

GREGORY T. WARNER, MBA, AWMA[®]

This brochure provides supplemental information about Gregory T. Warner, MBA, AWMA[®] (“Greg Warner”, “Investment Advisor Representative”, “IAR”, “Employee”) that supplements the Hines Warner Wealth Management, LLC brochure, which should have also been provided to you. Please contact Greg Warner at Hines Warner Wealth Management, LLC if you need the Hines Warner Wealth Management, LLC brochure or if you have any questions.

Additional information about Hines Warner Wealth Management, LLC is also available at the SEC’s website www.adviserinfo.sec.gov (under “investment adviser firm” and type in our firm name).

FEBRUARY 1, 2012

HINES WARNER WEALTH MANAGEMENT, LLC
9400 SW BARNES ROAD, SUITE 305, PORTLAND, OREGON 97225
GREG@HINESWARNER.COM
(503) 292-2775
WWW.HINESWARNER.NET



TABLE OF CONTENTS

Item 2 - Educational Background and Business Experience..... 3

Item 3 - Disciplinary Information 4

Item 4 - Other Business Activities..... 4

Item 5 - Additional Compensation..... 5

Item 6 – Supervision..... 5

Item 7 - Requirements for State-Registered Advisers..... 5

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Gregory T. Warner, MBA, AWMA®

Year Born: 1978

Educational Background:

B.S., Business Administration – University of Oregon (2000)

M.B.A., – Portland State University (2008)

Business Background:

11/2007 – Present: *Chief Compliance Officer, Investment Advisor Representative*, Hines Warner Wealth Management, LLC

03/2004 – 11/2007: *Financial Advisor*, Raymond James Financial Services, Inc.

02/2002 – 03/2004: *Operations Assistant*, McGee Financial

Professional Qualifications:

Series 7, General Securities Representative Examination (2004)

Series 63, Uniform Securities Agent Laws (2004)

Series 65, Uniform Investment Adviser Law Examination (2007)

Professional Designations:

Accredited Wealth Management Advisor (AWMA®)

Explanations of Designations:

Accredited Wealth Management Advisor (AWMA®)

Individuals who hold the AWMA® designation have completed a course of study encompassing wealth strategies, equity-based compensation plans, tax reduction alternatives, and asset protection alternatives. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations.

All designees have agreed to adhere to Standards of Professional Conduct¹ and are subject to a disciplinary process.

Designees renew their designation every two-years by completing 16 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements.

¹ Standards of Professional Conduct

Individuals credentialed by the College for Financial Planning are required to adhere to Standards of Professional Conduct. As such, you can expect the following five tenets of professional conduct to be displayed when working with a designee:

- You can expect the designee to display integrity.
- Designees have agreed to provide professional services with integrity, honor, fairness, and dignity and to maintain your trust and confidence.
- You can expect the designee to provide objective advice
- You can expect the advice and services you are provided to be objective and impartial and the result of a thorough analysis of your goals, resources and current situation.
- You can expect the designee to be competent.
- Designees complete a rigorous education and examination process before being conferred the designation.
- Designees agree to maintain an adequate level of knowledge and skill and effectively apply that knowledge while recognizing its limitations. Completing continuing education every two years is required as part of the ongoing designation renewal requirements.
- You can expect your privacy to be protected.
- Designees have agreed to keep client information confidential, disclosing only when authorized by you or compelled by law.
- You can expect the designee to display professionalism

Designees must comply with all state and federal laws and regulations as required and applicable as determined by the services they provide.

ITEM 3 - DISCIPLINARY INFORMATION

Greg Warner has no legal or disciplinary events that are material to a Client's or prospective Client's evaluation of this advisory business.

ITEM 4 - OTHER BUSINESS ACTIVITIES

The principal business of Advisor is that of a registered investment advisor and provider of financial planning services. Greg Warner is also an insurance agent. When acting in the capacity of an insurance agent, Greg Warner may receive the usual and customary commissions or fees on the products the Client purchases. Receiving commissions on products may cause a conflict of interest. Therefore, the advisory Client is free to select any insurance company the client desires for implementation of Advisor's recommendations.

As well, Mr. Warner participates in the following:

- Greg is active in his community and currently serves on the Resource Development Board for Cares Northwest; a non-profit, community-based medical program for the assessment, treatment, and prevention of child abuse.
- Insurance sales

ITEM 5 - ADDITIONAL COMPENSATION

Other than work with Hines Warner Wealth Management, LLC and any disclosures made in Items 2 and 4 above, Greg Warner receives no additional compensation related to outside business activities.

ITEM 6 – SUPERVISION

Greg Warner is the Chief Compliance Officer of Hines Warner Wealth Management, LLC with Earl Hines. As such Greg Warner is not supervised, but works closely with the other partner in the firm. Either may be contacted at the address or phone number on the cover page of this document.

ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS

State securities authorities require this disclosure and it is provided to you for evaluating this investment advisor representative's suitability.

Greg Warner has never been found liable in arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Greg Warner has never been the subject of a bankruptcy petition.