

# JOHN F. PATZMAN

WEALTH RESCUE STRATEGIES, INC.  
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**This brochure provides supplemental information about John F. Patzman (“John Patzman”). This supplements the Wealth RESCue Strategies, Inc. brochure, which should have also been provided to you. Please contact John Patzman at Wealth RESCue Strategies, Inc. if you need the Wealth RESCue Strategies, Inc. brochure or if you have any questions.**

**Additional information about Wealth RESCue Strategies, Inc. is also available at the SEC’s website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (select “investment adviser firm” and type in our firm name). Results will provide you both Part 1 and 2 of our Form ADV.**



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## ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### 2.A: INDIVIDUAL

**John F. Patzman**

Year Born: 1940

### 2.B: EDUCATIONAL BACKGROUND

B.S.E., Economics – University of Pennsylvania, Wharton School (1962)

M.B.A., Business Administration – University of Arizona (1965)

### 2.C: BUSINESS BACKGROUND

09/2009 – Present: *Investment Advisor Representative*, Wealth RESCue Strategies, Inc.

01/1981 – Present: *Chairman*, The Patzman Rigby Company

07/1962 – Present: *Agent*, General American Life Insurance Company

10/1989 – 09/2009: *Representative*, Walnut Street Securities, Inc.

### 2.D: PROFESSIONAL QUALIFICATIONS

Series 1, Registered Representative Examination (1968)

Series 24, General Securities Principal Examination (1983)

Series 63, Uniform Securities Agent Laws (1990)

## ITEM 3 – DISCIPLINARY INFORMATION

In 1987, while working for the Patzman Rigby Company, John Patzman was named as part of a customer complaint regarding an allegation that named him as a co-defendant that also named 26 individuals and 12 firms. The allegations stemmed from the sale of a time-share unit of a vacation home in Park City, Utah and a resort hotel in Barbados, and the fact that the tax deductions claimed by the plaintiffs were disallowed. This matter was settled in 1988 without any limitations or conditions.

Other than the above disclosure, John Patzman has never been found liable in arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. John Patzman has never been the subject of a bankruptcy petition.

## ITEM 4 – OTHER BUSINESS ACTIVITIES

The principal business of Wealth RESCue Strategies, Inc. is that of a registered investment advisor and provider of financial consulting services. John Patzman is also an insurance agent. Employees who are insurance agents are paid fees and/or commissions for their services. In cases where we receive fees and/or commissions, there may be a conflict of interest. At all times, you are free to choose outside agents to avoid the possibility of there being a conflict of interest.

As well, Mr. Patzman engages in the following:

- Patzman Family Limited Partnership: Managing GP. It deals in rental properties.
- Special Trustee, Ltd.: President. It is special trustee services, non-custodial services for charitable trustees business that started in 1996. Corporation owners: 33% Chris Jones, 33% Daniel H. O'Connell and 33% John Patzman

## ITEM 5 – ADDITIONAL COMPENSATION

Other than work with Wealth RESCue Strategies, Inc. and any disclosures made in Items 2 and 4 above, John Patzman receives no additional compensation related to outside business activities.

## ITEM 6 – SUPERVISION

John Patzman is not a supervising authority at Wealth RESCue Strategies, Inc. but does work closely with and is monitored by the supervising member of the firm. The supervising member is Chris Jones; who remains aware of and keeps us in compliance with the current rules and regulations put forth by each ruling regulatory authority where we conduct our business. Wealth RESCue Strategies, Inc. has established internal policies for the guidance of its trading personnel, specifying minimum and maximum commissions to be paid for various types and sizes of transactions. Transactions, which vary from the guidelines, are subject to periodic supervisory review. These guidelines are reviewed yearly and periodically adjusted. The general level of brokerage commissions paid is periodically reviewed by Wealth RESCue Strategies, Inc.. Evaluations of the reasonableness of brokerage commissions are made by

Wealth RESCue Strategies, Inc.'s trading personnel while effecting portfolio transactions for clients.

Wealth RESCue Strategies, Inc. maintains and periodically updates a list of approved brokers and dealers, which is generally capable of providing best price and execution and is financially stable. Wealth RESCue Strategies, Inc.'s traders are directed to use only brokers and dealers on the approved list, except in the case of client designations of brokers or dealers to effect transactions for such clients' accounts.

Chris Jones is located at 7817 Herschel Avenue, Suite 101, La Jolla, California 92037 and can be reached by calling (858) 456-4848.

## **ITEM 7 – REQUIREMENTS FOR STATE REGISTERED ADVISORS**

*State securities authorities require this disclosure and it is provided to you for evaluating this investment advisor representative's suitability.*

Other than any disclosures made in Item 3 above, John Patzman has not been found liable in any additional arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. John Patzman has never been the subject of a bankruptcy petition.