

FRANK H. WINDES, LUTCF®

WEALTH RESCUE STRATEGIES, INC.
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This brochure provides supplemental information about Frank H. Windes, LUTCF® (“Frank Windes”). This supplements the Wealth RESCue Strategies, Inc. brochure, which should have also been provided to you. Please contact Frank Windes at Wealth RESCue Strategies, Inc. if you need the Wealth RESCue Strategies, Inc. brochure or if you have any questions.

Additional information about Wealth RESCue Strategies, Inc. is also available at the SEC’s website www.adviserinfo.sec.gov (select “investment adviser firm” and type in our firm name). Results will provide you both Part 1 and 2 of our Form ADV.



FEBRUARY 1, 2012

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ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

2.A: INDIVIDUAL

Frank H. Windes, LUTCF®

Year Born: 1939

2.B: EDUCATIONAL BACKGROUND

B.S., Construction Management – Arizona State University (1961)

2.C: BUSINESS BACKGROUND

09/2009 – Present: *Investment Advisor Representative*, Wealth RESCue Strategies, Inc.

05/1985 – Present: *Owner*, Frank H. Windes, LTD

05/1985 – Present: *Agent*, General American Life Insurance Company

2.D: PROFESSIONAL QUALIFICATIONS

Series 1, Registered Representative Examination (1969)

Series 63, Uniform Securities Agent Laws (1997)

Series 65, Uniform Investment Adviser Law Examination (2009)

2.E: PROFESSIONAL DESIGNATION:

Life Underwriters Training Council Fellow (LUTCF®)

2.F: EXPLANATION OF DESIGNATION:

LUTCF®: (Life Underwriters Training Council Fellow)

Program Completion Requirements:

If you have completed all course requirements (earned 300 designation credits and completed the ethics course requirement), you must complete the following steps to earn the LUTCF and/or FSS designation:

- Be a member of a local association of NAIFA. Contact your local association or NAIFA at 877-TO-NAIFA for a membership application.
- Complete and submit a designation application to The American College and provide evidence of the above membership.

ITEM 3 – DISCIPLINARY INFORMATION

Frank Windes has no legal or disciplinary events that are material to you or a prospective client's evaluation of this advisory business.

ITEM 4 – OTHER BUSINESS ACTIVITIES

The principal business of Wealth RESCue Strategies, Inc. is that of a registered investment advisor and provider of financial consulting services. Frank Windes is also an insurance agent. Employees who are insurance agents are paid fees and/or commissions for their services. In cases where we receive fees and/or commissions, there may be a conflict of interest. At all times, you are free to choose outside agents to avoid the possibility of there being a conflict of interest.

ITEM 5 – ADDITIONAL COMPENSATION

Other than work with Wealth RESCue Strategies, Inc. and any disclosures made in Items 2 and 4 above, Frank Windes receives no additional compensation related to outside business activities.

ITEM 6 – SUPERVISION

Frank Windes is not a supervising authority at Wealth RESCue Strategies, Inc. but does work closely with and is monitored by the supervising member of the firm. The supervising member is Chris Jones; who remains aware of and keeps us in compliance with the current rules and regulations put forth by each ruling regulatory authority where we conduct our business. Wealth RESCue Strategies, Inc. has established internal policies for the guidance of its trading personnel, specifying minimum and maximum commissions to be paid for various types and sizes of transactions. Transactions, which vary from the guidelines, are subject to periodic supervisory review. These guidelines are reviewed yearly and periodically adjusted. The general level of brokerage commissions paid is periodically reviewed by Wealth RESCue Strategies, Inc.. Evaluations of the reasonableness of brokerage commissions are made by Wealth RESCue Strategies, Inc.'s trading personnel while effecting portfolio transactions for clients.

Wealth RESCue Strategies, Inc. maintains and periodically updates a list of approved brokers and dealers, which is generally capable of providing best price and execution and is financially stable. Wealth RESCue Strategies, Inc.'s traders are directed to use only brokers and dealers on the approved list, except in the case of client designations of brokers or dealers to effect transactions for such clients' accounts.

Chris Jones is located at 7817 Herschel Avenue, Suite 101, La Jolla, California 92037 and can be reached by calling (858) 456-4848.

ITEM 7 – REQUIREMENTS FOR STATE REGISTERED ADVISORS

State securities authorities require this disclosure and it is provided to you for evaluating this investment advisor representative's suitability.

Other than any disclosures made in Item 3 above, Frank Windes has not been found liable in any additional arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Frank Windes has never been the subject of a bankruptcy petition.