

STEVEN S. V. LE

ADV PART 2B – SUPPLEMENTAL BROCHURE

MARCH 1, 2012

***SVL Investment Management
1620 N. Placentia, Suite 100
Placentia, CA 92870
Phone: (714) 986-2222***

This Brochure Supplement provides information about Steven S. V. Le, Ph.D. that supplements SVL Investment Management's ADV Part 2A. You should have received a copy of that Brochure. Please contact Dr. Le at (714) 986-2222 if you did not receive SVL Investment Management's ADV Part 2A or if you have any questions about the contents of this supplement.

Additional information about Dr. Le is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number. The CRD number for the Steve S. V. Le, Ph.D is 1748908.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

STEVEN S. V. LE

Born: 1951

Education:

Iowa State University (1973) – Bachelor of Science

Iowa State University (1975) – Master of Engineering

Iowa State University (1977) – Ph.D.

Harvard University – Advanced Studies in Investment Decisions and Finance

Business Background:

SVL Investment Management – 1987 – Present

- Owner
- President
- Investment Adviser Representative

California State University, Long Beach, CA – September 1985 – Present

- Professor of Finance, Investment & Portfolio Management
- Chairman of the Department of Finance (2010 - Present)
- Chairman of the Department of Finance, Real Estate & Law (1992-1993)

Additional Biographical Information: Dr. was a Director of Economics/Finance for two national financial consulting firms. Dr. Le was also the Director of Economics/Finance for the State of Maryland. He was a key member of the corporate strategic planning team of a one-billion dollar asset company. Dr. Le has been an expert witness in more than 30 utility regulatory proceedings

During the past 32 years, Dr. Le has served on the graduate faculty of economics, finance, investments, portfolio management at the University of Baltimore, Virginia Polytechnic Institute & State University, Central Michigan University and Avila College. He is the author of over forty articles, monographs, and technical papers. Dr. Le has appeared as a guest speaker on economics and investment issues on several television and radio programs.

ITEM 3 – DISCIPLINARY HISTORY

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

ITEM 4 – OTHER BUSINESS ACTIVITIES

A. Investment Related Other Business Activities: Dr. Le does not have any other investment related business activities. This section is not applicable.

B. Non-Investment Related Other Business Activities: Dr. Le is a professor of Finance, Investment & Portfolio Management at California State University, Long Beach. He spends approximately 50% of his time on this activity, with some during market hours.

ITEM 5 – ADDITIONAL COMPENSATION

Dr. Le does not receive any additional compensation only what is disclosed in his ADV Part 2A and this supplemental brochure.

ITEM 6 – SUPERVISION

Dr. Le is the only investment adviser representative of the SVL Investment Management. This section is not applicable.

ITEM 7 REQUIREMENTS FOR STATE-REGISTERED ADVISERS

A. Arbitration or Civil, Self-Regulatory Organization or Administrative Proceedings

Dr. Le has not been the subject of an arbitration claim, nor any civil, self-regulatory organization or administrative proceeding. .

B. Bankruptcy History

Dr. Le has not been the subject of a bankruptcy petition.