

**Part 2B of Form ADV: *Brochure Supplement***

Paul Gomez  
(949) 756-2230

**Sagent|Wealth Management**

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This brochure supplement provides information about Paul Gomez that supplements the Sagent Wealth Management brochure. You should have received a copy of that brochure. Please contact Iris Datzman if you did not receive Sagent Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Paul Gomez is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## **Item 1 Educational, Background and Business Experience**

**Full Legal Name:** Paul Gomez

**Born:** 1956

### **Education**

- California State University, Fullerton; Bachelor of Science, Business Finance, Latin American Studies, Spanish Literature; 1977
- American Graduate School of International Management; Masters, International Management; 1978

### **Business Experience**

- Sagent Wealth Management; Senior Financial Advisor; from 08/2009 to Present
- Merrill Lynch, Pierce, Fenner & Smith, Inc.; Financial Advisor; from 03/1990 to 07/2009
- Dean Witter Reynolds, Inc.; Financial Advisor; from 09/1979 to 03/1990

## **Item 2 Disciplinary Information**

FINRA is currently investigating Mr. Gomez for allegations regarding behavior while employed at Merril Lynch, including unsuitable options transactions, misrepresenting the nature of trading to customers and supervisors, exercise of discretion without written authorization, improper options programs, and books and records violations. The case is still pending, and no action has been brought against Mr. Gomez at this time.

## **Item 3 Other Business Activities**

### **A. Investment-Related Activities**

1. Paul Gomez is not engaged in any other investment-related activities.
2. Paul Gomez does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

### **B. Non Investment-Related Activities**

Mr. Gomez is also a Self Employed Sale Rep with Invado Inc. The company is involved in multilevel internet marketing.

This outside activity does not cause any conflict of interest or interfere with Mr. Gomez's commitment to Sagent Wealth Management.

#### **Item 4 Additional Compensation**

Paul Gomez does not receive any economic benefit from a non-advisory client for the provision of advisory services.

#### **Item 5 Supervision**

**Supervisor:** Marshall G. Eichenauer, Jr.

**Title:** Owner/Managing Partner

**Phone Number:** (949) 756-2226