



# **SRS Capital Advisors, Inc. Form ADV Part 2B Brochure Supplement**

**David L. Swenson**  
**CRD #4754086**  
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*This brochure supplement provides information about David L. Swenson that supplements the SRS Capital Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact SRS Capital Advisors, Inc. if you did not receive SRS Capital Advisors, Inc.'s brochure or if you have any questions about the content of this supplement.*

*Additional information about David L. Swenson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

Form ADV Part 2B brochure supplements are not approved by the Commission or any state securities authority.

**Item 2. Educational Background and Experience:**

**NAME AND DATE OF BIRTH:**

David L. Swenson (born 1969)

**EDUCATION:**

**Northwestern College**

*B.S. in Business Management & Bible in 1991*

**Business Experience:**

<b>SRS Capital Advisors, Inc.</b> <i>Vice President, Private Client Services</i>	03/2012 to Present
<b>SimonDavis Asset Management, Inc.</b> <i>Vice President, Private Client Services</i>	01/2005 to 02/2012
<b>Swenson Financial Services</b> <i>President</i>	04/2001 to 01/2005
<b>Airtime Mobile Communications</b> <i>President</i>	07/1997 to 04/2001
<b>ICA</b> <i>Broker</i>	11/1992 to 07/1997

**Item 3. Disciplinary Information:**

None

**Item 4. Other Business Activities:**

In an effort to be able to better provide and implement comprehensive financial planning services, David L. Swenson is licensed with multiple insurance companies and sells life insurance, disability insurance, long-term care insurance and annuity products. In summary, David may utilize virtually any tool or product necessary to provide objective and comprehensive financial planning. Most of these "other products" entitle David to compensation that is separate from fees received for investment advisory fees and financial planning fees.

David L. Swenson is licensed to sell insurance in Colorado.

**Item 5. Additional Compensation:**

None

**Item 6. Supervision:**

SRS Capital Advisors, Inc. closely monitors the recommendations and planning provided by all of its investment professionals. This is done through monthly and annual compliance meetings, random Associate audits, planned file reviews, clear and concise internal policies and procedures, and strict adherence to all industry laws and regulations. For additional information regarding our internal quality and control procedures please contact: David A. Simon, Managing Director at SRS Capital Advisors at (303) 837-1119; or, via email at: [dsimon@srscap.com](mailto:dsimon@srscap.com)