset Management brochure or if you have any questions.

Additional information about Northwest Asset Management is also available at the SEC’s website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (under “investment adviser firm” and type in our firm name).

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## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Kimberly M. Taurman**

Year Born: 1970

**Educational Background:**

Rocky Mountain College (1988-1989)

**Professional Qualifications:**

Series 7, General Securities Representative Examination (1995)

Series 10, General Securities Sales Supervisor Examination (2010)

Series 63, Uniform Securities Agent Laws (1995)

Series 65, Uniform Investment Adviser Law Examination (2011)

**Business Background:**

03/2011 – Present: *Partner, Investment Advisor Representative*, NWAM, LLC dba Northwest Asset Management

03/2008 – 03/2011: *Branch Service/Investment Associate Manager*, RBC Capital Markets Corporation

03/1998 – 03/1998: *Operations Manager*, RBC Dain Rauscher Incorporated

## ITEM 3 - DISCIPLINARY INFORMATION

Kimberly M. Taurman has no legal or disciplinary events that are material to a Client's or prospective Client's evaluation of this advisory business.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

The principal business of Northwest Asset Management is that of a registered investment advisor and provider of financial planning services.

## ITEM 5 - ADDITIONAL COMPENSATION

Other than work with Northwest Asset Management and disclosures made in Item 4 above, Kimberly M. Taurman receives no additional compensation related to outside business activities.

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## **ITEM 6 – SUPERVISION**

Kimberly M. Taurman is not a supervising authority at Northwest Asset Management and works closely with and is supervised by Gregory A. Headrick, Managing Member and Chief Compliance Officer. Mr. Headrick may be contacted at the address or phone number on the cover page of this document.