

*This brochure supplement provides information about Andrew Phillip Sontag that supplements the Carlsbad Wealth Advisory Group, Inc. brochure. You should have received a copy of that brochure. Please contact Andrew Phillip Sontag, Chief Investment Advisor if you did not receive Carlsbad Wealth Advisory Group, Inc.'s brochure or if you have any questions about the contents of this supplement.*

*Additional information about Andrew Phillip Sontag is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Carlsbad Wealth Advisory Group, Inc.**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Andrew Phillip Sontag**  
Investment Adviser Representative

Carlsbad Wealth Advisory Group, Inc.  
5927 Balfour Court, Suite 207  
Carlsbad, California, 92008  
(760) 804-9478  
[www.carlsbadwealth.com](http://www.carlsbadwealth.com)  
[asontag@carlsbadwealth.com](mailto:asontag@carlsbadwealth.com)

UPDATED: 3/6/2012

## **Item 2: Educational Background and Business Experience**

**Name:** Andrew Phillip Sontag

**Born:** 1982

### **Education Background and Professional Designations:**

#### **Education:**

BA Finance, University of Minnesota Duluth – 2005

#### **Business Background:**

2008 – Present

Chief Investment Officer/Chief Compliance Officer  
Carlsbad Wealth Advisory Group, Inc.

2006 – 2008

Financial Advisor  
Ameriprise Financial

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Andrew Phillip Sontag is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, and CWAG shareholder dividends, Andrew Phillip Sontag does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Carlsbad Wealth Advisory Group, Inc..

## **Item 6: Supervision**

As Chief Information Officer/Chief Compliance Officer of Carlsbad Wealth Advisory Group, Inc., Andrew Phillip Sontag works closely with David Larkin George, and all advice provided to clients is reviewed by either person prior to implementation. Andrew Phillip Sontag's contact information is on the cover page of this disclosure document.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by California securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Andrew Phillip Sontag has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Andrew Phillip Sontag has NOT been the subject of a bankruptcy petition at any time.