

Solaris Advisors, LLC

Brochure Supplement

598 Madison Avenue  
New York NY 10022  
212-582-4500  
[www.solarisgroupllc.com](http://www.solarisgroupllc.com)

## **THE BROCHURE SUPPLEMENT**

The attached Brochure Supplements provide clients with information about the individuals providing investment advisory services. A supplement is provided for any supervised person who formulates investment advice and has direct client contact and has discretionary authority over client assets, even without direct client contact.

Six separate disclosure items are required providing information about the supervised person's educational background, business experience, compensation and disciplinary information. They are outlined here:

- Item 1 – Cover Page
- Item 2 – Educational Background and Business Experience
- Item 3 – Disciplinary Information
- Item 4 – Other Business Activities
- Item 5 – Additional Compensation
- Item 6 – Supervision

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**Item 1 - Cover Page**

**Ralph D. Sinsheimer**  
**Solaris Advisors, LLC**  
598 Madison Avenue, New York, NY 10022  
212-582-4500  
March 27, 2011

**This Brochure Supplement provides information about Ralph D. Sinsheimer that supplements the Solaris Advisors' Brochure. You should have received a copy of that Brochure. Please contact Stephen Brent Wells, Chief Compliance Officer if you did not receive Solaris Assets Management's Brochure or if you have any questions about the contents of this Supplement.**

**Item 2 - Educational Background and Business Experience**

Name: Ralph D. Sinsheimer  
Year of Birth: 1954  
Formal Education: Trinity College, BA 1977;  
New York University, MBA 1982  
Business Background: Senior Vice President and Head of Wealth Management,  
Neuberger Berman Trust Companies, 2001-2003;  
Managing Director, OFFITBANK 1997-2001  
Partner, Eureka Capital Management 1995-1997  
Director of Funds, Loomis Sayles & Company 1985-1995

**Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4 - Other Business Activities**

None

**Item 5 - Additional Compensation**

None

**Item 6 - Supervision**

Mr. Sinsheimer is a founding partner of Solaris Advisors and heads up the Wealth Management and Investment Consulting practice. He is currently the Chairman of the Solaris Investment Committee. As part of the management of the business, the four founding partners have regular interaction and discussion of their activities, goals and objectives. Please contact Stephen B. Wells, CCO with any questions.

**Item 1 - Cover Page**

Albert C. Bellas  
Solaris Advisors, LLC  
598 Madison Avenue, New York, NY 10022  
212-582-4500  
March 27, 2011

**This Brochure Supplement provides information about Albert C. Bellas that supplements the Solaris Advisors' Brochure. You should have received a copy of that Brochure. Please contact Stephen Brent Wells, Chief Compliance Officer if you did not receive Solaris Assets Management's Brochure or if you have any questions about the contents of this Supplement.**

**Item 2 - Educational Background and Business Experience**

Name: Albert C. Bellas  
Year of Birth: 1942  
Formal Education: Yale University, BA 1964;  
University of Chicago, JD 1967;  
Columbia University, MBA 1968  
Business Background: Chairman and CEO, Neuberger Berman Trust  
Companies, 2001-2003;  
Managing Director, OFFITBANK, 1991-2000  
SEVP, Lehman Brothers, 1978-1990  
General Partner, Loeb Rhoades & Co. 1976-1978  
VP, Goldman Sachs & Co. 1972-1975  
Associate, Dillon Read & Co. 1968-1972

**Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4 - Other Business Activities**

Mr. Bellas currently has an application pending to be a registered representative of Meeschaert Capital Markets, LLC. This possible arrangement is not material to our investment management business and does not present any conflict of interest to any client of Solaris Asset Management.

**Item 5 - Additional Compensation**

None

**Item 6 - Supervision**

Mr. Bellas is a founding partner of Solaris Advisors. He serves on the Solaris Investment Committee. As part of the management of the business, the four founding partners have regular interaction and discussion of their activities, goals and objectives. Please contact Stephen B. Wells, CCO with any questions.

**Item 1 - Cover Page**

**Stephen Brent Wells**  
**Solaris Advisors, LLC**  
598 Madison Avenue, New York, NY 10022  
212-582-4500  
March 27, 2011

**This Brochure Supplement provides information about Stephen Brent Wells that supplements the Solaris Advisors' Brochure. You should have received a copy of that Brochure. Please contact Stephen Brent Wells, Chief Compliance Officer if you did not receive Solaris Assets Management's Brochure or if you have any questions about the contents of this Supplement.**

**Item 2 - Educational Background and Business Experience**

Name: Stephen Brent Wells  
Year of Birth: 1944  
Formal Education: Princeton University, BA 1966;  
New York University School of Law, JD 1969  
Business Background: President, Neuberger Berman Trust Companies, 2001-2003;  
Managing Director, OFFITBANK, 1994-2001  
General Counsel, Gabelli Family of Companies 1993-1994  
General Counsel, Goldman Sachs Asset Management 1989-1993  
General Counsel, Morgan Stanley Asset Management 1980-1989  
VP and Fiduciary Counsel, Irving Trust Company 1977-1980  
Senior Associate, Cadwalader, Wickersham & Taft 1973-1977  
Associate, Davidson, Dawson & Clark 1969-1973

**Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4 - Other Business Activities**

None

**Item 5 - Additional Compensation**

None

**Item 6 - Supervision**

Mr. Wells is a founding partner of Solaris Advisors. He serves on the Solaris Investment Committee and is Chairman of the Solaris Administration and Operations Committee. As part of the management of the business, the four founding partners have regular interaction and discussion of their activities, goals and objectives. Please contact Albert C. Bellas, Founding Partner and Managing Director with any questions.

## **Item 1 - Cover Page**

# **Timothy M. Ghiskey**

Solaris Asset Management, 2 Depot Plaza, Bedford Hills, NY 10507 914-241-8105

## **Solaris Asset Management, LLC**

598 Madison Avenue, New York, NY 10022

212-582-4500

March 27, 2011

**This Brochure Supplement provides information about Timothy M. Ghiskey that supplements the Solaris Asset Management's Brochure. You should have received a copy of that Brochure. Please contact Stephen Brent Wells, Chief Compliance Officer if you did not receive Solaris Assets Management's Brochure or if you have any questions about the contents of this Supplement.**

## **Item 2 - Educational Background and Business Experience**

Name: Timothy M. Ghiskey, CFA, CIC

Year of Birth: 1955

Formal Education: Trinity College, BA 1977;  
University of Virginia, MBA 1985

Business Background: Founder, Ghiskey Capital Partners, 2001-2004;  
SVP and Senior Portfolio Manager, The Dreyfus Corporation, 1995-2001  
Associate Managing Partner, Loomis, Sayles & Company 1985-1995

Certified Financial Analyst (CFA) Candidates must meet one of the following requirements:

- Undergraduate degree and 4 years of professional experience involving investment decision-making, or
- 4 years qualified work experience (full time, but not necessarily investment related)

Educational Requirements: Candidates must pass 3 course exams after completing a self-study program that consists of 250 hours of study for each of the 3 levels.

Chartered Investment Counselor (CIC) Candidates must meet all of the following requirements:

- (1) Employed by a member firm of the Investment Counsel Association of America (ICAA) in an eligible occupational position for at least 1 year; (2) A minimum of 5 cumulative year's work experience in one or more eligible occupational positions; and (3) Complete the CFA exams and hold the CFA designation.

## **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## **Item 4 - Other Business Activities**

None

## **Item 5 - Additional Compensation**

None

## **Item 6 - Supervision**

Mr. Ghiskey is a founding partner of Solaris Advisors. He is the Chief Investment Officer and Co-Portfolio Manager of Solaris Asset Management, an affiliate of Solaris Advisors. He serves on the Solaris Investment Committee. As part of the regular management of the business, the four founding partners have regular interaction and discussion of their activities, goals and objectives. Please contact Stephen B. Wells, CCO with any questions.

**Item 1 - Cover Page**

**Timothy J. Lindsay**  
**Solaris Advisors, LLC**  
598 Madison Avenue, New York, NY 10022  
212-582-4500  
March 27, 2011

**This Brochure Supplement provides information about Timothy J. Lindsay that supplements the Solaris Advisors' Brochure. You should have received a copy of that Brochure. Please contact Stephen Brent Wells, Chief Compliance Officer if you did not receive Solaris Assets Management's Brochure or if you have any questions about the contents of this Supplement.**

**Item 2 - Educational Background and Business Experience**

Name: Timothy J. Lindsay  
Year of Birth: 1975  
Formal Education: Fordham University, BS 1997  
Business Background: Senior Analyst / Research and Consulting,  
Lehman Trust Co., 2003-2005  
Analyst, Neuberger Berman LLC, Neuberger Berman Trust Co., and  
Neuberger Berman Management, 1997-2003

Certified Financial Analyst (CFA) Candidates must meet one of the following requirements:

- Undergraduate degree and 4 years of professional experience involving investment decision-making, or
- 4 years qualified work experience (full time, but not necessarily investment related)

Educational Requirements: Candidates must pass 3 course exams after completing a self study program that consists of 250 hours of study for each of the 3 levels.

Tim is also a member of the NYSSA, New York Society of Security Analysts, which is the largest of the more than 135 societies worldwide that comprise the CFA Institute, whose members represent an elite segment of the financial industry and are recognized as ethical, knowledgeable members of the investment decision-making community.

**Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4 - Other Business Activities**

None

**Item 5 - Additional Compensation**

None

**Item 6 - Supervision**

Mr. Lindsay is Solaris Advisors' Director of Research and Consulting, and he serves on the Solaris Investment Committee. He is supervised by Ralph D. Sinsheimer, Founding Partner and Managing Director of Solaris Advisors, LLC.

**Item 1 - Cover Page**

Amy L. Brackett  
Solaris Advisors, LLC  
598 Madison Avenue, New York, NY 10022  
212-582-4500  
March 27, 2011

**This Brochure Supplement provides information about Amy L. Brackett that supplements the Solaris Advisors' Brochure. You should have received a copy of that Brochure. Please contact Stephen Brent Wells, Chief Compliance Officer if you did not receive Solaris Assets Management's Brochure or if you have any questions about the contents of this supplement.**

**Item 2 - Educational Background and Business Experience**

Name: Amy L. Brackett, CFA  
Year of Birth: 1979  
Formal Education: Washington University St. Louis, BS 1997  
Business Background: Equity Research Associate, TIAA-CREF, 2003-2007

Certified Financial Analyst (CFA) Candidates must meet one of the following requirements:

- Undergraduate degree and 4 years of professional experience involving investment decision-making, or
- 4 years qualified work experience (full time, but not necessarily investment related)

Educational Requirements: Candidates must pass 3 course exams after completing a self study program that consists of 250 hours of study for each of the 3 levels.

**Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4 - Other Business Activities**

None

**Item 5 - Additional Compensation**

None

**Item 6 - Supervision**

Ms. Brackett is a Senior Advisor, and she serves on the Solaris Investment Committee. She is supervised by Ralph D. Sinsheimer, Founding Partner and Managing Director of Solaris Advisors, LLC.



**Item 1 - Cover Page**

**Christopher B. Mailman**  
**Solaris Advisors, LLC**  
598 Madison Avenue, New York, NY 10022-1632  
212-582-4500  
December 1, 2011

**This Brochure Supplement provides information about Christopher B. Mailman that supplements the Solaris Alternative Asset Management, LLC (“Solaris”) Brochure. You should have received a copy of that Brochure. Please contact Stephen B. Wells, Chief Compliance Officer, if you did not receive Solaris Alternative Asset Management, LLC’s Brochure or if you have any questions about the contents of this Supplement.**

**Item 2 Educational Background and Business Experience**

Name:	Christopher B. Mailman
Year of Birth:	1966
Formal Education:	Duke University, BA 1987
Business Background:	Millbrook Investment Management Company, LLC 2001-Present
	Mariner Investment Group 1992-2000

**Item 3 Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4 Other Business Activities**

None

**Item 5 Additional Compensation**

None

**Item 6 Supervision**

Mr. Mailman is one of the principals of Solaris Alternative Asset Management and is its Co-Chief Investment Officer. When he provides hedge fund related investment consulting and analytical services to Solaris Advisors, he is supervised by Ralph Sinsheimer, Founding Partner and Managing Director of Solaris Advisors, LLC.

## **Item 1 - Cover Page**

**Peter C. Keogh**  
**Solaris Advisors, LLC**  
598 Madison Avenue, New York, NY 10022-1632  
212-582-4500  
December 1, 2011

**This Brochure Supplement provides information about Peter C. Keogh that supplements the Solaris Alternative Asset Management, LLC (“Solaris”) Brochure. You should have received a copy of that Brochure. Please contact Stephen B. Wells, Chief Compliance Officer, if you did not receive Solaris Alternative Asset Management, LLC’s Brochure or if you have any questions about the contents of this Supplement.**

## **Item 2 Educational Background and Business Experience**

Name: Peter C. Keogh  
Year of Birth: 1964  
Formal Education: Providence College, BA 1986  
Fordham University, MBA 1989  
Business Background: Millbrook Investment Management, LLC 2001 – Present  
Bankers Trust 2000 – 2001  
Abel Noser Corporation 1996 – 1999  
Sanwa Securities 1992 - 1995  
MBNA America 1990 - 1992  
BCA Securities 1986 - 1988

Certified Financial Analyst (CFA) Candidates must meet one of the following requirements:

- Undergraduate degree and 4 years of professional experience involving investment decision-making, or
- 4 years qualified work experience (full time, but not necessarily investment related)
- Educational Requirements: Candidates must pass 3 course exams after completing a self-study program that consists of 250 hours of study for each of the 3 levels.

## **Item 3 Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## **Item 4 Other Business Activities**

None

## **Item 5 Additional Compensation**

None

## **Item 6 Supervision**

Mr. Keogh is one of the principals of Solaris Alternative Asset Management and is its Co-Chief Investment Officer. When he provides hedge fund related investment consulting and analytical services to Solaris Advisors, he is supervised by Ralph Sinsheimer, Founding Partner and Managing Director of Solaris Advisors, LLC.

## **Item 1 - Cover Page**

**Minsup Han**  
**Solaris Advisors, LLC**  
598 Madison Avenue, New York, NY 10022  
212-582-4500  
December 1, 2011

**This Brochure Supplement provides information about Minsup Han that supplements the Solaris Alternative Asset Management's Brochure. You should have received a copy of that Brochure. Please contact Stephen B. Wells, Chief Compliance Officer if you did not receive Alternative Solaris Asset Management's Brochure or if you have any questions about the contents of this supplement.**

## **Item 2 - Educational Background and Business Experience**

Name: Minsup Han.  
Year of Birth: 1997  
Formal Education: Seoul National University, BS Mechanical Engineering 2003  
Columbia University, MS – Operations Research 2005  
Columbia University, MS – Financial Engineering 2007  
Business Background: Millbrook Investment Management Company, 2006 – Present  
Capital Markets & Portfolio Research, Seoul, SK, 2003 – 2004

Certified Financial Analyst (CFA) Candidates must meet one of the following requirements:

- Undergraduate degree and 4 years of professional experience involving investment decision-making, or
- 4 years qualified work experience (full time, but not necessarily investment related)
- Educational Requirements: Candidates must pass 3 course exams after completing a self-study program that consists of 250 hours of study for each of the 3 levels.

## **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## **Item 4 - Other Business Activities**

None

## **Item 5 - Additional Compensation**

None

## **Item 6 - Supervision**

Mr. Han is a Quantitative Analyst at Solaris Alternative Asset Management and is supervised by Christopher B. Mailman and Peter C. Keogh, Co-Chief Investment Officers of Solaris Alternative Asset Management, LLC. When he provides hedge fund related investment consulting and analytical services to Solaris Advisors, he is supervised by them and by Ralph Sinsheimer, Founding Partner and Managing Director of Solaris Advisors, LLC.

**Item 1 - Cover Page**

William Owen Wimmer, Jr.  
Solaris Advisors, LLC  
598 Madison Avenue, New York, NY 10022  
212-582-4500  
March 27, 2011

**This Brochure Supplement provides information about William Owen Wimmer, Jr. that supplements the Solaris Advisors' Brochure. You should have received a copy of that Brochure. Please contact Stephen Brent Wells, Chief Compliance Officer if you did not receive Solaris Assets Management's Brochure or if you have any questions about the contents of this supplement.**

**Item 2 - Educational Background and Business Experience**

Name: William Owen Wimmer, Jr.  
Year of Birth: 1985  
Formal Education: Loyola College of Maryland, BBA 2008  
Business Background: Derivatives Analyst, KBC Financial Products, 2008-2009

**Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4 - Other Business Activities**

None

**Item 5 - Additional Compensation**

None

**Item 6 - Supervision**

Mr. Wimmer is a Manager and is supervised by Ralph D. Sinsheimer, Founding Partner and Managing Director of Solaris Advisors, LLC.

**Item 1 - Cover Page**

Jackson L. Camps  
Solaris Advisors, LLC  
598 Madison Avenue, New York, NY 10022  
212-582-4500  
March 27, 2011

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**Item 2 - Educational Background and Business Experience**

Name: Jackson Lowell Camps  
Year of Birth: 1988  
Formal Education: University of Richmond, BSBA 2010  
Business Background:

**Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4 - Other Business Activities**

None

**Item 5 - Additional Compensation**

None

**Item 6 - Supervision**

Mr. Camps is a Senior Analyst and is supervised by Ralph D. Sinsheimer, Founding Partner and Managing Director of Solaris Advisors, LLC.