



**Allied Beacon Wealth Management**

David Jacobs Kotz  
Investment Adviser Representative  
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**Part 2B of Form ADV: Brochure Supplement**

**This brochure provides information about David Jacobs Kotz that supplements Allied Beacon Wealth Management, LLC's brochure. You should have received a copy of that brochure. Please contact Allied Beacon Wealth Management's Vice President and Chief Operating Officer if you did not receive Allied Beacon Wealth Management LLC's brochure, or if you have any questions about the contents of this supplement.**

**Additional information about David Jacobs Kotz is available on the SEC's website at [www.advisorinfo.sec.gov](http://www.advisorinfo.sec.gov).**

**Education, background and Business Experience**

David Jacobs Kotz; 1968  
University of Vermont, Burlington VT; BS 1992

- Registered Investment Advisory Representative – Allied Beacon Wealth Management, LLC 2011 – Present
- Senior Vice President and Principal – Allied Beacon Partners, Inc. (formerly American Beacon Partners): 2010 – Present
- Managing Director: Tempewick Wealth Management: 2006 - 2010  
Senior Financial Advisor: Merrill Lynch & Co., Inc. 2001 – 2006
- Senior Vice President: Spencer Trask & Co., Inc.: 2000 – 2001
- Relationship Manager: The Chase Manhattan Private Bank: 1992 – 2000

12/16/2011

## Disciplinary Information

There are no legal, disciplinary, or bankruptcy events in Mr. Kotz's background.

## Other Business Activities

Mr. Kotz is a Registered Representative with Allied Beacon Partners, Inc., a Florida based broker/dealer which has common ownership as Allied Beacon Wealth Management, LLC. Mr. Kotz receives a commission or sales charge for the sale of securities and receives trail distribution fees from the sale of mutual funds.

Any commission or fee received can present a conflict of interest. Commissions and fees vary between products and third party providers.

## Outside Business Interests

Under my holding company Mine Brook Associates, LLC, I conduct the following businesses activities:

- University Park Partners – Real Estate Holdings in Bluffton SC (100% Owner - Passive)
- Wildwood One – Real Estate Holdings in Bluffton SC (80% owner - Passive)
- Symphony Communications – Telecommunications Brokerage (20% owner – less than 4 hours / month)
- Tactical Mariner.com – retail sales of marine and rescue related supplies and equipment (100% owner - 6 hours / month)
- HSB Title Services, LLC – Title Insurance (5% owner – less than 2 hours /month)
- Tactical Wealth Management – 100% owner 10 to 20 hours / month
  - Life Insurance Sales
  - Financial Planning

I am also the founder and President of Mine Brook Search & Rescue, Inc. This business is a volunteer rescue squad handling water rescue in Somerset County NJ. It also teaches CPR & First Aid Classes. Mine Brook Search & Rescue, Inc. is an IRS Approved 501(c)3 tax exempt company.

Other Compensation: None

## Supervision

All Allied Beacon Wealth Management new accounts are reviewed by the Vice President and Chief Operating Officer (VP&COO) or by Chief Compliance Officer (CCO). The VP& COO and/or the CCO will periodically review existing accounts. All Advisory Activities are reviewed by Mr. Harvey Martin. Mr. Martin can be reached at 804-521-7615.