



## FORM ADV PART 2B\* Brochure Supplement

Professional Background of  
David L. Gantsweg

March 2012

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\*This brochure supplement provides information about the qualifications of David L. Gantsweg, Firm principal. This is a supplement to the Thirsty Horses Group brochure which you should have received previously. Please contact the Firm's Chief Compliance Officer, David L. Gantsweg, if you have not received the brochure or if you have any questions about the contents of this supplement. Additional information about Thirsty Horses Group is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

Each member of Thirsty Horses Group's professional staff is evaluated on the basis of his or her education and work experience. As general standards, an undergraduate degree and prior related business experience are required. Graduate work and/or specialized business or technical skills are preferred.

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## **Item 2 - Educational Background and Business Experience**

### **DAVID L. GANTSWEG**

Year of Birth: 1960

#### Education:

College for Financial Planning - 1994, CFP® \* Designation conferred  
Curtis Institute of Music - 1982, BA, Musical Performance

#### Business Background:

2007 to Present	Thirsty Horses, President and Chief Compliance Officer
1994 - 2007	Ameriprise Financial Services, Inc., Senior Financial Advisor

#### Professional and Community Activities:

David has been an investment advisor and financial planner since 1989, following a successful first career as a professional musician in Europe. He is on the Board of Directors of the San Francisco Choral Artists. David lives in Marin and has two children, Briana and Kevin.

## **Item 3 - Disciplinary Information**

David has no legal or disciplinary events or disclosures.

## **Item 4 - Other Business Activities**

Neither the Firm nor its principal is involved in any other business activities.

## **Item 5 - Additional Compensation**

Neither the Firm nor any principal or employee receives any economic benefit from any non-client for the provision of investment advisory services.

## **Item 6 - Supervision**

All Firm personnel are supervised by David Gantsweg whose supervision is ongoing and includes account reviews, trade supervision, and compliance reviews including the forensic testing of Firm systems.

## **Item 7 - Requirements for State-Registered Advisors**

David has not been the subject of a bankruptcy filing. Nor has he ever been liable for any claim alleging damages in excess of \$2,500, or found liable in any proceeding involving claims of investment-related business activity, fraud, false statements or omissions, theft, embezzlement, other wrongful taking of property, bribery, forgery, counterfeiting, extortion, or dishonest, unfair or unethical practices.

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\* The Certified Financial Planner (“CFP”) designation requires the holder to meet education, examination, experience and ethics requirements, and pay an ongoing certification fee. A bachelor's degree (or higher), or its equivalent in any discipline, from an accredited college or university is required. Students are required to complete course training in nine core financial topic areas, sit for a 10 hour CFP Board Certification Examination, acquire three years full-time or equivalent (2,000 hours per year) part-time work experience in the financial planning field and undergo an extensive background check—including an ethics, character and criminal check.