

# Brochure Supplement

APRIL 30, 2012

## DANIEL F. REA

200 Northpointe Circle, Suite 202  
Seven Fields, Pennsylvania 16046

(724) 776-3999

This Brochure Supplement provides information about Daniel F. Rea that supplements the Disclosure Brochure of NFI, LLC (hereinafter "NFI"), a copy of which you should have received. Please contact NFI's Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Daniel F. Rea is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**NFI, LLC, a Registered Investment Adviser**

200 Northpointe Circle, Suite 202, Seven Fields, Pennsylvania 16046 | (724) 776-3999  
[www.NFILLC.com](http://www.NFILLC.com)

### Item 2. Educational Background and Business Experience

Born 1966

#### Post-Secondary Education

Clarion University of Pennsylvania | B.A., Business Management | 1988

#### Recent Business Background

NFI, LLC | Managing Member | August 2005 – Present

#### Professional Designation

Daniel F. Rea holds the professional designation of CERTIFIED FINANCIAL PLANNER™ (“CFP®”). The CFP®, CERTIFIED FINANCIAL PLANNER™ and certification marks are financial planning credentials awarded by Certified Financial Planner Board of Standards Inc. (“CFP Board”) to individuals who meet its education, examination, work experience, and ethics requirements. Eligible candidates must have at least a bachelor's degree (or its equivalent) in any discipline from an accredited college or university in order to obtain a CFP® certification. The candidate also must pass an examination, have three years of personal financial planning experience, and meet the CFP Board's ethical requirements. To maintain the certification, the CFP Board requires individuals to complete 30 hours of continuing education hours every two years and renew an agreement to be bound by its Standards of Professional Conduct.

For additional information about credential, please refer directly to the website of the organization that issues the designation.

### Item 3. Disciplinary Information

NFI is required to disclose the pertinent facts regarding any legal or disciplinary events material to a client's evaluation of Daniel F. Rea. NFI does not have any information to disclose in relation to this Item.

### Item 4. Other Business Activities

NFI is required to disclose information regarding any investment-related business or occupation in which Daniel F. Rea is actively engaged.

#### Licensed Insurance Agent

Daniel F. Rea is a licensed insurance agent with various insurance companies, and in such capacity, may recommend, on a fully-disclosed commission basis, the purchase of certain insurance products. A conflict of interest exists to the extent that NFI recommends the purchase of insurance products where Daniel F. Rea receives insurance commissions or other additional compensation. NFI has procedures in

place to ensure that any recommendations made by Daniel F. Rea are in the best interest of clients regardless of any additional compensation earned.

### **Item 5. Additional Compensation**

NFI is required to describe any arrangement under which Daniel F. Rea receives an economic benefit for providing advisory services from someone that is not a client of NFI. NFI has no information to disclose in relation to this Item.

### **Item 6. Supervision**

While Daniel F. Rea is the firm's Managing Member and generally responsible for his own supervision, Debra L. Rea, Financial Advisor, periodic reviews his advisory activities on behalf of NFI. The phone number to reach Debra L. Rea is (724) 776-3999.

NFI supervises its personnel and the investments made in client accounts. NFI monitors the investments recommended by Daniel F. Rea to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. NFI periodically reviews the advisory activities of Daniel F. Rea, which may include reviewing individual client accounts and correspondence (including e-mails) sent to and received by Daniel F. Rea.

### **Item 7. Requirements for State Registered Advisers**

NFI is required to disclose information regarding Daniel F. Rea's involvement in certain civil, self-regulatory organization or administrative proceedings, arbitration awards or findings, or bankruptcy proceedings. NFI has no information to disclose in relation to this Item.