

Fortius Wealth Management, LLC

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**The only material change in this brochure from the prior annual update on 3/30/11
is my Series 7 License is inactive.**

Date of Brochure 3/30/2012

This brochure provides information about the qualifications and business practices of Fortius Wealth Management, LLC. If you have any questions about the contents of this brochure, please contact us at 801 216-4599 or via email at Darren@fortiuswealthmgt.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Fortius Wealth Management LLC also is available on the SEC's website at www.adviserinfo.sec.gov.

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Form ADV Part 2A

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ADVISORY BUSINESS:

Fortius Wealth Management, LLC ("Advisor") is a Registered Investment Advisory firm that was started by the owner R. Darren Simons in September 2006. As a "Registered Investment Advisory firm" the word registered does not imply a certain level of skill or training. We are in the business of managing money for individuals as well as other entities. As of 12/31/2011 we managed \$27,500,000.00 in a combination of discretionary and non discretionary management.

The Advisor provides comprehensive investment management services on a discretionary and non-discretionary basis. The Advisor manages portfolios for clients based on the goals and objectives of each individual client. The Advisor analyzes existing holdings and makes recommendations for purchases and sales based on market conditions. The advisor tries to maximize the probability of achieving the client's goals and objectives while minimizing risks and expenses. Portfolios may be managed by the Advisor or through other sub-advisory relationships.

DISCRETIONARY WEALTH MANAGEMENT SERVICES

For discretionary money management services the Advisor will be responsible for selecting investments and managing the client's account on an on-going basis. These services are outlined below:

- 1) Client investment objectives are identified using a risk profile assessing the client's risk tolerance based upon their age, income, education, need for cash flows, investment goals, and emotional tolerance for volatility (fluctuation). The information for the risk profile will be collected during client meetings, interviews, and/or questionnaires (these may be in person or over the phone).
- 2) Strategies are developed and implemented through a combination of investments. The advisor primarily uses mutual funds, exchange traded funds, individual bonds and individual stocks.
- 3) The advisor monitors market conditions and client circumstances and makes portfolio adjustments to try to reflect significant changes in any or all of the above variables.

The Advisor will identify categories of investments that are compatible with the client's investment objectives, risk tolerance, and other criteria. The Advisor will assist with the implementation of the portfolio, and monitor the portfolio to keep it in line with the objectives of the client.

WEALTH MANAGEMENT SERVICES USING THIRD PARTY MONEY MANAGERS

Through discussions of client's goals and objectives, the Advisor may also recommend third party money managers. The Advisor will identify categories of money managers that are compatible with the client's investment objectives, risk tolerance, and other

criteria and will provide names of money managers in each such category. Once the client has agreed to the money managers, the Advisor will implement the use of the managers. The Advisor monitors the manager for performance, and adherence with the investment guidelines, and material changes relating to the money manager.

Third party money managers selected will have full investment discretion, and trading authority over the client's account, and shall also have sole responsibility for the implementation of their investment strategy.

The Advisor will generally not place orders for transactions in the client's account or otherwise exercise trading authority over the Account of a third party money manager. However, the Advisor shall have the authority to terminate an investment manager on the client's behalf as well as execute trades that benefit the client based on the overall objective of the client.

FEES AND COMPENSATION:

For investment supervisory services compensation is derived as fee income based upon the percentage of assets under management. The compensation method is explained and agreed with the clients in advance before any services are rendered. The fees range from 0% to 2.00% of assets under management. The amount of the fee is negotiated on a case by case basis and is determined based upon a number of factors including the amount of work involved and the assets placed under management.

Advisor's fees are generally paid quarterly, in advance, based on the value of the account(s) as of the close of the previous quarter, or unless otherwise negotiated with the client. For new client accounts, the Advisor's fee will be pro-rated for the remaining days in the quarter. Clients should be aware of their responsibility to verify the accuracy of the fee calculation submitted to the custodian by us, as the custodian will not determine whether the fee has been properly calculated. The Advisor will calculate the fee by taking the previous quarter's closing balance and multiplying it by the agreed upon percentage rate and then dividing that number by the number of days in the calendar year and then multiplying it by the number of days in the upcoming quarter.

Example Scenario:

A client's balance was \$100,000 on March 31, 2011 and they had agreed to a fee of 2% annually the calculation would be the following for the upcoming quarter.

EXAMPLE CALCULATION: $\$100000 \times .02 / 365 \times 91 = \498.63

(Amount from prior quarter close) * (agreed upon annual percentage) / (number of days in calendar year) * (number days in the upcoming quarter)

The Advisor will then deduct the fees from the clients account. Either we or the client may terminate the Advisory services at any time. The client is responsible to pay for services rendered until the termination of the services. The client can cancel the Services without penalty within the first five days after agreeing to Services. Upon termination, the fees charged for advisory services will be pro-rated and a refund for any unearned fees will be issued via a check to the client or be credited back to the clients account(s) whichever the client prefers. The calculation for the refund is done in the same manner the fee was charged. The amount of assets under management as of the prior quarter's ending balance, multiplied by the annual percentage rate, divided by the number of days in the calendar year and then multiplied by the remaining days left in the quarter.

EXAMPLE REFUND SCENARIO:

A client's balance was \$100,000 on March 31, 2011 and they had agreed to a fee of 2% annually the calculation would be the following for the upcoming quarter but then chose to terminate the services with 31 days remaining in the quarter:

EXAMPLE FEE REFUND: $\$100000 \times .02 / 365 \times 31 =$ Refund \$169.86

(Amount from prior quarter close) * (agreed upon annual percentage) / (number of days in calendar year) * (number days remaining in the quarter)

In addition to the Advisor's fees, investors will bear indirectly the fees and expenses charged by the third party sub-advisers which the Advisor may hire to manage client funds. Those fees will vary, and typically include management fees based on a percentage of assets under management. Additionally, the fees paid to the Advisor (and in many instances to sub-advisers) are exclusive of all custodial and transaction costs paid to custodians, brokers or any other third parties. Advisory fees charged by us are also separate and distinct from fees and expenses charged by mutual funds, which we may recommend to clients. A description of these fees and expenses are available in each fund's prospectus. Investors should review all fees charged by the Advisor, custodians and brokers and others (including the third-party sub advisers and mutual funds) to fully understand the total amount of fees to be paid by a client.

PERFORMANCE BASED FEES AND SIDE-BY-SIDE MANAGEMENT FEES:

The Advisor does not receive performance based fees in its management of assets.

TYPES OF CLIENTS:

This is a list of the types of clients the advisor can provide management services for: Individuals, banks or thrift institutions, pension and profit sharing plans, trusts, estates, charitable organizations, corporations or other business entities not already mentioned.

The Advisor requires a minimum account size of \$100,000 for money management services. However, the Advisor has discretion to waive the account minimum. Accounts of less than \$100,000 may be set up when the client and Advisor anticipate the client will add additional funds to the accounts bringing the total to \$100,000 within a reasonable time. Other exceptions will apply to employees of Advisor and their relatives or friends as well as relatives and friends of existing clients.

METHOD OF ANALYSIS, INVESTMENT STRATEGIES AND RISK OF LOSS:

The Advisor's security analysis methods include: Charting (historical pricing and returns), fundamental analysis and technical analysis.

The main sources of information the Advisor uses are financial newspapers, magazines, financial television programs, research reports prepared by other people (industry analysts), corporate rating agencies (for example: Moody's and S&P), annual stock reports, prospectuses, filings with the Securities and Exchange Commission and company press releases.

The main investment strategy involves long term purchases (securities purchased and held for longer than 1 year) and short term purchases (securities owned for less than a year). The Advisor will also use trading strategies (securities sold within 30 days) when appropriate, margin transactions (borrowing money from the custodian) as well as option trading strategies. The option strategies will include covered options, uncovered options and uncovered options or spreading strategies. All of these strategies will not be used for each individual client. The main strategy of the Advisor is long term and short term purchases and they carry less inherent risks than some of the other strategies. We cover some the specific risk of all the strategies we may use in the following paragraph.

RISK OF LOSS:

All of the above strategies can result in significant loss for the investor and they need to be ready to bear such losses. A long term purchase strategy has the risk of the investment going down and not recovering for a long period of time and the Advisor selling the investment at a loss. The other risk could be that the investment goes up for a short period of time but then goes down for a long period of time and you would have lost the opportunity to enjoy the gains in the security. In the situation of short term purchases the risk could be that a security falls for a period of time and the Advisor sells before the security recovers or goes beyond your original purchase price as well as being subject to potentially higher taxes. If the Advisor uses a trading strategy you still have the same risks as the short term purchase strategy but are not limited to those only. You have the additional risk of increased brokerage costs and other transaction fees that could negatively impact your performance. In the case of margin transactions you have the risk of whichever of the above strategies you use as well as that of losing more money because you are borrowing more money than you have. If the investment goes down you will lose more because you have your own investment dollars going down as well as the

investment dollars you borrowed. Along with the loss you will also be paying interest on the borrowed funds and could incur additional transaction and brokerage costs that could negatively impact performance. In the covered option strategies you have the additional risk of losing the premiums you pay for the options as well as possibly being forced to sell a security below its current value. In the uncovered strategy you have more risk because you own only the right to do something (buy or sell at a set price). This has significant risk to the investor because they can lose 100% of their premium paid as well as they can have unlimited exposure based on market movement. Even though we do not employ all of these strategies for all clients we want to make sure you understand all the potential risks to your investment. Please ask about any risks a strategy may involve in order for us to provide a more complete explanation.

Besides the risk associated with the above strategies the individual securities contain risk as well. The Advisor will invest in many different types of investments in the managerial process these include the following securities: Equities (stocks) foreign and domestic, warrants, corporate debt securities, commercial paper, certificates of deposit or more commonly known as CD's, US government securities, annuities, options, interests in real estate partnerships as well as interests in oil and gas partnerships. While many of these securities may be purchased as individual securities they also will be purchased via mutual fund investments as well. The biggest risk to ownership of the individual securities is if the company goes out of business, the loss of that position could be 100%. In the case of a mutual fund investment (because of their concentration rules) a number of positions would have to have complete losses for a 100% loss for the investor.

DISCIPLINARY INFORMATION:

Neither the advisory firm nor a management person has been involved in any disciplinary events.

OTHER FINANCIAL INDUSTRY ACTIVITIES OR AFFILIATIONS:

The Advisor currently holds a Series 7 license but the license is currently inactive but if the advisor were to affiliate with another broker dealer the Advisor could receive compensation as a registered representative. Advisor also may have an insurance license in the state a client resides and can receive appropriate commission as such (at the current moment the Advisor has no active insurance license). In the case of both the Series 7 and the insurance license the Advisor could have a conflict of interest in that they may collect a commission from selling the client a security or an insurance product; if the Advisor were to activate his licenses.

CODE OF ETHICS, PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS AND PERSONAL TRADING:

Our code of ethics basically states that we will do everything we can to put our clients interests first. Our goal is to help our clients reach their goals and objectives.

The Advisor's policy is that the interest of our clients takes precedence over our interests, and the interests of our affiliates, employees and representatives. Accordingly, the advisor will disclose any material relationships that they may have with respect to any investment recommended to clients. In addition, the Advisor will make recommendations based upon client suitability and objectives without regard to personal benefit.

The advisor will not purchase or sell securities for their own account if the transaction will disadvantage the clients in any way. The Advisor maintains transaction records for all employee securities transactions. The Advisor also prohibits insider trading and comply with applicable provisions of state and federal law.

We will provide any client or perspective client a copy of our code of ethics upon their request.

BROKERAGE PRACTICES:

Absent an existing brokerage relationship that they wish to keep, we will assist the client with developing a relationship with Fidelity Institutional Brokerage Group ("Fidelity") Some of the criteria we evaluate when we choose to use Fidelity are the following: Their well respected name in the industry, the number of different products available to us, the 24/365 web access, branches across the country, commissions, custodial fees, transactions costs, their Prime Brokerage platform (this allows us to buy securities from other broker dealers as well as Fidelity) the ease of a client to take over their own management in the case of termination of our services as well as the advisor's prior relationship as an employee of Fidelity Investments. While there is no direct affiliation or fee sharing arrangement between the Advisor and Fidelity, the Advisor does receive substantial back office support which would not be received if we did not have an established relationship with Fidelity. We do not have any "soft dollar" relationships with Fidelity or any other broker dealers.

In the course of providing our services, the Advisor (and the sub-advisers we select to manage a portion of the funds' assets) will execute trades for our clients (directly or through the funds) through broker-dealers. Our general principle is to trade through broker-dealers who custody the client's assets. However, when a client has given us broker discretion, there is no restriction on the brokers the Advisor may select to execute client transactions. We will consider other broker dealers who offer the best overall execution under the particular circumstances. With respect to execution, the Advisor considers a number of factors, including the actual handling of the order, the ability of the broker-dealer to settle the trade promptly and accurately, the financial standing of the broker-dealer, the ability of the broker-dealer to position stock to facilitate execution, our past experience with similar trades, and other factors which may be unique to a particular order. Based on these judgment factors, the Advisor may trade through broker-dealers that charge fees that are higher than the lowest available fees. Since the custodian charges us a fee to trade away from them, we may pay more for a particular transaction. The client may also receive a less favorable price on a trade with another broker-dealer.

Along with the above mentioned we want to note that other Advisors may not use brokerage discretion and this may save you money.

The sub-advisers we choose may have different principles or policies with respect to execution of trades and selection of brokers. A sub-adviser's policies and procedures in this area are among the factors that we may consider in choosing a sub-adviser.

REVIEW OF ACCOUNTS:

We periodically review client accounts and financial plans. Investments accounts are reviewed weekly in the area of withdrawals from the accounts. Portfolios are also reviewed continuously in terms of daily price changes. Other reviews are triggered by changes in market conditions as well as items related to the client's financial situation such as changes in cash flow, financial goals, retirement or estate planning. These reviews are conducted by R. Darren Simons the owner or another designee of the firm who is designated to give investment advice. In terms of reviewing accounts, there is no set minimum or maximum in place with regard to the number of accounts that may be assigned to a designee to review.

Every client receives a written monthly statement from Fidelity Investments (electronically or paper copy) stating their monthly additions and withdrawals as well as their change in value. We review with the clients their situations on a case-by-case basis to make sure they understand what we are doing and to make any changes necessary to our management plan. The frequency of the reviews are determined by how often the client would like to have these reviews, these reviews may be in person, writing or via the telephone

CLIENT REFERRALS AND OTHER COMPENSATION:

The economic benefits we receive for our clients do not depend on the amount of transactions directed by us to Fidelity. These benefits may include but not limited to: A dedicated trading desk that services our clients, access to a real time order matching system, ability to block client trades, electronic download of trades, duplicate and batched client statements, confirmations and year-end summaries, the ability to have our fees directly debited from client accounts (in accordance with federal and state requirements), and the ability to have custodial fees waived. Since the benefit does not depend on the amount (dollar size or frequency) of the transaction we do not feel this creates a conflict of interest for us.

We do not compensate directly or indirectly anyone who provides us with client referrals.

CUSTODY:

The Advisor does not custody any assets and does not provide any statement to the client.

All statements are issued by the custodian for the client; in this case that custodian is Fidelity.

INVESTMENT DISCRETION:

As noted in the Advisory Business section we outline our process for Discretionary Investment Management. We also outline our process for Brokerage Discretion in the brokerage practices section. When a client agrees to discretionary management or brokerage discretion, the Advisor will be responsible for selecting the amount of securities to be bought and sold. The only limitations on the investment authority will be those limitations imposed in writing by the client. In general our clients do not put restrictions on our authority. If we ever discuss a restriction it is usually on a type of investment for example: options. The only other type of restriction is an industry specific restriction, for example: Tobacco. Accounts managed on a discretionary basis may receive more favorable pricing when purchasing or selling securities than accounts managed on a non discretionary basis due to the fact that we must receive client authorization before placing a trade order

VOTING CLIENT SECURITIES:

The Advisor does not have or accept the authority to vote client securities. The clients receive their proxies and other solicitations directly from the custodian or the transfer agent. The clients are more than welcome to contact the advisor to discuss their proxy information. The client can contact the advisor via phone, email or by visiting the office to discuss solicitations with the advisor.

FINANCIAL INFORMATION:

The Advisor does not require or solicit prepayment of more than \$1200 in fees per client, six months or more in advance.