

## Item 1: Cover Page

### **Brochure Supplement** (Part 2B of Form ADV)



**Harry K. Berman, CMFC®**

**TMFS-Harrisburg, LLC  
2308 Patton Road, Suite G  
Harrisburg, PA 17112  
(717) 540-7992**

**[www.MutualFundStore.com](http://www.MutualFundStore.com)**

**This Brochure Supplement provides information about Harry K. Berman, and supplements the TMFS-Harrisburg, LLC Brochure (Part 2A of Form ADV). You should have received a copy of that Brochure. Please contact The Mutual Fund Store at the above address, or by telephone, if you did not receive the Brochure or if you have any questions about the contents of this supplement.**

Additional information about Harry K. Berman is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

May 31, 2012

**This Brochure Supplement includes information on the following items:**

Educational Background and Business Experience

Disciplinary Information

Other Business Activities

Additional Compensation

Supervision

Requirements for State Registered Advisers

Additional Information

## **Item 2: Educational Background and Business Experience**

**Harry Berman**

**Year of Birth:**

1960

### **Formal Education after High School:**

- University of Rochester. BA – English & Political Science, 1982
- University of Connecticut. MBA – Finance & Marketing, 1994

### **Business Experience:**

- 08/2009-Present: TMFS-Harrisburg, LLC. Managing Partner & Senior Investment Advisor, Chief Compliance Officer
- 10/2007-12/2009: TMFS-Allentown, LLC. Managing Partner & Senior Investment Advisor, Chief Compliance Officer
- 01/2006-09/2007: Harleysville National Bank/Millennium Advisors. Financial Advisor
- 12/2004-12/2005: National Penn Bank/PrimeVest Financial Services. Securities Principal/Sales Manager
- 10/1998-12/2004: National Penn Bank/Penn Securities, Inc. Brokerage President/Sales Manager
- 12/1995-10/1998: National Penn Bank/Compulife, Inc. Investment Program Manager

### **Professional Designations:**

- Chartered Mutual Fund Counselor<sup>SM</sup> (CMFC<sup>®</sup>).  
The CMFC<sup>®</sup> coursework and program is a collaborative effort by the College for Financial Planning<sup>®</sup> and the Investment Company Institute.

The College for Financial Planning<sup>®</sup> awards the CMFC<sup>®</sup> designation to students who successfully complete the program, pass the final examination and comply with the Code of Ethics, which includes agreeing to abide by the Standards of Professional Conduct and Terms and Conditions. Applicants must also disclose of any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct.

### **Qualifications and Licenses:**

Harry K. Berman has successfully completed the Series 65 (Uniform Investment Adviser Law) examination, which permits him to qualify as an

investment advisor representative, and register with states where he may provide advisory services and which require registration.

As part of Harry K. Berman's prior work experience, he has previously completed the Series 24 (General Securities Principal), Series 7 (General Securities Representative, Series 63 (Uniform Securities Agent State Law), Series 4 (Registered Options Principal), and Series 53 (Municipal Securities Principal) examinations.

### **Item 3: Disciplinary Information**

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Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Harry K. Berman does not have any disclosures.

### **Item 4: Other Business Activities**

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Registered investment advisers are required to disclose any outside business activities. Harry K. Berman is not involved in any outside business activity.

### **Item 5: Additional Compensation**

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Registered investment advisers are required to disclose additional compensation. Harry K. Berman does not receive any additional compensation or economic benefit for investment advisory services, other than his compensation from The Mutual Fund Store.

### **Item 6: Supervision**

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Harry K. Berman is supervised by Brian Quill, Managing Member, who can be reached at (816) 721-0129. Supervision is conducted through face to face meetings, telephone calls and the review of activity reports.

## **Item 7: Requirements for State-Registered Advisers**

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Registered investment advisers are required to disclose all material facts regarding any involvement in an award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500. Harry K. Berman does not have any disclosures.

Registered investment advisers are required to disclose all material facts regarding any involvement in an award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding. Harry K. Berman does not have any disclosures.

Registered investment advisers are required to disclose being the subject of a bankruptcy petition. Harry K. Berman does not have any disclosures.

## **Item 8: Additional Information:**

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This location of The Mutual Fund Store is a franchisee in The Mutual Fund Store system. Questions and inquiries about this Store and The Mutual Fund Store system may be directed to the Customer Service contact telephone number for The Mutual Fund Store system. The telephone number is 1-(866) 801-0222.

The mutual funds and asset allocation categories selected by Harry K. Berman and recommended to clients are chosen from the listing of mutual funds and asset class categories recommended by The Mutual Fund Research Center, LLC, an SEC registered investment adviser which provides mutual fund, asset category, and allocation recommendations to all registered investment advisers doing business as The Mutual Fund Store in their local geographic region. A copy of The Mutual Fund Research Center, LLC's Brochure (Form ADV) is available upon request and also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).