

EARL HINES, JR., CEA[®], AWMA[®]

This brochure provides supplemental information about Alvis Earl Hines, Jr., CEA[®], AWMA[®] (“Earl Hines” “Investment Advisor Representative”, “IAR”) that supplements the Hines Warner Wealth Management, LLC brochure, which should have also been provided to you. Please contact Earl Hines at Hines Warner Wealth Management, LLC if you need the Hines Warner Wealth Management, LLC brochure or if you have any questions.

Additional information about Hines Warner Wealth Management, LLC is also available at the SEC’s website www.adviserinfo.sec.gov (under “investment adviser firm” and type in our firm name).

FEBRUARY 1, 2012

HINES WARNER WEALTH MANAGEMENT, LLC
9400 SW BARNES ROAD, SUITE 305, PORTLAND, OREGON 97225
EARL@HINESWARNER.COM
(503) 292-2775
WWW.HINESWARNER.NET



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ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Earl Hines, Jr., CEA[®], AWMA[®]

Year Born: 1971

Educational Background:

B.S., Computer Science – North Carolina State University (1993)

Business Background:

11/2007 – Present: *Managing Member, Investment Advisor Representative*, Hines Warner Wealth Management, LLC

06/2007 – 11/2007: *Financial Advisor*, Raymond James Financial Services, Inc.

04/2007 – 11/2007: *Executive Vice President*, McGee Financial

10/2006 – 04/2007: *Marketing Director*, UX Comm

01/1994 – 10/2006: *Marketing Director*, Intel

Professional Qualifications:

Series 7, General Securities Representative Examination (2007)

Series 63, Uniform Securities Agent Laws (2007)

Series 65, Uniform Investment Adviser Law Examination (2007)

Professional Designations:

Accredited Wealth Management Advisor (AWMA[®])

Certified Estate Advisor (CEA[®])

Explanations of Designations:

AWMA[®] (Accredited Wealth Management Advisor)

Individuals who hold the AWMA[®] designation have completed a course of study encompassing wealth strategies, equity-based compensation plans, tax reduction alternatives, and asset protection alternatives. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations.

All designees have agreed to adhere to Standards of Professional Conduct¹ and are subject to a disciplinary process. Designees renew their designation every two-years by completing 16 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements.

¹ Standards of Professional Conduct

Individuals credentialed by the College for Financial Planning are required to adhere to Standards of Professional Conduct. As such, you can expect the following five tenets of professional conduct to be displayed when working with a designee:

- You can expect the designee to display integrity.
- Designees have agreed to provide professional services with integrity, honor, fairness, and dignity and to maintain your trust and confidence.
- You can expect the designee to provide objective advice
- You can expect the advice and services you are provided to be objective and impartial and the result of a thorough analysis of your goals, resources and current situation.
- You can expect the designee to be competent.
- Designees complete a rigorous education and examination process before being conferred the designation.
- Designees agree to maintain an adequate level of knowledge and skill and effectively apply that knowledge while recognizing its limitations. Completing continuing education every two years is required as part of the ongoing designation renewal requirements.
- You can expect your privacy to be protected.
- Designees have agreed to keep client information confidential, disclosing only when authorized by you or compelled by law.
- You can expect the designee to display professionalism

Designees must comply with all state and federal laws and regulations as required and applicable as determined by the services they provide.

CEA® (Certified Estate Advisor)

The Certified Estate Advisor (CEA) Credentialing Program is a credentialing program for financial professionals specializing or wishing to specialize in estate planning and advising. NAFEP administers the CEA program and tests. Maintenance of your CEA® designation requires that you maintain your primary professional license (CPA, CFP, Insurance, Attorney, Securities). The continuing education and professional standards associated with each of these professional licenses meet all of the requirements for maintaining your CEA® designation. Additionally, you must pay your annual renewal fees. The NAFEP course material has been accepted by and meets the requirements of many state insurance departments and the CFP Board for 15 hours of continuing education credits.

ITEM 3 - DISCIPLINARY INFORMATION

Earl Hines has no legal or disciplinary events that are material to a Client's or prospective Client's evaluation of this advisory business.

ITEM 4 - OTHER BUSINESS ACTIVITIES

The principal business of Advisor is that of a registered investment advisor and provider of financial planning services. Earl Hines is also an insurance agent. When acting in the capacity of an insurance agent, Earl Hines may receive the usual and customary commissions or fees on the products the Client purchases. Receiving commissions on products may cause a conflict of interest. Therefore, the advisory Client is free to select any insurance company the client desires for implementation of Advisor's recommendations.

As well, Mr. Hines participates in the following:

- Earl is a respected public speaker and author. His first book, "Fearless Retirement", was published in 2009.
- From 2000 to 2011, Earl was an active volunteer for CASA for Children, a non-profit serving the interests of abused and neglected children in Multnomah and Washington county, and most recently served on its Board of Directors as President.
- Earl currently serves on the Board of Directors for Volunteers of America® Oregon
- Insurance sales

ITEM 5 - ADDITIONAL COMPENSATION

Other than work with Hines Warner Wealth Management, LLC and any disclosures made in Items 2 and 4 above, Earl Hines receives no additional compensation related to outside business activities.

ITEM 6 – SUPERVISION

Earl Hines is the Managing Member of Hines Warner Wealth Management, LLC. As such Earl Hines is not supervised, but works closely with the other officer in the firm.

ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS

State securities authorities require this disclosure and it is provided to you for evaluating this investment advisor representative's suitability.

Earl Hines has never been found liable in arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Earl Hines has never been the subject of a bankruptcy petition.