Part 2A of Form ADV: Firm *Brochure*

Item 1 Cover Page

BROCHURE

TOMMY N. SAUSLEY, RIA
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MARCH 30, 2012 281-772-8412

This brochure provides information about the qualifications and business practices of Tommy N. Sausley, RIA. If you have any questions about the contents of this brochure, please contact us at 281-772-8412 and/or tsausley@yahoo.com.

The information in this brochure has not been approved or verified by the United State Security and Exchange Commission or by any state securities authority. Additional information about Tommy N.Sausley, RIA is also available on SEC's web-site at www.adviserinfo.gov.

Item 2 Material Changes

The firm will be transitioning to Texas State RIA from SEC RIA.

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Item 4 Advisory Business

Tommy N. Sausley,RIA was formed in late 2007 for the purpose of providing independent advisory services after 38 years years with Prudential Securities and 1 year with Smith Barney.

The main focus of the advisory is managing assets by assets classes for sector allocation with a limited maximum per sector which can be raised or lowered on a discretionary basis up to maximum allowable limit. The client determines the investments that can be included based on their risk level and goals including equity securities, warrants, debt,options, futures, partnerships, insurance and ETF's. The client fills out a questionnaire to determine the suitability and fit for their Financial Plan. The client may be referred to a professional of their choice such as an attorney or CPA. The normal allocation is 3% in 30 different assets. The assets are selected based on a fundamental and technical analysis.

Item 5 Fees and Compensation

Each client signs a management agreement which specifies the fees paid and when due. The client has the right to negotiate the fee. The fees are deducted from the assets held by the custodian that was selected by the client on a quarterly bases, 1/4 of the annual fee. The custodian usually charges a fee based on the assets under management. Either party may terminate the management agreement upon written notice and any prepaid unearned fees will be refunded. Any additional funds added or withdrawal of ten thousand or more will be prorated according to the number of days remaining in the quarter.

Item 6 *Performance-Based Fees* and Side-By-Side Management

The firm does not receive any performance-based fees.

Item 7 Types of *Clients*

Please see the ADV

Item 8 Methods of Analysis, Investment Strategies and Risk of Loss

Please see above advisory service

Item 9 Disciplinary Information

There is no known disciplinary information of criminal, civil, investment related or self-regulatory organization.

Item 10 Other Financial Industry Activities and Affiliations

Mr. Sausley is a CFP*. CRPC*, a former CIMA*, and Sr. Portfolio Manager. He also has Series 7, 63. 65 and other licenses.

Item 11 Code of Ethics, Participation or Interest in *Client* Transactions and Personal Trading

The client may request a copy of the code of ethics for client transactions. Tommy N. Sausley, RIA has not made any personal trades in the past since forming the firm but could in the future. To avoid any conflicts of interest any trade made for a client would not occur until at least 2 days apart.

Item 12 Brokerage Practices

The client selects the custodian and broker dealer. There are no soft dollar benefits.

Item 13 Review of Accounts

The client account reviews will be conducted annually or at the client's request.

Item 14 *Client* Referrals and Other Compensation

The firm has no other compensation at this time.

Item 15 *Custody*

The client selects the custodian but the firm can make recommendations

Item 16 Investment Discretion

As previous stated a management agreement is signed by the client giving discretion over a certain part of the client assets.

Item 17 Voting *Client* Securities

The management agreement gives the client the right to vote or the clients can authorize Tommy N. Sausley,RIA to vote.

Item 18 Financial Information

Brochure supplements are not required for a sole proprietor

Item 19 Requirements for State-Registered Advisers