

# RICHARD C. GOLDMAN



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January 20, 2012

This Brochure Supplement provides information about Richard C. Goldman that supplements the Rich Goldman Asset Management Brochure. You should have received a copy of that Brochure. Please contact Shanna Blanchette, Chief Compliance Officer, if you did not receive Rich Goldman Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Richard C. Goldman is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

Name: Richard C. Goldman

Year of Birth: 1957

Education: 1975-1979 Columbia College – BA in American History

Work Experience:

**October 2007 - Present:** Rich Goldman Asset Management, LLC (f/k/a InterWealth Management Advisors LLC) - President

**September 2009 – June 2011:** Mutual Securities Inc. - Registered Representative

**August 2008 - September 2009:** Triad Advisors Inc. – Registered Representative

**October 2004 – August 2008:** Wachovia Securities Financial Network – Financial Advisor

**December 1994 – October 2004:** Salomon Smith Barney Inc. – Registered Representative

## Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## Item 4 – Other Business Activities

Richard Goldman does not currently engage in any outside business activities.

## Item 5 – Additional Compensation

Richard Goldman does not currently have any solicitor arrangements.

## Item 6 – Supervision

Rich Goldman Asset Management has established an investment outlook and approach that all Supervised Persons are to adhere to and is monitored by Rich Goldman, President. Rich Goldman is responsible for all supervision, including suitability reviews, investment recommendations, trade executions and client correspondence. Rich Goldman Asset Management does not also provide Custody services.