

**Item 1 – Cover Page**

**Greenway Trading LLC Officers**

**Peter G. Thomas**

**Praveen K. Mehrotra**

**7 North Broad Street, Suite 204**

**Ridgewood, NJ 07450**

**201.447.2300**

**June 29, 2012**

This Brochure Supplement provides information about all of the officers at Greenway Trading LLC and supplements the Greenway Trading Brochure. You should have received a copy of that brochure. Please contact Peter Thomas if you did not receive Greenway Trading LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about GREENWAY TRADING LLC also is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

# Table of Contents

Item 1 – Cover Page ..... i

Item 2 – Educational Background and Business Experience ..... iii

Item 3 – Disciplinary Information ..... iv

Item 4 – Other Business Activities..... iv

Item 5 – Additional Compensation ..... iv

Item 6 – Supervision ..... iv

## Item 2 – Educational Background and Business Experience

**Peter G. Thomas**

**CRD #: 1185222**

**Year of Birth: 1957**

*Formal Education after High School:*

- New York University, MBA, Finance, 1983  
Participated in a 5 year BS/MBA program  
MBA project was a restaurant inventory policy. Policy combined technology and quantitative techniques to provide an inventory policy based on preferred freshness and out of stock levels.
- New York University, BS, Economics 1982

*Business Background for the Previous Five Years:*

- Greenway Trading LLC, Co-Founder, Senior Member and Chief Investment Officer, 1995-Present
- Involved in the development, capital allocation, risk management of all investment strategies
- From 1995-2005 Greenway Trading, LLC was an exclusive trading advisor to Paloma Partners LP employing US equity market neutral and US volatility arbitrage strategies
- In 2006 formed North Broad Partners, LP
- North Broad Partners LP uses 14 distinct quantitative strategies to provide investors with consistent, absolute returns with low volatility and low correlation to other assets

**Praveen K. Mehrotra**

**CRD#: 2079169**

**Year of Birth: 1962**

*Formal Education after High School:*

- Columbia University, MBA, Finance, 1990  
One of two recipients of merit based full Columbia Fellowship  
Beta Gamma Sigma Honor Society
- Columbia University, MS, Computer Science, 1984
- Columbia University, BS, Computer Science, 1983  
Tau Beta Pi Honor Society

*Business Background for the Previous Five Years:*

- Greenway Trading LLC, Co-Founder, Senior Member and Head of Research and Technology, 1995-Present
- Responsible for development and implementation of all quantitative trading strategies, from idea generation, model building, and simulated trading all the way to actual production deployment
- Overall responsibility for development of risk analytics
- Developed risk management software to provide real time view of relevant risk parameters
- Responsible for all technology related systems and decisions

### **Item 3 – Disciplinary Information**

Registered investment advisors are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment service. No legal or disciplinary events are applicable to this item at this time.

### **Item 4 – Other Business Activities**

None of the officers of Greenway Trading LLC have any additional material business activities beyond their work at Greenway Trading LLC.

### **Item 5 – Additional Compensation**

None of the officers of Greenway Trading LLC have any arrangements with any other financial industry firms.

### **Item 6 – Supervision**

Client accounts are supervised daily and on a continuous basis throughout the day ensuring that the assets are adhering to a quantitative equity long/short strategy. Each account is supervised to ensure that the daily trade and account balances are reconciled with the prime broker daily and monthly by the fund administrator. Investment supervision is done by senior members Peter G. Thomas and Praveen K. Mehrotra