

**Part 2B of Form ADV: Brochure Supplement**  
**July 23, 2012**

Charles R. West Jr.  
Rayburn West Financial Services, LLC  
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Nashville, Tennessee 37215

This brochure supplement provides information about Charles R. West, Jr that supplements the Rayburn West Financial Services, LLC brochure. You should have received a copy of that brochure. Please contact Andrea Bryant, Chief Compliance Officer, if you did not receive a copy of that brochure or if you have any questions about the content of this supplement.

## **Educational Background and Business Experience**

Charles R. West, Jr. was born in 1966 and graduated from the University of Tennessee in 1989 with a B.S. in Finance. Ray began his career at Merrill Lynch in 1991 as a Financial Consultant in the Private Client Group. From 1998-2000, he was a Financial Consultant with First American Bank/Invest. From 2000-2007, he was an Asset Manager/Financial Advisor for Pinnacle Financial Partners. In June 2007, Ray founded Rayburn West Financial Services, LLC. Ray holds Series 7, 63, and 65 Investment Licenses as well as Insurance Licenses to for Life, Health, and Disability Insurance.

**A Series 7 License** is known as the general securities representative license and authorizes the registered representative to sell any type of individual security including common and preferred stocks; call and put options; bonds and other fixed income investments; as well as all forms of packaged products except those that require a life insurance license to sell.

**A Series 63 License** is the Uniform Securities License that is required by each state and authorizes the person holding the license to transact business within the state. All Series 7 license holders must have the Series 63 license.

**A Series 65 License** is required by anyone who provides financial advice on a non-commission basis.

## **Disciplinary Information**

Charles R. West Jr. has had no legal or disciplinary events in his past.

## **Other Business Activities**

Mr. West is a registered representative of Purshe Kaplan Sterling Investments and receives commissions and compensation based on the sale of securities and other investment products including services fees from the sale of mutual funds.

## **Additional Compensation**

Mr. West does not receive economic benefits from any person or entity other than Rayburn West Financial Services, LLC in connection with the investment management of Rayburn West Financial clients, aside from the commissions paid by Purshe Kaplan Sterling Investments (See other Business Activities).

## **Supervision**

As Managing Partner of Rayburn West Financial Services, LLC, Charles R. West Jr. maintains ultimate responsibility for the firm's operations and investment decisions. Operational decisions are discussed with Chief Compliance Officer, Andrea G. Bryant.

## **Requirements for State Registered Advisers**

Rayburn West Financial Services would be required to disclose additional information for Charles R. West Jr, if he had ever been the subject of a bankruptcy proceeding or ever been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative hearing proceeding. As none of these apply to Mr. West , Rayburn West Financial Services has no information to disclose.