

Part 2B of Form ADV: *Brochure Supplement*

Douglas Wharton Grey
1299 Ocean Avenue, Suite #313
Santa Monica, CA 90401
310-656-7600

Saddle Peak Asset Management, LLC

Santa Monica, CA 90401

03/31/2012

This brochure supplement provides information about Douglas Wharton Grey that supplements the Saddle Peak Asset Management, LLC brochure. You should have received a copy of that brochure. Please contact Rad Nakama if you did not receive Saddle Peak Asset Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Douglas Wharton Grey is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Educational, Background and Business Experience

Full Legal Name: Douglas Wharton Grey

Born: 1960

Education

- Vanderbilt University; B.E., Mechanical & Materials Engineering & Economics; 1983
- University of Chicago; Masters, Business Administration; 1986

Business Experience

- Saddle Peak Asset Mangement, LLC; Chief Investment Officer; from 12/2005 to Present

Item 3 Disciplinary Information

Douglas Wharton Grey has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

1. Douglas Wharton Grey is not engaged in any other investment-related activities.

2. Douglas Wharton Grey does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non Investment-Related Activities

Douglas Wharton Grey is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his or her time.

Item 5 Additional Compensation

Douglas Wharton Grey does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Supervisor: Rad Nakama

Title: CCO

Phone Number: 310-656-7635

Rad Nakama as Chief Compliance Officer has the supevisory responsibility to oversee a compliant culture throughout the firm.

Item 7 Requirements for State-Registered Advisers

A. Additional Disciplinary History

Douglas Wharton Grey as no additional disciplinary history.

B. Bankruptcy History

Douglas Wharton Grey has not been the subject of a bankruptcy petition.

Douglas Wharton Grey has no additional disciplinary history.

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Rad Seikatsu Nakama
1299 Ocean Avenue
Santa Monica, CA 90401
3106567600

Saddle Peak Asset Management, LLC

Santa Monica, CA 90401

03/31/2012

This brochure supplement provides information about Rad Seikatsu Nakama that supplements the Saddle Peak Asset Management, LLC brochure. You should have received a copy of that brochure. Please contact Rad Nakama if you did not receive Saddle Peak Asset Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Rad Seikatsu Nakama is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Educational, Background and Business Experience

Full Legal Name: Rad Seikatsu Nakama **Born:** 1982

Education

- California State University - Long Beach ; B.S., Finance ; 2004

Business Experience

- Saddle Peak Asset Management, LLC; Chief Operating Officer / Chief Compliance Officer; from 02/2007 to Present
- Churchill Management Group; Equity Trader; from 11/2004 to 1/2007

Designations

Rad Seikatsu Nakama has earned the following designation(s) and is in good standing with the granting authority:

- Series 65 License; 2010
Pass the Series 65 License examination.

Item 3 Disciplinary Information

Rad Seikatsu Nakama has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

1. Rad Seikatsu Nakama is not engaged in any other investment-related activities.

2. Rad Seikatsu Nakama does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non Investment-Related Activities

Rad Seikatsu Nakama is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his or her time.

Item 5 Additional Compensation

Rad Seikatsu Nakama does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Supervisor: Douglas Grey

Title: CIO

Phone Number: 310-656-7610

Doug Grey as the President has overall supervisory responsibility for the firm.

Item 7 Requirements for State-Registered Advisers

A. Additional Disciplinary History

Rad Seikatsu Nakama has no additional disciplinary history.

B. Bankruptcy History

Rad Seikatsu Nakama has not been the subject of a bankruptcy petition.

Rad Seikatsu Nakama has no additional disciplinary history.

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Michael Julian Porter
1299 Ocean Avenue
Santa Monica, CA 90401
3106567640

Saddle Peak Asset Management, LLC
1299 Ocean Avenue
Suite #313
Santa Monica, CA 90401

03/31/2012

This brochure supplement provides information about Michael Julian Porter that supplements the Saddle Peak Asset Management, LLC brochure. You should have received a copy of that brochure. Please contact Rad Nakama 310-656-7635 if you did not receive Saddle Peak Asset Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Michael Julian Porter is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Educational Background and Business Experience

Full Legal Name: Michael Julian Porter

Born: 1967

Education

- Cornell University; Masters, Business Administration; 1992
- Villanova University; B.S., Business Administration; 1989

Business Experience

- Saddle Peak Asset Management, LLC; Senior VP of Marketing Services; from 08/2011 to Present
- Dimensional Fund Advisors; Vice President, Institutional Services; from 10/2006 to 11/2010
- Metropolitan West Asset Management; Vice President, Client Services; from 07/2001 to 09/2006

Designations

Michael Julian Porter has earned the following designation(s) and is in good standing with the granting authority:

- Series 6 License; FINRA; 0

Pass the Series 6 License examination.

- Series 63 License; FINRA; 0

Pass the Series 63 License examination.

- Series 7 License; FINRA; 0

Pass the Series 7 License examination.

- CMA; Institute of Managed Accountants; 1994

Candidates must successfully pass a two-part examination which tests mastery of advanced accounting and financial management knowledge in four critical areas: financial planning, analysis, control, and decision support.

- CFA; CFA Institute; 2001

Candidates who successfully complete the program and meets other professional requirements is awarded a "CFA charter" and becomes a "CFA charterholder." To become a charterholder a candidate must satisfy the following requirements:

- Complete the CFA Program (mastery of the current CFA curriculum and passing all three six-hour examinations)
- Possess a bachelor's degree (or equivalent) from an accredited institution
- Have four years of qualified work experience

- Become a member of the CFA Institute and apply for membership to a local CFA member society
- Adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct

Item 3 Disciplinary Information

Michael Julian Porter has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

1. Michael Julian Porter is not engaged in any other investment-related activities.
2. Michael Julian Porter does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non Investment-Related Activities

Michael Julian Porter is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his or her time.

Item 5 Additional Compensation

Michael Julian Porter does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Supervisor: Douglas Grey

Title: CIO

Phone Number: 3106567610

Doug Grey as the President has overall supervisory responsibility for the firm.

Item 7 Requirements for State-Registered Advisers

A. Additional Disciplinary History

Michael Julian Porter has no additional disciplinary history.

B. Bankruptcy History

Michael Julian Porter has not been the subject of a bankruptcy petition.