

**Form ADV
Part II – Page 1**

Uniform Application for Investment Adviser Registration

Name of Investment Adviser: Legacy Advisory Group LLC						
Address:	(Number and Street)	(City)	(State)	(Zip Code)	Area Code:	Telephone Number:
	9200 Indian Creek Parkway, Suite 195	Overland Park	Kansas	66210	(913)	825-5225

**This part of Form ADV gives information about the investment adviser and its business for the use of clients.
The information has not been approved or verified by any governmental authority.**

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(Schedules A, B, C, D and E are included with Part I of this Form, for the use of regulatory bodies, and are not distributed to clients.)

**Potential persons who are to respond to the collection of information contained in this form
are not required to respond unless the form displays a currently valid OMB control number**

**Form ADV
Part II – Page 2**

Applicant:
Legacy Advisory Group LLC

SEC File Number:
801-

Date:
March 1, 2012

1. A. Advisory Services and Fees. (check the applicable boxes)

For each type of service provided, state the approximate
% of total advisory billings from that service.
(See instruction below)

Applicant:

- | | | |
|---|---|--------------|
| <input checked="" type="checkbox"/> (1) | Provides investment supervisory services | <u>*65</u> % |
| <input type="checkbox"/> (2) | Manages investment advisory accounts not involving investment supervisory services..... | _____ % |
| <input checked="" type="checkbox"/> (3) | Furnishes investment advice through consultations not included in either service described above..... | <u>*15</u> % |
| <input type="checkbox"/> (4) | Issues periodicals about securities by subscription | _____ % |
| <input type="checkbox"/> (5) | Issues special reports about securities not included in any service described above..... | _____ % |
| <input type="checkbox"/> (6) | Issues, not as part of any service described above, any charts, graphs, formulas, or other devices which clients may use to evaluate securities | _____ % |
| <input checked="" type="checkbox"/> (7) | On more than an occasional basis, furnishes advice to clients on matters not involving securities..... | <u>*20</u> % |
| <input type="checkbox"/> (8) | Provides a timing service | _____ % |
| <input type="checkbox"/> (9) | Furnishes advice about securities in any manner not described above | _____ % |

(Percentages should be based on applicant's last fiscal year. If applicant has not completed its first fiscal year, provide estimates of advisory billings for that year and state that the percentages are estimates.)

*Estimates

- B. Does applicant call any of the services it checked above financial planning or some similar term?.....

Yes	No
<input checked="" type="checkbox"/>	<input type="checkbox"/>

C. Applicant offers investment advisory services for (check all that apply)

- | | |
|--|--|
| <input checked="" type="checkbox"/> (1) A percentage of assets under management | <input type="checkbox"/> (4) Subscription fees |
| <input checked="" type="checkbox"/> (2) Hourly charges | <input type="checkbox"/> (5) Commissions |
| <input checked="" type="checkbox"/> (3) Fixed fees (not including subscription fees) | <input type="checkbox"/> (6) Other |

D. For each checked box in A above, describe on Schedule F:

- the services provided, including the name of any publication or report issued by the adviser on a subscription basis or for a fee
- applicant's basic fee schedule, how fees are charged and whether its fees are negotiable
- when compensation is payable, and if compensation is payable before service is provided, how a client may get a refund or may terminate an investment advisory contract before its expiration date.

2. Types of Clients. – Applicant generally provides investment advice to: (check those that apply)

- | | |
|---|--|
| <input checked="" type="checkbox"/> A. Individuals | <input checked="" type="checkbox"/> E. Trusts, estates, or charitable organizations |
| <input type="checkbox"/> B. Banks or thrift institutions | <input checked="" type="checkbox"/> F. Corporations or business entities other than those listed above |
| <input type="checkbox"/> C. Investment companies | <input type="checkbox"/> G. Other (describe on Schedule F) |
| <input checked="" type="checkbox"/> D. Pension and profit sharing plans | |

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March 1, 2012

3. Types of Investments. Applicant offers advice on the following: (check those that apply)

- | | |
|--|--|
| <input type="checkbox"/> A. Equity securities | <input checked="" type="checkbox"/> H. United States government securities |
| <input checked="" type="checkbox"/> (1) exchange-listed securities | I. Options contracts on: |
| <input checked="" type="checkbox"/> (2) securities traded over-the-counter | <input type="checkbox"/> (1) securities |
| <input checked="" type="checkbox"/> (3) foreign issuers | <input type="checkbox"/> (2) commodities |
| <input type="checkbox"/> B. Warrants | J. Futures contracts on: |
| <input checked="" type="checkbox"/> C. Corporate debt securities (other than commercial paper) | <input type="checkbox"/> (1) tangibles |
| <input type="checkbox"/> D. Commercial paper | <input type="checkbox"/> (2) intangibles |
| <input checked="" type="checkbox"/> E. Certificates of deposit | K. Interests in partnerships investing in: |
| <input checked="" type="checkbox"/> F. Municipal securities | <input checked="" type="checkbox"/> (1) real estate |
| G. Investment company securities | <input checked="" type="checkbox"/> (2) oil and gas interests |
| <input checked="" type="checkbox"/> (1) variable life insurance | <input type="checkbox"/> (3) other (explain on Schedule F) |
| <input checked="" type="checkbox"/> (2) variable annuities | <input checked="" type="checkbox"/> L. Other (explain on Schedule F) |
| <input checked="" type="checkbox"/> (3) mutual fund shares | |

4. Methods of Analysis, Sources of Information, and Investment Strategies.

A. Applicant's security analysis methods include: (check those that apply)

- | | |
|---|--|
| (1) <input type="checkbox"/> Charting | (4) <input type="checkbox"/> Cyclical |
| (2) <input checked="" type="checkbox"/> Fundamental | (5) <input type="checkbox"/> Other (explain on Schedule F) |
| (3) <input checked="" type="checkbox"/> Technical | |

B. The Main sources of information applicant uses include: (check those that apply)

- | | |
|---|---|
| (1) <input checked="" type="checkbox"/> Financial newspapers and magazines | (5) <input type="checkbox"/> Timing services |
| (2) <input type="checkbox"/> Inspections of corporate activities | (6) <input checked="" type="checkbox"/> Annual reports, prospectuses, filings with the Securities and Exchange Commission |
| (3) <input checked="" type="checkbox"/> Research materials prepared by others | (7) <input checked="" type="checkbox"/> Company press releases |
| (4) <input checked="" type="checkbox"/> Corporate rating services | (8) <input type="checkbox"/> Other (explain on Schedule F) |

C. The investment strategies used to implement any investment advice given to clients include: (check those that apply)

- | | |
|---|--|
| (1) <input checked="" type="checkbox"/> Long term purchases (securities held at least a year) | (5) <input type="checkbox"/> Margin transactions |
| (2) <input checked="" type="checkbox"/> Short term purchases (securities sold within a year) | (6) <input type="checkbox"/> Option writing, including covered options, uncovered options, or spreading strategies |
| (3) <input type="checkbox"/> Trading (securities sold within 30 days) | (7) <input type="checkbox"/> Other (explain on Schedule F) |
| (4) <input type="checkbox"/> Short sales | |

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5. Education and Business Standards.

Are there any general standards of education or business experience that applicant requires of those involved in determining or giving investment advice to clients? ☒ Yes ☐ No
(If yes, describe these standards on Schedule F)

6. Education and Business Background.

For:

- each member of the investment committee or group that determines general investment advice to be given to clients, or
- if the applicant has no investment committee or group, each individual who determines general investment advice given to clients (if more than five, respond only for their supervisors)
- each principal executive officer of applicant or each person with similar status or performing similar functions.

On Schedule F, give the:

- name
- formal education after high school
- year of birth
- business background for the preceding five years

7. Other Business. (check those that apply)

- ☐ A. Applicant is actively engaged in a business other than giving investment advice.
- ☐ B. Applicant sells products or services other than investment advice to clients.
- ☐ C. The principal business of applicant or its principal executive officers involves something other than providing investment advice.
(For each checked box describe the other activities, including the time spent on them, on Schedule F.)

8. Other Financial Industry Activities or Affiliations. (check those that apply)

- ☐ A. Applicant is registered (or has an application pending) as a securities broker-dealer.
- ☐ B. Applicant is registered (or has an application pending) as a futures commission merchant, commodity pool operator or commodity trading adviser
- ☐ C. Applicant has arrangements that are material to its advisory business or its clients with a related person who is a:
- | | |
|--|--|
| <input type="checkbox"/> (1) broker-dealer | <input type="checkbox"/> (7) accounting firm |
| <input type="checkbox"/> (2) investment company | <input checked="" type="checkbox"/> (8) law firm |
| <input type="checkbox"/> (3) other investment adviser | <input checked="" type="checkbox"/> (9) insurance company or agency |
| <input type="checkbox"/> (4) financial planning firm | <input type="checkbox"/> (10) pension consultant |
| <input type="checkbox"/> (5) commodity pool operator, commodity trading adviser or futures commission merchant | <input type="checkbox"/> (11) real estate broker or dealer |
| <input type="checkbox"/> (6) banking or thrift institution | <input type="checkbox"/> (12) entity that creates or packages limited partnerships |

(For each checked box in C, on Schedule F identify the related person and describe the relationship and the arrangements.)

- D Is applicant or a related person a general partner in any partnership in which clients are solicited to invest? ☐ Yes ☒ No

(If yes, describe on Schedule F the partnerships and what they invest in.)

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9. Participation or Interest in Client Transactions.

Applicant or a related person: (check those that apply)

- ☐ A. As principal, buys securities for itself from or sells securities it owns to any client.
- ☐ B. As broker or agent effects securities transactions for compensation for any client.
- ☐ C. As broker or agent for any person other than a client effects transactions in which client securities are sold to or bought from a brokerage customer.
- ☐ D. Recommends to clients that they buy or sell securities or investment products in which the applicant or a related person has some financial interest.
- ☒ E. Buys or sells for itself securities that it also recommends to clients.
(For each box checked, describe on Schedule F when the applicant or a related person engages in these transactions and what restrictions, internal procedures, or disclosures are used for conflicts of interest in those transactions.)

- 10. Conditions for Managing Accounts.** Does the applicant provide investment supervisory services, manage investment advisory accounts or hold itself out as providing financial planning or some similarly termed services *and* impose a minimum dollar value of assets or other conditions for starting or maintaining an account? Yes No
☒ ☐
(If yes, describe on Schedule F.)

- 11. Review of Accounts.** If applicant provides investment supervisory services, manages investment advisory accounts, or holds itself out as providing financial planning or some similarly termed services:

- A. Describe below the reviews and reviewers of the accounts. **For reviews**, include their frequency, different levels, and triggering factors. **For reviewers**, include the number of reviewers, their titles and functions, instructions they receive from applicant on performing reviews, and number of accounts assigned each.

Please see Schedule F.

- B. Describe below the nature and frequency of regular reports to clients on their accounts.

Please see Schedule F.

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Legacy Advisory Group LLC

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12. Investment or Brokerage Discretion.

A. Does applicant or any related person have authority to determine, without obtaining specific client consent, the:

- | | | | |
|-----|---|---|--|
| (1) | *securities to be bought or sold? | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (2) | *amount of the securities to be bought or sold? | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (3) | *broker or dealer to be used? | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (4) | *commission rates paid? | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

*See Schedule F regarding certain retirement plans

B. *Does applicant or a related person suggest brokers to clients? Yes ☐ No ☒

For each yes answer to A describe on Schedule F any limitations on the authority. For each yes to A(3), A(4) or B, describe on Schedule F the factors considered in selecting brokers and determining the reasonableness of their commissions. If the value of products, research and services given to the applicant or a related person is a factor, describe:

- the products, research and services
- whether clients may pay commissions higher than those obtainable from other brokers in return for those products and services
- whether research is used to service all of applicant's accounts or just those accounts paying for it; and
- any procedures the applicant used during the last fiscal year to direct client transactions to a particular broker in return for products and research services received.

*See Schedule F regarding certain retirement plans

13. Additional Compensation.

Does the applicant or a related person have any arrangements, oral or in writing, where it:

- | | | | |
|----|--|---|-----------------------------|
| A. | *is paid cash by or receives some economic benefit (including commissions, equipment or non-research services) from a non-client in connection with giving advice to clients?..... | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| B. | *directly or indirectly compensates any person for client referrals? | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

*For each yes, see description of the arrangements on Schedule F.

14. Balance Sheet. Applicant must provide a balance sheet for the most recent fiscal year on Schedule G, if applicant:

- has custody of client funds or securities unless applicant is registered or registering with the Securities and Exchange Commission; or
 - requires prepayment of more than \$500 in fees per client and 6 or more months in advance
- | | | |
|---|------------------------------|--|
| Has applicant provided a Schedule G balance sheet?..... | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
|---|------------------------------|--|

**Schedule F of
Form ADV
Continuation Sheet for Form ADV Part II**

Applicant:
Legacy Advisory Group LLC

SEC File
Number:
801-

Date:
March 1, 2012

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part 1 of Form ADV:

Legacy Advisory Group LLC

IRS Empl. Ident. No.:

20:5521012

Item of Form
(identify)

Answer

**Part II,
Item 1.D**

Investment Supervisory Services

Legacy Advisory Group LLC ("Legacy Advisory Group") provides investment supervisory services by giving continuous advice to a client. It invests and reinvests client assets based on the individual needs of the client. Through personal discussions during which goals and objectives based on a client's particular circumstances are established, Legacy Advisory Group develops a client's personal investment policy and creates and manages a portfolio based on that policy. Legacy Advisory Group manages advisory client accounts on a discretionary basis. Individual securities are not managed on a discretionary basis and all trades of individual securities require prior client approval.

Legacy Advisory Group creates a portfolio consisting predominantly of no-load mutual funds, closed-end funds and Exchange Traded Funds. Legacy Advisory Group uses individual stocks and bonds in clients' portfolios as dictated by clients' investment objectives. Allocations in clients' portfolios are made considering the overall management style selected by the client. Funds are selected based on the following criteria: the funds' performance history; the industry sector in which the fund invests; the track record of the fund manager; the funds' investment objectives; the fund management style and philosophy; and the funds' management fee structure. Individual securities are selected on the following criteria: industry in which the security is located, cash flows of the company, future growth perspective, company management and global market presence. Portfolio allocation between funds, securities and market sectors is determined by each client's individual needs and circumstances. Clients have the opportunity to place reasonable restrictions on the types of investments made, such as for example relating to social concerns or a particular industry or stock. Legacy Advisory Group does not vote client proxies.

All fees paid to Legacy Advisory Group for investment advisory services are separate and distinct from the fees and expenses charged by mutual funds to their shareholders. Fees and expenses are described in each fund's prospectus. These fees generally include a management fee, other fund expenses, and distribution fees. A client may invest in funds and individual securities directly, without the services provided by Legacy Advisory Group. The client should review both the fees charged by the funds and the fees charged by Legacy Advisory Group to understand the total amount of fees and expenses paid by the client.

Selection and Monitoring Services

Legacy Advisory Group provides several advisory services separately or in combination. While the primary clients for these services are pension, profit sharing and 401(k) plans, Legacy Advisory Group also offers these services, as appropriate, to individuals and trusts, estates and charitable organizations.

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**Schedule F of
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Applicant:
Legacy Advisory Group LLC

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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV:

Legacy Advisory Group LLC

IRS Empl. Ident. No.:

20-5521012

Item of Form
(identify)

Answer

**Part II,
Item 1.D
(continued)**

Selection and Monitoring Services are comprised of four distinct services. Clients may choose to use any or all of these services:

- Investment Policy Statement Preparation. Legacy Advisory Group meets with the client to determine the client's investment needs and goals. Legacy Advisory Group may prepare a written Investment Policy Statement ("IPS") stating those needs and goals, encompassing a policy under which these goals are to be achieved. The IPS also lists the criteria for the selection of investment vehicles, and the procedures and timing intervals for monitoring of investment performance.
- Selection of Investment Vehicles. Legacy Advisory Group reviews various investments, consisting of mutual funds, closed-end funds, Exchange Traded Funds, individual stocks and bonds and investment managers, to determine the appropriate vehicle to implement the client's IPS. The number of investments to be recommended is determined by the client, based on the IPS.
- Executing Changes in Mutual Funds, closed-end funds, Exchange Traded Funds, individual stocks and bonds, and Investment Managers. Legacy Advisory Group recommends and the client designates mutual funds, closed-end funds, Exchange Traded Funds, individual stocks and bonds and investment managers from which plan participants may select for investment. Thereafter, Legacy Advisory Group may replace any mutual funds, closed-end funds, Exchange Traded Funds, or investment manager deemed inadvisable with another advisable mutual fund, closed-end fund, Exchange Traded Fund, or investment manager of a like kind and nature. On this discretionary basis, Legacy Advisory Group executes transactions changing mutual funds, closed-end funds, Exchange Traded Funds and investment managers for plan participant accounts. Legacy Advisory Group does not replace, sell or otherwise trade individual securities or bonds without prior client approval.
- Monitoring of Investment Performance. Client portfolios are monitored based on the procedures and timing intervals as may be delineated in the IPS. Legacy Advisory Group may assist the client in the purchase or sale of these investments, Legacy Advisory Group supervises the client's portfolio and makes recommendations to the client as market factors and the client's needs dictate.

Employee Communications. Legacy Advisory Group provides quarterly educational support and investment workshops designed for the plan participants. The nature of the topics to be covered is determined by Legacy Advisory Group and the client under the guidelines established in ERISA Section 404(c). The educational support and investment workshops do not provide plan participants with individualized, tailored investment advice or individualized, tailored recommendations.

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Schedule F of Form ADV Continuation Sheet for Form ADV Part II	Applicant: Legacy Advisory Group LLC	SEC File Number: 801-	Date: March 1, 2012
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part 1 of Form ADV: Legacy Advisory Group LLC	IRS Empl. Ident. No.: 20-5521012
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Item of Form (identify)	Answer
Part II, Item 1.D. (continued)	<p><u>Fees for Investment Supervisory, and Selection and Monitoring Services</u></p> <p>The annual fees for investment supervisory and selection and monitoring services are a percentage of assets under management ranging between .20% and 1.35%. The client and Legacy Advisory Group agree on an exact percentage based on the nature and total dollar value of the account. Fees are due and payable as of each calendar quarter-end, in arrears, based on market values indicated by the custodian. Fees are negotiable in limited and unique circumstances.</p> <p>Upon written agreement with the client, Legacy Advisory Group deducts advisory fees directly from a client's account after each quarter-end. Legacy Advisory Group presents its fee calculation to a qualified custodian, who then directly deducts the fee from client accounts and remits the amounts over to Legacy Advisory Group. The client is also sent a copy of the invoice at the same time as the custodian. The qualified custodian sends clients quarterly account statements reflecting funds in the account, transactions, and disbursements, including the deduction of the advisory fee.</p> <p>If an account commences or terminates during a calendar quarter, the fee is pro-rated for the period of time the account is under management. Either the client or Legacy Advisory Group may terminate the Investment Advisory Agreement upon 30 days prior written notice.</p> <p><u>Financial Planning</u></p> <p>Legacy Advisory Group renders financial planning services. Clients receive a written financial plan, designed to help achieve stated financial goals and objectives. In general, the financial plan may address the following areas of concern:</p> <ul style="list-style-type: none"> • <u>Personal</u>. Family records, budgeting, personal liability, estate information and financial goals. • <u>Tax & Cash Flow</u>. Income tax and spending analysis and planning for past, current, and future years. Legacy Advisory Group illustrates the impact of various investments on a client's current income tax and future tax liability. • <u>Death & Disability</u>. Cash needs at death, income needs of surviving dependents, estate planning and disability income analysis. • <u>Retirement</u>. Analysis of current strategies and investment plans to help the client achieve his or her retirement goals. • <u>Investments</u>. Analysis of investment alternatives and effect on clients' portfolios. • <u>Education Funding</u>. Planning for education and related expenses. <p>Legacy Advisory Group gathers required information through personal interviews. Information gathered includes a client's current financial status, future goals and attitudes towards risk. Related documents supplied by the client are carefully reviewed, which may include a questionnaire completed by the client. A written report or financial plan is prepared for the client based on information provided.</p> <p style="text-align: right;">Page 3</p>

**Schedule F of
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Applicant:
Legacy Advisory Group LLC

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Number:
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Date:
March 1, 2012

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part 1 of Form ADV:

Legacy Advisory Group LLC

IRS Empl. Ident. No.:

20-5521012

Item of Form (identify)	Answer
Part II, Item 1.D (continued)	<p>If the client wishes, and to the extent possible, Legacy Advisory Group is available to assist in the implementation of this plan. Once a financial plan is presented to a client, implementation of the financial plan recommendations is at the client's discretion. Should a client choose, Legacy Advisory Group is available to provide annual financial reviews.</p> <p>Fees may be on a fixed fee basis or an hourly basis. Fixed fees range from \$750-\$10,000 for financial planning, depending on the nature and complexity of each client's circumstances. The fixed fee may be based on estimated hourly fees and other factors related to the difficulty of servicing the individual clients. All financial planning engagements will be completed within 6 months of signing the financial planning agreement.</p> <p>Hourly fees are \$150 per hour, depending on the nature and complexity of each client's circumstances. An estimate for total hours is determined at the start of the advisory relationship, and to be paid upon the execution of the financial planning agreement. Legacy Advisory Group notifies the client before presentation of the plan if the final fee is to be 20% or more than the estimate.</p> <p>If ongoing services are required, the fee may be paid in installments. In some instances, it may be appropriate to collect less than 100% of the fee at engagement with the balance payable upon completion and delivery of the plan. Fee arrangements are set forth in and agreed upon in the financial planning agreement.</p> <p>Seminar Events</p> <p>Legacy Advisory Group provides seminars on general investment and other financial topics. The investment information provided does not purport to address the individual objectives or needs of each individual client.</p> <p>The seminar sessions address general investment topics. These seminars are available to Legacy Advisory Group clients as well as the general public including corporate entities that wish to provide this service for the benefit of their employees. The client and Legacy Advisory Group agree upon a fee depending on the nature and level of services.</p> <p>Persons participating in Legacy Advisory Group seminars are charged between \$0 and \$100 for the seminar. Fees are due and payable no later than the completion of the program. Under certain circumstances, the seminar fee may be negotiable.</p> <p>Business Continuity and Succession Planning</p> <p>Legacy Advisory Group maintains a Business Continuity and Succession Plan in the event of loss of property or person. A copy of this Plan may be will be made available upon written request sent to Jack Kynion, Chief Compliance Officer, Legacy Advisory Group, 9200 Indian Creek Parkway, Suite 195, Overland Park, Kansas 66210.</p>

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**Schedule F of
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Applicant:
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part 1 of Form ADV:

Legacy Advisory Group LLC

IRS Empl. Ident. No.:
20-5521012

Item of Form (identify)	Answer
Part II, Item 3.L	<p>Types of Investments</p> <p>Legacy Advisory Group makes investment recommendations to accredited investor clients to invest in private offerings available to accredited investor clients (as defined by the SEC) in accordance with risk tolerances and investment objectives of each particular client. Legacy Advisory Group discloses all positions it or its' principal officers hold in the private offerings.</p>
Part II, Item 5	<p>Education and Business Standards</p> <p>Advisory persons associated with Legacy Advisory Group must possess a college degree or appropriate business experience and all required licenses.</p>
Part II, Item 6	<p>Education and Business Background</p> <p>Name: Jack Kynion, II</p> <p>Born: 1955</p> <p>Education: University of Kansas, 1973-1977</p> <p>Employment: Legacy Advisory Group LLC 2006-present, Investment Manager</p>
Part II, Item 8.C (8)	<p>Other Financial Industry Activities or Affiliations</p> <p>Legacy Advisory Group has a business relationship with Legacy Law Firm, LLC ("Legacy Law Firm") and suggests at times that clients contact Legacy Law Firm to seek legal advice regarding various legal issues. Legacy Advisory Group informs clients that the choice of a law firm is their sole decision and does not provide legal advice to clients.</p>
Part II, Item 8.C (9)	<p>Jack Kynion, Investment Manager of Legacy Advisory Group, maintains an insurance agency. If a client of Legacy Advisory Group buys an insurance product from Mr. Kynion, the following disclosures are made.</p> <ul style="list-style-type: none"> The client is under no obligation to use Mr. Kynion and may use any person he desires to acquire the insurance product. The approximate amount of remuneration is disclosed in advance, and the client's written consent is received. <p>The representative is acting in separate capacities as an investment advisor representative of Legacy Advisory Group and as a licensed insurance agent. A potential conflict of interest exists for the representative to recommend transactions he might not otherwise recommend to receive commissions.</p> <p>In its financial planning services, Legacy Advisory Group informs clients they may implement recommended transactions through investment advisory representatives of Legacy Advisory Group as licensed insurance agents with a variety of insurance carriers. Clients are further informed they are under no obligation to use Legacy</p>

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**Schedule F of
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part 1 of Form ADV:

Legacy Advisory Group LLC

IRS Empl. Ident. No.:

20-5521012

Item of Form (identify)	Answer
Part II, Item 8.C (9) (continued)	<p>Advisory Group representatives to purchase insurance products and may use any insurance carrier or agent they desire.</p> <p>If clients choose to execute recommended transactions through Legacy Advisory Groups' investment advisory representatives as insurance agents, Legacy Advisory Group discloses the estimated amount of compensation or other remuneration in advance. Legacy Advisory Group then obtains the clients' prior written consent to the disclosed compensation and the transaction.</p> <p>Clients should be aware that best execution and lower commissions may not necessarily be achieved if recommended transactions are placed through these individuals, in their other capacities as insurance agents and brokers.</p> <p>In addition, under its Investment Advisory Agreement, investment advisory agents of Legacy Advisory Group place recommended securities transactions with a variety of broker/dealers without remuneration. Fees, ticket charges and similar items are retained by the broker/dealers.</p>
Part II, Item 9.E	<p>Code of Ethics</p> <p>Legacy Advisory Group adheres to a Code of Ethics regarding its affiliated persons' conduct in securities transactions. The Code of Ethics requires that all access persons employed by Legacy Advisory Group must disclose their securities holdings to Legacy Advisory Group's Chief Compliance Officer on at least an annual basis. Also, access persons must submit quarterly reports of their securities transactions to the Chief Compliance Officer. These reports assure the propriety of transactions by Legacy Advisory Groups personnel. Certain investments are not subject to these reporting requirements. The Code of Ethics requires preclearance of certain transactions. It also prohibits certain investments by its employees.</p> <p>Related persons of Legacy Advisory Group may buy or sell securities that they also recommend to clients. Client transactions are executed prior to transactions by any related person and are further subject to a discretionary measure of fairness determined solely by these related persons to assure clients' interests are placed first.</p> <p>Clients may request a copy of the Code of Ethics by sending a written request to Jack Kynion, Chief Compliance Officer, 9200 Indian Creek Parkway, Suite 195, Overland Park, Kansas 66210.</p> <p>Insider Trading Policy</p> <p>Legacy Advisory Group has also adopted an Insider Trading Policy. It sets forth policies and procedures intended to prevent inside trading and explains what conduct constitutes insider trading. The Insider Trading Policy seeks to educate Legacy Advisory Groups' employees on the possession of insider information, as well as the</p>

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**Schedule F of
Form ADV
Continuation Sheet for Form ADV Part II**

Applicant:
Legacy Advisory Group LLC

SEC File
Number:
801-

Date:
March 1, 2012

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part 1 of Form ADV:

Legacy Advisory Group LLC

IRS Empl. Ident. No.:
20-5521012

Item of Form (identify)	Answer
Part II, Item 9.E (continued)	legal boundaries for the use of such information. The Insider Trading Policy also describes the consequences, both internally and externally, regarding the inappropriate use of insider information.
Part II, Item 10	<p>Conditions for Managing an Account</p> <p>Legacy Advisory Group requires a minimum account of \$250,000 for investment supervisory services clients, subject to unique and limited exceptions. For other services, Legacy Advisory Group attempts to assure that based on the financial situation of the client; its services are of benefit.</p> <p>Review of Accounts</p>
Part II, Item 11.A	Jack Kynion, as Investment Manager of Legacy Advisory Group, reviews all accounts in their entirety on a quarterly basis according to the financial needs, desires and objectives of the client. On an ongoing basis, he reviews the individual investments held by Legacy Advisory Groups' clients.
Part II, Item 11.B	In most cases, the custodian of clients' portfolios sends monthly statements and confirmation of all transactions to the client. In all cases, the custodian sends quarterly statements and confirmation of all transactions
Part II, Item 12.A (1) &(2)	<p>Investment or Brokerage Discretion</p> <p>Legacy Advisory Group has the discretion to trade mutual funds, closed-end funds, Exchange Traded Funds, or other similar funds in the amount it deems appropriate based on client's investment objectives without prior client approval. Legacy Advisory Group does not replace, sell or otherwise trade individual securities or bonds without prior client approval.</p>
Part II, Item 12.B	<p>Legacy Advisory Group uses and suggests custodian, broker-dealers to clients, after considering the quality of services, including execution, account access, information, rates, overall fees and account costs, and the prior experience, responsiveness, service, reputation, honesty, integrity and financial stability of the entity, among other possible factors. Legacy Advisory Group also considers the administrative ease to service client accounts in using or suggesting any entity. Legacy Advisory Group executes transactions through the custodian for the account, who then acts as the broker-dealer. Legacy Advisory Group recommends almost exclusively Fidelity as the custodian, broker-dealer based on the relationship it has with Fidelity, its' negotiated arrangements, and analysis of qualitative criteria.</p> <p>Fidelity maintains an investment advisor platform for use by investment advisory firms comprised of independent investment advisors. In using this platform, Legacy</p>

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**Schedule F of
Form ADV
Continuation Sheet for Form ADV Part II**

Applicant:
Legacy Advisory Group LLC

SEC File
Number:
801-

Date:
March 1, 2012

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Legacy Advisory Group LLC		IRS Empl. Ident. No.: 20:5521012
Item of Form (identify)	Answer	
Part II, Item 12.B (continued)	<p>Advisory Group may waive commissions, lessening overall account costs. However, ticket charges, account fees, and processing fees apply. With this arrangement, Legacy Advisory Group believes the client receives the best qualitative execution.</p> <p>Because Legacy Advisory Group uses Fidelity as a custodian and to execute client transactions, a potential conflict of interest may be deemed to exist. Investment advisory representatives have an incentive to use Fidelity because in doing so they receive intangible benefits they may not otherwise receive. Legacy Advisory Group made a good faith determination that its clients' interests are best served on a qualitative, comparative basis by using Fidelity as a custodian, broker-dealer.</p> <p>Legacy Advisory Group considers competitive custodian, broker-dealer relationships. It compares several service providers, and makes a good faith determination in the client's best interest that Fidelity offers the best services and qualitative execution to clients. Legacy Advisory Group reviews its relationship with the custodian, broker-dealer in its entirety on a determined periodic basis. This periodic review covers the same factors considered in initially selecting the custodian broker-dealer, such as the quality of services, execution compatibility, account access and information, rates, overall fees and account costs, and the prior experience, responsiveness.</p> <p>The custodian, broker-dealer may execute transactions as an agent with one of its affiliates acting as the principal. In such instances, Legacy Advisory Group periodically reviews the transactions to assure best qualitative execution in the client's interest.</p> <p>In the implementation of the financial plans, the client retains the actual responsibility and authority to implement recommendations in the financial plan presented by Legacy Advisory Group. Upon the request of a client, Legacy Advisory Group recommends that transactions be placed through its investment advisory representatives who are also insurance brokers, and Legacy Advisory Group may assist in the implementation at the request of clients. Legacy Advisory Group discloses to clients:</p> <ul style="list-style-type: none"> • They may use any person they desire to execute the transactions, and are under no obligation to use Legacy Advisory Group or its representatives. • The approximate remuneration to be received and the client's prior consent is obtained. • The representative is acting in separate capacities as investment advisor representative of Legacy Advisory Group and as an insurance agent/broker. By so acting, a potential conflict of interest exists for the representative to recommend transactions he otherwise might not recommend to receive commissions. 	

**Schedule F of
Form ADV
Continuation Sheet for Form ADV Part II**

Applicant:
Legacy Advisory Group LLC

SEC File
Number:
801-

Date:
March 1, 2012

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: Legacy Advisory Group LLC		IRS Empl. Ident. No.: 20:5521012
Item of Form (identify)	Answer	
Part II, Item 12.B (continued)	<p>Legacy Advisory Group maintains discretionary authority for the substitution of recommended investments for participants in retirement plans. Legacy Advisory Group selects specific managers and funds for a retirement plan client, in which the plan participants may invest plan assets. Legacy Advisory Group may substitute any investment deemed inadvisable with another investment of like kind and nature. The discretionary authority applies to mutual funds, closed-end funds and Exchange Traded Funds and does not apply to the trading or substitution of individual stocks and bonds.</p>	
Part II, Item 13.A	<p>Additional Compensation</p> <p>In its financial planning services, representatives of Legacy Advisory Group may receive commissions if transactions are implemented through them. Legacy Advisory Group informs clients:</p> <ul style="list-style-type: none"> • They are under no obligation to use representatives of Legacy Advisory Group, and may use any person they desire. • The approximate remuneration to be received on the transaction is disclosed to the client, and the client's prior written consent is received. • The representative is acting in separate capacities; as investment advisor representatives of Legacy Advisory Group and as an insurance agent/broker. By so acting, a potential conflict of interest exists. Legacy Advisory Groups' representative may have the incentive to recommend transactions to receive commissions they might not otherwise receive. 	
Part II, Item 13.B	<p>Legacy Advisory Group may compensate individuals for soliciting business on its' behalf and discloses all such arrangements to clients.</p>	

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