

Form ADV Part 2B Disclosure Brochure Supplement
Sikich Financial
www.sikich.com

Diana F. Jordan, R. Andrew Paoni, Julie Krehbiel, Matthew Henry and Michael Sheehan

Main Office & Location of Diana Jordan,
Andrew Paoni and Julie Krehbiel

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217-862-1842

Office Location of Matthew Henry

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Date of Brochure: May 2012

This brochure supplement provides information about Diana F. Jordan, R. Andrew Paoni, Julie Krehbiel, Matthew Henry and Michael Sheehan that supplements the Sikich Financial brochure. You should have received a copy of that brochure. Please contact Diana Jordan at 217-862-1842 if you did not receive the Sikich Financial brochure or if you have any questions about the contents of this supplement. Additional information about Diana Jordan, Andrew Paoni, Julie Krehbiel, Matthew Henry and Michael Sheehan is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Diana F. Jordan
Born 1969

Educational Background:

- University of Illinois - 1994, B.A., Accounting

Business Experience:

- Sikich LLP, Partner: January 2009 - Present
- Sikich Financial, Chief Compliance Officer and Investment Advisor Representative: January 2007 – Present
- Triad Advisors, Inc., Registered Representative: February 2009 – Present
- Sikich Retirement Plan Services LLC, Pension Consultant: January 2007 – April 2009
- FSC Securities Corporation, Registered Representative: October 2003 – February 2007
- Sikich Cozad Asset Management LLC, Financial Advisor: November 2003 – January 2007
- Morgan Stanley DW, Inc., Financial Advisor: May 2000 – May 2003

Professional Designation:

- Accredited Investment Fiduciary Analyst (AIFA®)

The Accredited Investment Fiduciary Analyst (AIFA) designees' primary function is to perform, or assist in, assessments of an Investment Steward's, Advisor's, or Manager's conformance to a Global Fiduciary Standard of Excellence using fi360's ISO-like procedure of assessment. AIFA designees possess the ability and knowledge to advise clients of deficiencies in investment processes. It is also the required mark to perform a CEFEX Fiduciary Certification, the independent recognition of a fiduciary's conformity to all fiduciary Practices and Criteria. To become an AIFA, one must have graduated from fi360's AIF Training. In addition, there is specific prerequisite work experience to obtain the designation. AIFA designees must accrue ten hours of continuing professional education annually and attest to a code of ethics.

Andrew Paoni

Born 1979

Educational Background:

- University of Illinois - 2002, B.S., Business Administration (Not Accounting)
- Southern Illinois University – 2004, MBA.

Business Experience:

- Sikich Financial, Investment Advisor Representative: January 2010 – Present
- Triad Advisors, Inc., Registered Representative: June 2009 – Present
- Sikich Cozad Asset Management, LLC, Financial Advisor: January 2007 – September 2009
- FSC Securities Corporation, Registered Representative: January 2007 – April 2009
- Morgan Stanley DW, Inc., Financial Advisor: June 2004 – January 2007

Professional Designation:

- CERTIFIED FINANCIAL PLANNER™ professional or CFP® professional

Julie Krehbiel

Born 1964

Educational Background:

- University of Illinois – 1987, M.S., Finance
- Illinois State University – 1986, B.S., Finance

Business Experience:

- Sikich Financial, Investment Advisor Representative: September 2009 – Present
- Triad Advisors, Registered Representative: September 2009 – Present
- Assist Investment Management Company, Inc., Vice President & Financial Advisor: January 2008 – September 2009

- Warren – Boynton State Bank, Vice President – Investment Services: January 2008 – December 2008
- A.G. Edwards & Sons, Inc., Financial Consultant: November 1990 – January 2008

Professional Designation:

- CERTIFIED FINANCIAL PLANNER™ professional or CFP® professional

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

CFP Acknowledgment: Julie Krehbiel and Andrew Paoni acknowledge their responsibility as CFP® certificants to adhere to the standards that have been established in the CFP Board's Standards of Professional Conduct. If you become aware that Ms. Krehbiel or Mr. Paoni's conduct may violate the Standards of Professional Conduct, you may file a complaint with the CFP Board at www.CFP.net/complaint.

Matthew Henry

Born 1977

Educational Background:

- San Francisco State University, 2004, B.S., Business Administration

Business Experience:

- Sikich Financial, Investment Advisor Representative: March 2011 – Present
- Triad Advisors, Registered Representative: October 2011 – Present
- No Employment: July 2010 – February 2011
- Fidelity Brokerage Services LLC, Financial Planning Consultant: November 2009 – July 2010
- Harris Investor Services, Inc., Financial Advisor: September 2008 – November 2009
- Merrill Lynch, Pierce, Fenner & Smith Incorporated, Financial Advisor: September 2005 – August 2008
- Morgan Stanley DW, Inc., Financial Advisor Trainee: July 2004 – September 2005

Michael Sheehan

Born 1961

Educational Background:

- St. Mary's University, 1984, B.B.A., Finance

Business Experience:

- Sikich Financial, Investment Advisor Representative: September 2011 – Present
- Triad Advisors, Inc., Registered Representative: September 2011 – Present
- Berthel Fisher & Co., Financial Advisor: January 2008 – September 2011
- Behnke Inv/Inc., Insurance Sales: December 2007 – November 2010
- AG Edwards & Sons, Financial Advisor: October 1988 – December 2007

Item 3 – Disciplinary Information

Diana Jordan, Andrew Paoni, Julie Krehbiel, Matthew Henry, and Michael Sheehan have no legal or disciplinary events to report.

Item 4 – Other Business Activities

Registered Representatives of Triad Advisors

Diana Jordan, Andrew Paoni, Julie Krehbiel, Matthew Henry and Michael Sheehan are separately licensed as registered representatives with Triad Advisors ("Triad"), a full service broker/dealer, member Financial Industry Regulatory Authority (FINRA) and Securities Investor Protection Corporation (SIPC). When acting in their separate capacity as registered representatives of Triad, they may sell, for commissions, general securities products such as stocks, bonds, mutual funds, exchange-traded funds, and variable annuity and variable life products to advisory clients. As such, they may suggest that advisory clients implement investment advice by purchasing securities products through a commission-based Triad account in addition to a Sikich Financial advisory account.

The receipt of commissions creates an incentive for Diana Jordan, Andrew Paoni, Julie Krehbiel, Matthew Henry and Michael Sheehan to recommend those products for which a commission will be earned. Consequently, the objectivity of the advice rendered to clients could be biased. We control for this potential conflict of interest by discussing with clients the advantages and disadvantages of establishing fee-based accounts through Sikich Financial versus establishing commission-based accounts through Triad.

Diana Jordan, Andrew Paoni, Julie Krehbiel, Matthew Henry and Michael Sheehan will receive 12b-1 fees from certain mutual fund companies as outlined in the fund's prospectus. 12b-1 fees come from fund assets, therefore, indirectly from client assets. The receipt of such fees could represent an incentive for them to recommend funds with 12b-1 fees over funds that have no fees or lower fees. Typically, they will receive 12b-1 fees only in commission-based brokerage accounts. However, such fees can be earned in fee-based accounts managed by Sikich Financial if 12b-1 fee paying mutual funds are held in the managed account. For ERISA accounts, there is an offset for any amount of 12b-1 fees. It is our policy to discuss with clients the selection of a 12b-1 paying mutual fund or other trail paying mutual funds.

Clients are never obligated or required to establish accounts through Sikich Financial or Triad. However, if a client chooses not to accept Diana Jordan's, Andrew Paoni's, Julie Krehbiel's, Matthew Henry's or Michael Sheehan's advice or decides not to establish an account through Triad or a Triad-approved custodian, they may not be able to implement transactions for the client. Clients should understand that, due to certain regulatory constraints, Diana Jordan, Andrew Paoni, Julie Krehbiel, Matthew Henry and Michael Sheehan in their capacity as Triad registered representatives must place all purchases and sales of securities products in commission-based brokerage accounts through Triad or its other approved institutions.

Insurance Agents

Diana Jordan, Andrew Paoni, Julie Krehbiel, and Michael Sheehan are independently licensed to sell insurance and annuity products through various insurance companies. Furthermore, we are under common control with Sikich Insurance Group LLC, a duly licensed insurance agency. When acting in their capacity as insurance agents, Diana Jordan, Andrew Paoni and Julie Krehbiel will receive commissions for selling insurance and annuity products.

Diana Jordan, Andrew Paoni, Julie Krehbiel, and Michael Sheehan may also receive other incentive awards for the recommendation/sale of annuities and other insurance products. The receipt of compensation and other incentive benefits may affect their decision making process when recommending insurance products to clients. While we endeavor at all times to put the interest of our clients first as a part of our overall fiduciary duty to clients, clients should be aware that the receipt of commissions and

additional compensation itself creates a conflict of interest, and may impact our investment advisor representatives' decision making process when making insurance recommendations.

Clients are never obligated or required to purchase insurance products from or through Diana Jordan, Andrew Paoni, Julie Krehbiel, and Michael Sheehan and may choose any independent insurance agent and insurance company to purchase insurance products. Regardless of the insurance agent selected, the insurance agent or agency will receive normal commissions from the sale.

Other Activities

Diana Jordan is actively involved in a business other than giving investment advice. Ms. Jordan and her husband own 47% of The Jordan Farming Company which also owns 5% of CJSW, LLC. Ms. Jordan has been the Secretary of the Board with The Jordan Farming Company since February of 1998, and has been associated with CJSW, LLC since August of 2007. Both of these entities are in the farming industry, and neither is investment related. Ms. Jordan devotes approximately 10 hours per month to The Jordan Farming Company, none of which occur during securities trading hours, and devotes no time to CJSW, LLC. Ms. Jordan acts as the secretary for The Jordan Farming Company and assists with bookkeeping for the firm.

Item 5 – Additional Compensation

As detailed in Item 5 of the Sikich Financial disclosure brochure, we charge clients direct fees for our advisory services. Our investment advisor representatives are paid a salary from the fees we charge clients. In addition, Diana Jordan, Andrew Paoni, Julie Krehbiel, Matthew Henry and Michael Sheehan receive additional compensation from Triad Advisors, as detailed in Item 4 above. Diana Jordan, Andrew Paoni, Julie Krehbiel, and Michael Sheehan receive additional compensation when selling insurance products, also detailed above in Item 4.

Certain product sponsors may also provide Diana Jordan, Andrew Paoni, Julie Krehbiel, Matthew Henry and Michael Sheehan with other economic benefits as a result of their recommendation or purchase of the product sponsors' investments. The economic benefits received by Diana Jordan, Andrew Paoni, Julie Krehbiel, Matthew Henry and Michael Sheehan from product sponsors can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Sikich Financial in providing various services to clients. Although we endeavor at all times to put the interest of our clients ahead of our own interests, these arrangements could present a conflict of interest that may affect the judgment of our investment advisor representatives.

Item 6 – Supervision

Diana Jordan is the Chief Compliance Officer of Sikich Financial. She is responsible for developing, overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives, including Andrew Paoni, Julie Krehbiel, Matthew Henry and Michael Sheehan. Ms. Jordan can be contacted at 217-862-1842.

Item 7 – Requirements for State-Registered Advisers

Diana Jordan, Andrew Paoni, Julie Krehbiel, Matthew Henry and Michael Sheehan have not been the subject of any client arbitrations or similar legal disputes. Further, they have not been subject to personal bankruptcy proceedings.