

**Part 2B of Form ADV: *Brochure Supplement***

**Damian J. Gallina, CFA**

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03/02/2012

This brochure supplement provides information about Damian J. Gallina that supplements the Buttonwood Financial Advisors, Inc. ("BFA") brochure. You should have received a copy of that brochure. Please contact Mr. Gallina if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about Damian J. Gallina is available on the United States Securities and Exchange Commission's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this site by a unique identifying number, known as a CRD number. The CRD number for Damian J. Gallina is 2340370.

## **Item 2. Educational Background and Business Experience**

YEAR OF BIRTH: 1970

EDUCATION: BS Finance, Towson University (Towson, MD), 01/1993

BUSINESS BACKGROUND:

- Buttonwood Financial Advisors, Inc. (Columbia, MD), President & Chief Compliance Officer, 02/2008 to Present
- Horan Capital Management (Baltimore, MD), Financial Advisor & Assistant Portfolio Manager, 06/1995 to 02/2008

PROFESSIONAL DESIGNATION: Chartered Financial Analyst (CFA), granted by the CFA Institute (Charlottesville, VA), 08/1998<sup>1</sup>

## **Item 3. Disciplinary Information**

BFA is required to disclose any legal or disciplinary events that are material to a client's or prospective client's evaluation of Mr. Gallina. Mr. Gallina does not have any history of disciplinary events.

## **Item 4. Other Business Activities**

Mr. Gallina is not engaged in any other investment-related businesses or occupations

<sup>1</sup> The Chartered Financial Analyst (CFA) charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charterholders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct. Please refer to the following website for more information: <https://www.cfainstitute.org>.

## **Item 5. Additional Compensation**

Mr. Gallina does not receive any additional compensation from third parties for providing investment advice to BFA's clients.

## **Item 6. Supervision**

**Supervisor:** Damian Gallina

**Title:** President and Chief Compliance Officer

**Phone Number:** (410) 988-2391

As Chief Compliance Officer and President of BFA, Mr. Gallina is responsible for all supervision, formulation and monitoring of investment advice offered to clients. Mr. Gallina maintains written supervisory procedures to ensure that the advisory firm and all supervised persons are in compliance with the Maryland Securities Act and the Rules and Regulations promulgated thereunder. Mr. Gallina reviews and oversees all material investment policy changes and conducts periodic testing to ensure that client objectives and mandates are met.

## **Item 7. Requirements for State-Registered Advisers**

Damian Gallina has never been the subject of a bankruptcy petition nor has he ever been involved in any of the following events:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.