

(Part 2B of Form ADV)

Thomson Financial Advisors, LLC

10360 E. Jenan Drive

Scottsdale, AZ 85260

Phone

(480) 661-5051

Fax

(480) 661-5054

Email

brendan.shaw@tfaadvisors.net

This brochure provides information about principals and adviser representatives of Thomson Financial Advisors, LLC and this brochure supplements the Thomson Financial Advisors, LLC brochure. You should have received a copy of that brochure. Please contact Brendan Shaw at 480-661-5051, or by email at: brendan.shaw@tfadvisors.net if you did not receive Thomson Financial Advisors, LLC brochure or if you have any questions about the contents of this supplement. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission, or by any state securities authority.

Additional information about principals and adviser representatives of Thomson Financial Advisors, LLC is available on the SEC's website at www.adviserinfo.sec.gov.

Effective Date: 8/27/2012

ADV Part 2B

Education and Business Standards

Thomson Financial Advisors, LLC requires that associated persons have a bachelor's degree and further coursework demonstrating knowledge of financial planning and tax planning.

Examples of acceptable coursework may include: an MBA, a CFP, a CFA, a ChFC, JD, CTFA, EA or CPA. Additionally, advisors must have work experience that demonstrates their aptitude for financial planning and investment management.

Professional Certifications

Employees have earned certifications and credentials that are required to be explained in further detail.

Education and Business Background

Brendan Shaw, COO/CCO

Date of birth: 12/11/1974

Item 2-Educational Background:

Mr. Shaw attended the University of Massachusetts, graduating with a bachelor's degree in Sports Business Management in 1999.

Employment for the Past Five Years:

Thomson Financial Advisors, LLC, COO/CCO, 12/2005 to Present

Mutual Securities, Inc., Registered Representative, 12/2005-Present

Item 3 and 7-Disciplinary Information: As it relates to past, current or prospective clients, Brendan Shaw has not been involved in legal or disciplinary events, has not been involved in arbitrations, has not been subject to self regulatory organization or administrative proceedings and has not filed or planning to file a bankruptcy petition.

Item 4-Outside Business Activities: 1. AZ insurance license #158686 insurance sales 2. Athletes Resources LLC - hockey coach 3. Thomson Financial Advisors, LLC 50% ownership 4. Giant Strides LLC (school tutoring) 25% ownership 5. Coyotes Amateur Hockey Association - hockey coach, 100% ownership 6. Mutual Securities, registered representative, investment related, this activity makes up approximately 10% of my revenue. Mr. Shaw spends 10 hours a month in this role (investment related).

Item 5-Additional Compensation: In the course of business Brendan Shaw does not receive economic benefit from non clients for providing advisory services.

Item 6- Supervision: Brendan Shaw is supervised by Lindsay Thomson. He reviews Brendan Shaw's work through frequent office interactions.

Lindsay Thomson's contact information:

Phone: (480) 661-5051 Email: lindsay.thomson@tfadvisors.net

Arbitration Claims: None

Self-Regulatory Organization or Administrative Proceeding: None

Bankruptcy Petition: None

Lindsay Thomson

Date of birth: 11/10/1954

Item 2-Educational Background:

Mr. Thomson attended University of Denver graduating in 1977 with a BS in Business Administration.

Employment for the Past Five Years:

Thomson Financial Advisors, LLC, CEO, 12/2005 to Present

Mutual Securities, Inc., Registered Representative, 12/2005-06/2009

Item 3 and 7-Disciplinary Information: Mr. Thomson has had a disclosable event brought against him. However, it should be noted that this event is in no way associated with his daily duties as CEO at Thomson Financial Advisors, LLC but rather took place when Mr. Thomson was acting in the capacity as a registered representative with UBS Financial Services, Inc. As it relates to past, current or prospective clients, Mr. Thomson has been involved in the following event: FINRA Staff made a determination to bring a disciplinary proceeding against Mr. Thomson for sending emails in 2005 to customers that FINRA staff determined failed to comply with content requirements for options communications and without obtaining appropriate advance approval from his firm. As such FINRA determined Mr. Thomson to be in violation of

NASD Rules 2220, 2110 and 2220(B). On December 7, 2005, Mr. Thomson's employment with UBS was terminated. As a result of the actions brought against him, Mr. Thomson agreed to an Acceptance, Waiver and Consent (AWC) on October 30, 2009, was ordered to pay a monetary sanction of \$5,000 and serve a 25-business day suspension from association with any FINRA regulated broker/dealer in any capacity. While Mr. Thomson disagreed with the violations brought against him he cooperated fully and honestly in an effort to resolve the matter as swiftly as possible. All detailed information regarding this event can also be found at <http://www.adviserinfo.sec.gov>.

Additionally, it should be noted that Mr. Thomson as an advisory representative of Thomson Financial Advisors, LLC is held to certain fiduciary standards to ensure he is acting in the best interest of his clients. Mr. Thomson treats such standards with the utmost seriousness. Mr. Thomson has never been found to be in violation of any such standards and/or rules.

Item 4-Other Business Activities: None

Item 5-Additional Compensation: In the course of business Lindsay Thomson does not receive economic benefit from non clients for providing advisory services.

Item 6- Supervision: Lindsay Thomson is supervised by Brendan Shaw. He reviews Brendan Shaw's work through frequent office interactions.

Brendan Shaw's contact information:

Phone: (480) 661-5051 Email: brendan.shaw@tfadvisors.net

Arbitration Claims: None

Self-Regulatory Organization or Administrative Proceeding: None

Bankruptcy Petition: None

Terra Posthumus, Investment Adviser Representative

Date of birth: 12/01/1982

Item 2-Educational Background:

Ms. Posthumus attended the University of Minnesota, earning a BS in Kinesiology in 2005.

Employment for the Past Five Years:

Thomson Financial Advisors, LLC, Investment Adviser Representative,
07/2008 to Present

GolfTec LPGA Teaching Professional 11/2007 to Present

Item 3 and 7-Disciplinary Information: As it relates to past, current or prospective clients, Terra Posthumus has not been involved in legal or disciplinary events, has not been involved in arbitrations, has not been subject to self regulatory organization or administrative proceedings and has not filed or planning to file a bankruptcy petition.

Item 4-Outside Business Activities: None

Item 5-Additional Compensation: In the course of business Terra Posthumus does not receive economic benefit from non clients for providing advisory services.

Item 6- Supervision: Terra Posthumus is supervised by Brendan Shaw..

Brendan Shaw's information:

Phone: (480) 661-5051 Email: Brendan.shaw@tfadvisors.net

Arbitration Claims: None

Self-Regulatory Organization or Administrative Proceeding: None

Bankruptcy Petition: None

Jan Spieczny, Investment Adviser Representative

Date of birth: 03/04/1941

Item 2-Educational Background:

Jan Spieczny attended the University of Miami, earning a BBA in Business Administration in 1963.

Employment for the Past Five Years:

Thomson Financial Advisors, LLC, Investment Adviser Representative, 04/2007 to Present

Item 3 and 7-Disciplinary Information: As it relates to past, current or prospective clients, Jan Spieczny has not been involved in legal or disciplinary events, has not been involved in arbitrations, has not been subject to self regulatory organization or administrative proceedings and has not filed or planning to file a bankruptcy petition.

Item 4-Outside Business Activities: None

Item 5-Additional Compensation: In the course of business Jan Spieczny does not receive economic benefit from non clients for providing advisory services.

Item 6- Supervision: Jan Spieczny is supervised by Brendan Shaw.

Brendan Shaw's information:

Phone: (480) 661-5051 Email: Brendan.shaw@tfadvisors.net

Arbitration Claims: None

Self-Regulatory Organization or Administrative Proceeding: None

Bankruptcy Petition: None

Frank J. O'Connor, Investment Adviser Representative

Date of birth: 04/24/1953

Item 2-Educational Background:

Manhattan College, Bronx NY., No degree obtained

Employment for the Past Five Years:

Thomson Financial Advisors, LLC, Investment Adviser Representative,
11/2008 to Present

Item 3 and 7-Disciplinary Information: As it relates to past, current or prospective clients, Frank J. O'Connor has not been involved in legal or disciplinary events, has not been involved in arbitrations, has not been subject to self regulatory organization or administrative proceedings and has not filed or planning to file a bankruptcy petition.

Item 4-Outside Business Activities: Mr. O'Connor is associated with Desert View Partners/Personal trading Entity.(investment related), This is a personal trading entity for tax purposes only. Start date: 1/2007 to Present. General Partner. Entity is used for personal securities trading. Mr. O'Connor dedicates approximately 60 hours per month during securities trading hours to this activity.

Item 5-Additional Compensation: In the course of business Frank J. O'Connor does not receive economic benefit from non clients for providing advisory services.

Item 6- Supervision: Frank J. O'Connor is supervised by Brendan Shaw.

Brendan Shaw's information:

Phone: (480) 661-5051 Email: Brendan.shaw@tfadvisors.net

Arbitration Claims: None

Self-Regulatory Organization or Administrative Proceeding: None

Bankruptcy Petition: None

